In Teachers’ Hands

Effective Literacy Teaching Practices in the Early Years of Schooling

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Executive Summary

Aim and Methods
The aim of this study was to identify teaching practices that lead to improved literacy outcomes for children in the early years of schooling.

Literacy Assessments
The study began with literacy assessments of a representative national sample of 2,000 children using a literacy scale prepared by the Australian Council for Educational Research for the Longitudinal Literacy and Numeracy Study (LLANS). Assessments were carried out by 200 classroom teachers, half of whom were teaching in the first year of formal schooling and half of whom were teaching in the second year of formal schooling. A random sample of ten children from each class completed the one-on-one individual assessments of letter recognition, word recognition, phonological awareness and receptive comprehension at the beginning and again at the end of the 2001 school year.

Value Added Analysis
Subsequently, a ‘value added’ analysis was undertaken, comparing the mean growth over a school year in LLANS literacy scores for each group of ten children. In order to link estimates of growth in student achievement with teacher behaviour in each of the class groups, a schedule of school visits was arranged. The teachers approached to participate in the classroom observation phase of the study were selected on the basis that the mean standardised residual for their group of ten children assessed in the previous year was significantly more than expected, as expected, or less than expected.

Classroom Observation
The researchers then spent between two and four days in each school during the 2002 school year. Audio and video records were made of the classroom visits, and teachers were observed during literacy teaching sessions and interviewed about literacy teaching. Subsequently, a representative two hours of video from each class was selected for analysis. The selection was transcribed and linked to the video evidence using vPrism video software. The Classroom Literacy Observation Schedule (CLOS), based on the project literature review, was used to score the presence or absence of 33 literacy teaching practices grouped into the six dimensions of participation, knowledge, orchestration, support, differentiation, and respect. Each selection of classroom video was divided into activity episodes, typically of 10 - 20 minutes in length. For each of these episodes, teachers were scored on the presence or absence of each CLOS teaching practice. Each items selected for inclusion in the observation schedule was based on an extensive international literature review.

Quantitative Analysis
Three types of quantitative analysis of the CLOS data were undertaken, in order to understand the link between student achievement and classroom literacy teaching practices.

A simple descriptive analysis, by frequency, explored the teaching practices in each dimension for each teacher visited. The aim of this was to provide a picture of the differences in consistency of demonstration of teaching practices from each CLOS dimension between the three groups of teachers whose children’s growth in literacy was significantly more than expected, as expected, or significantly less than expected.
A confirmatory factor analysis was then used to validate the groupings of teaching practice under each dimension. This technique allowed the placement of constraints determining which observed variables were related to specific dimensions on substantive grounds.

The Rasch model for dichotomous data was used to develop an ordered measure of literacy teaching practices, ranging from those teaching practices which were thought to be common among the less effective teachers through to teaching practices that were thought to be common only among the more effective teachers. It was hypothesised that, among the class teachers whose children scored overall at higher-than-expected levels on the LLANS literacy assessments, all 33 of the literacy teaching practices were likely to be observed. Among the class teachers whose children scored overall at lower-than-expected levels on the LLANS literacy assessments it was hypothesised that only the lowest ranked literacy teaching practices were likely to be observed.

Qualitative analysis
The final stage of the study was a qualitative analysis of the video data and accompanying transcripts. The goal of this analysis was to provide a textured and nuanced account of the application of each of the 33 literacy teaching practices in the classrooms of teachers whose students learned more than expected, as much as expected, or less than expected in one year of school English literacy teaching.

Findings
1. The Classroom Literacy Observation Schedule that was devised for this study was shown empirically to be appropriate for classroom observation of teachers’ pedagogical practices.

2. The type of literacy teaching activity used by the teachers varied only slightly according to teacher effectiveness. The same few activities were widely used by all teachers regardless of their effectiveness. Generally, the more effective, effective and less effective teachers all extensively used familiar early years literacy activities such as shared book reading, modelled writing and phonics teaching. However, there were distinct qualitative differences in the ways in which these activities were carried out by teachers of varying degrees of effectiveness. Some literacy teaching activities that we had expected to find, such as the use of phonics-based commercial literacy programs and computer-based literacy activities, were not widely used by the teachers in our observation sample.

3. Literacy teaching practices varied according to teacher effectiveness. The more effective and effective teachers demonstrated a wide variety of literacy teaching practices from all six dimensions of the observation schedule. The less effective teachers demonstrated a limited number of literacy teaching practices that were also spread across the six dimensions of the observation schedule. In addition to these quantitative differences, there were also distinct qualitative differences between the more effective and effective teachers and the less effective teachers.

4. The literacy teaching repertoires of the more effective and effective teachers included teaching practices that were most frequently observed such as attention or engagement, those that were frequently observed such as pace and metalanguage, and those such as challenge that were rarely observed in classrooms. On the other hand, the literacy teaching repertoires of the less effective teachers tended to be dominated by those teaching practices that were frequently observed in classrooms.
5. There was no quantitative difference between teacher groups for the teaching practice we called ‘explicitness-word’, that is, directing children’s attention to explicit word and sound strategies. The more effective, effective, and less effective teachers all paid some explicit attention to phonics. There were, however, distinct qualitative differences between the ways in which these groups of teachers taught phonics. Whilst the more effective and effective teachers generally used a highly structured approach to phonics teaching, they were usually observed teaching word level skills and knowledge within a wider context, such as a theme or topic being studied, a shared book, a writing lesson or a spelling lesson, so that the purpose of learning phonics was made clear and relevant. Further, these teachers provided extremely clear explanations of word level structures, and explanations that were of a higher order than those of the less effective teachers. They also provided careful scaffolding, including guided practice in a variety of contexts, to ensure that important phonic concepts were learnt. These teachers also kept a focus on broader text level features, with a particular focus on comprehension of texts.
Acknowledgments

The research underpinning In Teachers’ Hands was made possible by the generous participation of schools, teachers, children and families across Australia. More than two thousand children in over 100 schools completed the LLANS assessments and their teachers completed questionnaire surveys. Eleven teachers and their classes welcomed the researchers for in-depth video analysis in classrooms and a further six teachers assisted with the public release video. We thank them all for their interest and commitment, and acknowledge that the program of research could not have been undertaken without their generous support.

The research, which was commissioned by the Australian Government Department of Education, Science and Training, sought to identify effective teaching and learning practices that lead to improved literacy outcomes in the early years of schooling. The team commissioned to do the work involved researchers from Edith Cowan University, The Australian Council of Educational Research (ACER) and the University of NSW. Marion Meiers (Senior Research Fellow, Australian Council of Educational Research) organised the adapted LLANS assessments and Dr Ken Rowe (Director, Learning Processes and Contexts, Research Program, ACER) analysed the LLANS data. Kathy Nolan (Research Officer, ACER) coordinated the dissemination and retrieval of the LLANS assessments. Mary Rohl prepared the literature review. William Louden and Mary Rohl developed the Classroom Literacy Observation Schedule (CLOS) to use in the classroom observations. William Louden, Mary Rohl, Caroline Barratt Pugh, Claire Brown, Helen House, Judith Rivalland (Edith Cowan University), and Trevor Cairney (New College, University of NSW) undertook classroom site visits in four states.

Claire Brown, Helen House and Jess Elderfield (ECU) spent many months contacting and visiting schools, preparing videos, analysing the quantitative and qualitative data and preparing the final drafts of the CLOS chapters. Their commitment, enthusiasm and constant good humour was an essential ingredient to the completion of the project.

The research team also wishes to thank the many ‘project friends’ who assisted and supported the team throughout the life of the project with questionnaire survey development and dissemination, data support for the analysis, editing of the video clips, publication, photographic and printing advice.

Members of the project Advisory Committee provided both sound advice and strong support in contacting stakeholders in schools, schools systems and sectors, throughout the life of the project. Members of the Advisory Committee were Anne Czisloowski-McKenna (National Coordinator Literacy Research Projects), Christine Ludwig (Education Queensland), Kevin Comber (Catholic Education, SA), Bridie Raban (The University of Melbourne), Valerie Gould (The Association of Independent Schools of Western Australia Incorporated), Alison Jacob (Tasmanian Department of Education), The Victorian Primary Principals’ Association and Phil Lambert (NSW Department of Education and Training).

Finally, we are grateful to the Australian Government’s project management team, Murray Kimber, Mary Falvey, Betty Agius and Carolyn Stanistreet who were friends and supporters of the project over four years. We thank them all.

William Louden and Mary Rohl, Project Directors, Perth, May 2005
Chapter 1: Effective literacy teaching in the early years of school

Literacy teaching in the early years of school has been a contentious and intensively-researched subject, at least since the publication of *Learning to Read: The Great Debate* (Chall, 1967). Opinion on teaching methods has been highly polarised, particularly in terms of whether and how to teach children to ‘crack’ the alphabetic code of written English. Despite the plethora of early literacy teaching programs that have appeared over the years, the goal of success for all literacy learners remains elusive.

The political and social significance of early literacy teaching is shown by the high levels of government and school system intervention in the area. Phonetically explicit reading programs, for example, are mandated for beginning readers in some parts of the United States of America. In the United Kingdom, the widely implemented National Literacy Strategy contains explicit guidelines for beginning (as well as more advanced) literacy learners. Within the Australian context, there is also intense activity in terms of the development and implementation of particular methods of teaching literacy in the early years of school, as evidenced for example by the Victorian Early Years Literacy Program (Education Victoria, 1997) and the New South Wales State Literacy Plan (NSW Department of Education and Training, 2001).

Against this background of intense activity, there continues to be a diversity of opinion - sometimes characterised as the ‘reading wars’ - between advocates of a whole language meaning-oriented approach to teaching beginning reading and advocates of a phonics or word level approach. In addition to the controversy surrounding the teaching of early literacy, the definition of literacy itself is also open to debate. In some contexts it is seen as being confined to reading, in some as confined to reading and writing and in other contexts it has a much broader definition. The Australian Government has defined literacy broadly as:

> the ability to read and use written information, to write appropriately, in a wide range of contexts, for many different purposes, and to communicate with a variety of audiences. Literacy is integrally related to learning in all areas of the curriculum, and enables all individuals to develop knowledge and understanding. Reading and writing, when integrated with speaking, listening, viewing and critical thinking, constitute valued aspects of literacy in modern life. (DEETYA, 1998, p. 7)

This is the definition that we adopted for the study, although as became apparent in the course of the project, in most of our early years classrooms it was defined operationally in somewhat narrower terms.

**What did we want to find out and how did we do it?**

The purpose of this study was to identify effective teaching practices that lead to improved literacy outcomes for children in the early years of school. It aimed to build an evidential link between children’s growth in English literacy in the early years of school and their teachers’ classroom practices. The study approach combined quantitative and qualitative research strategies in eight phases.

We began with a review of the literature on effective teaching, literacy teaching and learning, and effective teaching of literacy, in particular early literacy. Based on findings from this literature review, we developed a classroom literacy observation schedule. At the same time as we were reviewing the literature and developing our observation tool, the literacy skills and abilities of a nationally representative sample of children in their first and second years of school was assessed. Following these assessments ‘value added’ analyses were made in order to identify three groups of teachers; those who were more effective, those who were as effective, or those who were less effective than
expected, based on differences in class/teacher-level estimates of student growth in literacy.

Once the groups of teachers (more effective, effective and less effective) had been identified on the basis of their students’ literacy growth, we invited sub-samples of each group to participate in the classroom observation phase of the study. This involved a site visit to each teacher’s classroom by two of the research team to make videotaped records of literacy teaching and to interview the teacher. After the site visits had been completed video records of a representative sample of literacy activities in each observed classroom were coded using the observation schedule. We analysed the coded video records in two ways. Firstly, we made a quantitative analysis of the data that included the frequency of each literacy teaching practice in the observed classrooms, confirmatory factor analysis of the literacy teaching dimensions, and Rasch analysis to estimate teacher effectiveness in terms of a teacher’s repertoire of literacy teaching practices. Secondly, we made a qualitative cross-case analysis of the video records and accompanying transcript in terms of each of the literacy teaching practices for the more effective, effective and less effective teachers. The cross-case analysis was made in order to find out how teachers from these groups enacted each literacy teaching practice in the classroom.

Overview of the Study

What the literature told us

A review of existing research literature was made in order to gain a theoretical perspective on effective teaching practices that lead to improved literacy outcomes in the early years of school. Effectiveness is defined for the purposes of this study as success in producing student achievement gains, although it is acknowledged that some definitions of teaching effectiveness also include ‘success in socializing students and promoting their affective and personal development in addition to success in fostering their mastery of formal curricula’ (Brophy & Good, 1986, p. 328).

Three bodies of research were examined in the literature review: research on effective teachers; literacy research with an emphasis on the teaching and learning of reading; and research on effective teachers of literacy, with particular reference to effective teachers of literacy in the early years of school. Since there are large established bodies of knowledge in the areas of effective teaching in general and literacy teaching in particular, the literature review for this study had a strong focus on recent international large-scale analyses of existing research.

The teacher effectiveness research indicated the crucial importance of the individual teacher in producing effective learning outcomes. It also indicated that effective teachers have a wide repertoire of teaching practices, which they are able to skilfully employ to suit the classroom context, their purposes and the needs of their students. The ways in which effective teachers are able to manage the many competing demands of the classroom have been likened to the skills of a juggler or to the conductor of a large orchestra. They individualise instruction in order to support and challenge students and they motivate students to participate in classroom activities, at the same time as they gain the respect of their students and skilfully structure activities and instruction. The literacy research indicated that a balanced literacy curriculum that is explicitly taught and which includes word and text level knowledge and skills, particularly phonemic awareness, phonics, fluency, comprehension and oral language in addition to varied classroom practice, leads to improved literacy outcomes. And the research into effective teachers of literacy, including beginning literacy, indicates that effective literacy teachers have a strong literacy knowledge base that they make explicit to their students, in addition to creating and making use of a rich literacy environment.
Identifying more effective, effective and less effective early years literacy teachers

Identification of effective literacy teachers was based on assessments of growth in student literacy learning. A nationally representative sample of first and second year of school children in 200 classes was individually assessed near the beginning and end of one school year on the literacy assessment tasks developed for ACER’s Longitudinal Literacy and Numeracy Study (LLANS). The facets of literacy that were assessed included phonemic awareness, print concepts, children reading aloud, making meaning from text, and writing in response to text. ‘Value added’ analyses of the LLANS data were then carried out in order to identify class/teacher-level differences in students’ literacy learning. Three groups of teachers were identified: those who were more effective, as effective, and those who were less effective than expected, based on prior achievement-adjusted, mean-point estimates of class/teacher-level residuals of children’s LLANS assessments. The adjusted residuals for teachers identified as more effective were statistically significantly above the expected level, those for the teachers identified as less effective were significantly below the expected level, and those for the majority of teachers identified as effective were not significantly above or below the expected level.

Once the teachers had been classified in this way, we were able to approach potential participants from each of the three groups for participation in the intensive classroom observation phase of the study. As we had estimated learning gain over a school year, the classroom observations were made in the following school year when most teachers were teaching a different group of children. Schools were selectively approached in order to secure a balance of teacher effectiveness, school geographical location and size and socio-economic, ethnic and linguistic background of children. In order to ensure that teachers in the effective group could clearly be seen to be effective, only those teachers whose students’ learning gain adjusted residual in standard deviation units was positive, that is they were ranked above the median of the group¹, were approached.

Not all teachers and schools approached were willing to participate in the observation phase of the research project and some teachers were no longer teaching in the same school or were teaching in another year level. The final sample of teachers who were observed in their classrooms was made up of two more effective teachers, four effective teachers and four less effective teachers. Seven of the teachers’ classrooms contained first year of school children (one of these also contained a few second year children), two contained second year of school children and one contained children from the first three years of school.

Observing more effective, effective and less effective early years literacy teachers in action

Based on a synthesis of key findings from the research literature, the Classroom Literacy Observation Schedule (CLOS) was devised as a tool with which to observe effective teachers of early literacy. Thirty-three literacy teaching practices were classified into six broad dimensions. Some dimensions focus largely on teacher behaviours, while others also have a focus on the behaviours of children. The child behaviours are proxy indicators of teacher effectiveness in that it is the teacher who potentially has control over these child behaviours in the classroom. The six dimensions of CLOS are outlined:

¹ Two teachers included as one case in the effective teacher group team-taught a class that contained children from the first three years of school. These teachers were ranked above the mean for their first year of school children and marginally below the mean for the second.
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Participation: Ways in which the teacher organises for and motivates children’s participation in classroom literacy tasks
Knowledge: Ways in which the teacher uses her knowledge of literacy to effectively teach significant literacy concepts and skills
Orchestration: Ways in which the teacher manages or orchestrates the demands of the literacy classroom
Support: Ways in which the teacher supports children’s literacy learning
Differentiation: Ways in which the teacher differentiates tasks and instruction for individual learners, providing individual levels of challenge
Respect: Ways in which the teacher gains the respect of the children and in which the children demonstrate respect for her.

In choosing the dimensions and associated teaching practices we took an agnostic approach in that we tried to include as many research findings as possible. In terms of the whole language/phonics debate we included explicit teaching at both word and text levels, along with the teacher’s use of metalanguage within the knowledge and support dimensions.

The teachers in the three groups who had agreed to take part in the observation phase were each visited by two members of the research team for up to four days and their literacy teaching sessions were videotaped. After they had visited each school the two researchers selected a total of two hours of videoed teaching which best represented their period of observation in each class. This set of two-hour video samples and their corresponding transcriptions were linked and entered into the vPrism 3.056 research software (see: www.lessonlab.com/vprism/). Each two-hour section of video was then coded by the research team in terms of the CLOS schedule of literacy teaching practices derived from the research literature, and also in terms of the literacy activities used by the teacher, such as shared book or modelled writing.

Quantitative analysis of the video coding data was then carried out. This included frequency of each of the CLOS literacy teaching practices in the observed classrooms, confirmatory factor analysis of the CLOS dimensions, and Rasch analysis to estimate teacher effectiveness in terms of a teacher’s repertoire of literacy teaching practices. From the results of these analyses and analyses of the coded video materials, the researchers made qualitative cross-case analyses of the ways in which the more effective, effective and less effective teachers enacted each CLOS dimension in their classrooms.

Summary of the main findings from this study

The Classroom Literacy Observation Schedule that we devised for the study was shown empirically to be appropriate for classroom observation of teachers’ pedagogical practices.

The type of literacy teaching activity used by the teachers varied only slightly according to teacher effectiveness. Generally, the same few activities were widely used by all teachers regardless of their effectiveness. The more effective, effective and less effective teachers all extensively used generic early years literacy activities such as shared book reading, modelled writing and phonics teaching.

The literacy teaching practices that were contained in the Classroom Literacy Observation Schedule varied according to teacher effectiveness. Generally speaking, the more effective and effective teachers consistently demonstrated literacy teaching practices from all six dimensions of the schedule. The less effective teachers

2 All teachers who took part in the observational phase of this study were female.
demonstrated a limited number of literacy teaching practices that were also spread across the six dimensions of the *Classroom Literacy Observation Schedule*.

There was no difference between groups on the teaching practice we called ‘explicitness-word’, which concerned whether or not the teachers directed children’s attention to explicit word and sound strategies. This was a common teaching practice for more effective, effective, and less effective teachers.

**Identified characteristics of the more effective and effective teachers**

The more effective and effective teachers had highly developed classroom management skills, a variety of strategies for motivating children to participate in literacy activities and they made explicit to children their substantial knowledge of literacy in a variety of ways that included creating and using a rich literacy environment and concentrating on significant literacy concepts and skills.

The more effective and effective teachers provided a high degree of support for literacy as they persistently scaffolded learning, differentiated levels of challenge, instructions and tasks for individual needs and created a socially supportive classroom environment in which children demonstrated pleasure in learning.

The extensive literacy teaching repertoires of the more effective and effective teachers included teaching practices that were most frequently used, frequently used, and, in particular, those such as challenge that were rarely used by the teachers in the study.

Not only did the more effective and effective teachers demonstrate use of a larger number of literacy teaching practices than the less effective teachers, but there were also qualitative differences between the groups in the ways in which they implemented the practices. For example, when using the practice of modelling the more effective and effective teachers’ metacognitive explanations were at more sophisticated levels than those of the less effective teachers.

The more effective and effective teachers were frequently observed in structured teaching of phonics, usually within a wider context such as a theme or topic being studied, a shared book, a writing lesson or a spelling lesson, so that the purpose of learning phonics was made clear and relevant. Further, these teachers provided extremely clear explanations of word level structures that were of a higher order than those of the less effective teachers and they provided careful scaffolding, including guided practice in a variety of contexts, to ensure that important phonic concepts were learnt. These teachers also kept a focus on text level features, with a particular emphasis on comprehension of texts.

**Identified characteristics of the less effective teachers**

The less effective teachers as a group did not have highly developed classroom management skills, they did not motivate children to participate in literacy activities and, whilst they provided some explanations of literacy concepts, their often unclear explanations suggested that these teachers took a limited view of early literacy teaching as evidenced by their provision of ‘busy-work’ activities.

The less effective teachers did not provide a high degree of support for literacy in terms of scaffolding learning, challenging children and differentiating instructions and tasks for individual needs, nor did they generally create a socially supportive classroom environment or pleasure in learning.

The narrower literacy teaching repertoires of the less effective teachers were, for the most part, limited to those teaching practices most frequently observed. Whilst these
teachers may have been able to gain the children’s attention, the children were less likely

to be engaged in the literacy task. It was even less likely that the task would involve
substantial literacy learning and most unlikely that it would challenge the children.

On the whole, when implementing their narrower repertoire of literacy teaching
practices, the less effective teachers demonstrated these practices at a different level
from the more effective and effective teachers. For example, these teachers’ purposes
tended to be of a lower order than those of the more effective and effective teachers and
were more likely to be of a routine, rather than of a substantive nature.

Like the more effective and effective teachers, the less effective teachers were frequently
observed teaching phonics. Nevertheless, the less effective teachers were more likely to
teach phonics as an isolated activity that was presented as an end in itself, rather than as
a means to understanding or using text. Further, these teachers’ explanations were
sometimes not very clear, at times confusing for the children in their classes, and
erratically focused. These teachers also tended to place little emphasis on
comprehension of text.
The art of teaching is rooted in the experience, skill, judgment, and intuition of the teacher dedicated to the best interests of the students he or she serves, while the scientific knowledge revealed by effective, contextually relevant research forms the rational knowledge base for instructional decisions (Farstrup, 2002, p. 1).

The purpose of this literature review is to provide an overview of research into effective literacy teaching and learning practices in the early years of school. It has guided the development of the Classroom Literacy Observation Schedule (CLOS) that was used as a tool to examine the practices of 200 teachers who were identified as ‘more effective than expected’, ‘as effective as expected’ and ‘less effective than expected’ on the basis of a value added analysis of their students’ assessment data. Effectiveness is defined in this study as success in producing student achievement gains, with particular reference to literacy achievement gains. It should, however, be noted that definitions of effectiveness in terms of teaching in schools usually include ‘success in socializing students and promoting their affective and personal development in addition to success in fostering their mastery of formal curricula’ (Brophy & Good, 1986, p. 328). Nevertheless, in this chapter, whilst there is some reference to social and emotional factors these are examined in terms of their relationship to other factors, such as home background, that have been associated with literacy achievement.

The literature on literacy teaching and learning is very well developed. Internationally much of this has focused on the reading component of literacy and there have been several high profile major reviews of the literature on the teaching of reading (National Reading Panel, 2000; Snow, Burns & Griffin, 1998). In Australia, there have been various government commissioned studies of children’s literacy, as well as much work by individual researchers.

The literature concerning influences on student achievement in school is also very well developed in terms of factors such as the students themselves, their home backgrounds, schools, school principals, peers and teachers (Hattie, 2003). Nevertheless, in a synthesis of over half a million studies of the effects of these variables on student achievement, Hattie has shown that whilst all contribute something to student achievement, ‘excellence in teaching is the single most powerful influence’ (Hattie, 2003, p. 4). This variable in the learning process has also been referred to as ‘quality of instruction’ (Bloom, 1976) and ‘teacher behaviour’ (Brophy & Good, 1986).

Whilst, according to Farstrup (2002), the importance of the teacher in young children’s success in learning to read was identified nearly 40 years ago (see Bond & Dykstra, 1967) these two bodies of research - literacy teaching and learning and teacher effectiveness - have for the most part developed in isolation from each other and have not until relatively recently been combined. In this chapter three bodies of research will be examined: literacy research, with an emphasis on the teaching of reading, research on effective teachers, and research that focuses on effective teachers of literacy, with particular reference to effective teachers of literacy in the early years of school.

**Literacy teaching and learning**

There is an enormous amount of literature in the area of literacy teaching and learning. An examination of public databases by the U.S. National Reading Panel revealed that approximately 100,000 research studies on the teaching of reading, which is just one aspect of literacy, have been published since 1966 (NRP, 2000). In view of the large established body of knowledge the literature review for this study will have a strong focus on recent large-scale analyses of existing research. It will begin with an examination of two U.S. government funded analyses of the literature conducted by
groups of leading researchers in the field, which have had a huge impact on U.S. federal government policy. There will also be analyses of other large literature reviews in the area that are less constrained by political context, such as those in the *Handbook of Reading Research* (Kamil, Mosenthal, Pearson, & Barr, 2000), the *Handbook of Early Literacy Research* (Neuman & Dickinson, 2001), *What Research has to Say about Reading Instruction* (Farstrup & Samuels, 2002) and the research-based *Best Practices in Literacy Instruction* (Morrow, Gambrell & Pressley, 2003). In order to provide contextual detail a number of individual studies that are particularly related to the present study are also discussed.

**Literacy learning in Australia**

In a review of reading research in Australia and New Zealand, Wilkinson, Freebody and Elkins (2000) point out that in Australia ‘reading’ as a topic for study and practice has been subsumed under ‘literacy’ and is broadly defined. In line with this the Commonwealth government has defined literacy in the Australian context as:

- the ability to read and use written information, to write appropriately, in a wide range of contexts, for many different purposes, and to communicate with a variety of audiences. Literacy is integrally related to learning in all areas of the curriculum, and enables all individuals to develop knowledge and understanding. Reading and writing, when integrated with speaking, listening, viewing and critical thinking, constitute valued aspects of literacy in modern life (DEETYA, 1998, p. 7).

Wilkinson et al. (2000) suggest that the focus on literacy rather than reading can be largely attributed to research in the Australian context by linguists, ethnographers and cultural theorists (in addition to psychologists and educationalists). They point out two important features in the history of literacy education in Australia: its culturally and linguistically diverse environment; and the tendency in schools and preservice teacher education programs to work with a variety of pedagogical methods and materials.

Further, Wilkinson et al. (2000) examine recent trends and issues in literacy education in Australia, using the terms ‘skills’ and ‘cultural’ approaches that were adopted by Christie, Devlin, Freebody, Luke, Martin, Threadgold, et al. (1991). For skills approaches they cite the work of Australian researchers in the areas of phonological awareness (for example, Bowey, 1996) and implementations of the Reading Recovery program (for example, Centre, Wheldall, Freeman, Outhred, & McNaught, 1995). They also discuss how national and state testing involves various facets of literacy and is largely based on the Rasch scaling model (see Masters & Forster, 1997), rather than on traditional psychometric theory. To illustrate cultural approaches to literacy learning in Australia Wilkinson et al. use exemplars of Commonwealth funded Children’s Language and Literacy Projects in which literacy is defined as ‘a set of cultural practices’ that is studied in naturalistic settings, sometimes through combinations of quantitative and qualitative research methodologies. Reference is also made to research on critical literacy (for example, Luke, 1994) and gender issues (for example, Alloway & Gilbert, 1997).

Whilst not specifically mentioned by Wilkinson et al. (2000), the ‘four resources’ model of literacy put forward by Luke and Freebody (1999), has been widely accepted by curriculum writers, teacher educators and practitioners in the English learning area. In this model, skills and cultural approaches are reconciled in that the four resources of decoding, participation in the meanings of text, functional use of text, and critical analysis of text are all seen as necessary, but not sufficient in and of themselves, for effective literacy in present day society.

In March 1997 the Commonwealth, State and Territory Education Ministers agreed to the national literacy and numeracy goal ‘that every child leaving primary school should be numerate, and able to write and spell at an appropriate level’. They added the subgoal
‘that every child commencing school from 1988 will achieve a minimum acceptable literacy and numeracy standard within four years’ (DEETYA, 1998). Thus, there is nationally an emphasis on the literacy and numeracy achievements of Australian children in the primary school years, with particular emphasis on the early years of school and the attainment of benchmark standards by all children at particular points in time. Whilst the Commonwealth government has stated that it wants to see improved educational accountability, it sees this accountability as being ‘undertaken cooperatively, not imposed from above and in ways that collect information of real use to schools, teachers and parents as well as governments’ (DEETYA, 1998, p. 5). It also encourages greater autonomy for schools as this creates the freedom for individual schools to ‘improve their teaching and learning that they do not have under centralised systems’ (p. 6).

Through the Children’s Language and Literacy Program the Commonwealth government commissioned various literacy (and numeracy) research projects in order to discover how children might be assisted to reach benchmark standards. Three reported on the home literacy practices of children and found that Australian families engaged in a wide range of literacy practices. However, the ways in which literacy was constructed in some homes was very different from the ways in which it was constructed by the school and this mismatch was associated with learning difficulties for particular children (Breen, Louden, Barratt-Pugh, Rivalland, Rohl, Rhydwen et al., 1997; Cairney & Ruge, 1998; Cairney, Ruge, Buchanan, Lowe, & Munsie, 1995).

Other Commonwealth commissioned literacy projects have examined ways in which children from different cultural and linguistic groups, who could be seen to be educationally disadvantaged, might be helped to acquire English literacy, either through instruction in English (Breen, Barratt-Pugh, Derewianka, House, Hudson, Lumley & Rohl, 1997), or through different forms of bilingual education (McKay, Davies, Devlin, Oliver, & Zammit, 1997). Research into Distance Education and the education of Indigenous children in desert schools highlighted some of the difficulties and dilemmas facing schools and families in rural and remote areas (Clayton, Barnett, Kemelfield, & Mulhauser, 1996; Louden & Rivalland, 1995). Two other project reports that are particularly pertinent to the issue of effective strategies for early literacy learning and teaching are 100 Children go to School (Hill, Comber, Louden, Rivalland, & Reid, 1998) and Mapping the Territory (Louden, Chan, Elkins, Greaves, House, Milton, Nichols, Rohl, Rivalland & van Kraayenord, 2000). These projects address, respectively, the transition from home/care environments to school and the education of primary school students with learning difficulties in literacy and numeracy.

Whilst many of the Australian research projects mentioned so far have been commissioned by the Commonwealth government, State governments have also been active in resourcing literacy projects. Of particular interest is the work by Luke and colleagues for Education Queensland (see Luke, 2003). Luke cites the baseline data from the Literate Futures project (Luke, Freebody, & Land, 2000) as showing that in Queensland there is ‘no crisis in early literacy’ (p. 16), although the needs of children living in ‘spatialised poverty’ did not appear to be met. A matter of concern to the researchers was that teachers had received no systematic professional development in reading over the previous ten years and appeared not to have the capacities with which to diagnose children’s reading difficulties in the early years of school, nor did they have a shared vocabulary with which to discuss reading. At the school level it was found that there were few systematic programs for literacy, some very ‘unbalanced’ programs that focused on ‘basic skills’ only and various ‘pull-out’ programs for children not succeeding in literacy that were not coordinated within the school. Other findings showed that there was little literacy teaching across the curriculum, confusion about the
use and teaching of multiliteracies and an age split of teachers with little intergenerational exchange and dialogue around literacy.

These findings are combined by Luke (2003) with those from the Queensland School Reform Longitudinal Study (Lingard, Ladwig, Mills, Bahr, Hayes, Gore, et al., 2001), to give a more complete picture of Queensland classrooms. One thousand middle to upper primary and secondary classrooms were observed and coded for intellectual quality, relevance, supportive classroom environment and recognition of difference, using the Productive Pedagogies framework (Education Queensland, 2002). Findings suggested that whilst classrooms were socially supportive they were not connected to the real world, teachers were struggling with recognition of difference and the tasks assigned to students often required only low levels of intellectual engagement, what Luke (2003) calls ‘dumbing down’ (p. 24).

Much of this government commissioned literacy research has been predominantly qualitative in nature, although a few studies have included some quantitative data analysis, and for the most part it has examined literacy as cultural practice in naturalistic settings. Nevertheless, as Wilkinson et al. (2000) have shown, there is also a strong quantitative tradition in Australian literacy research. Two areas that are of particular interest to the present study are educational testing (for example, K. W. Rowe & Hill, 1996) and early literacy learning (for example, Bowey, 1996; Byrne & Fielding-Barnsley, 1995; Rohl & Pratt, 1995; Share, Jorm, Maclean, & Matthews, 1984; Tunmer, Herriman, & Nesdale, 1988).

**Reading/literacy research**

Much of the internationally published research into early literacy has been conducted in the United States and has been quantitative in nature. The United States has some contextual features that have influenced research directions in early literacy teaching and learning. Firstly, reading is the component of literacy that has been the main focus of teaching methodology and opinion as to the ‘best’ methodology has been highly polarised since the publication of *Learning to Read: The Great Debate* (Chall, 1967). Secondly, the teaching of beginning reading is a highly political issue. Teale and Yokota (2001) begin their review of the literature with, ‘ Likely no area of American education has been as fraught with controversy, confusion, fads, and politics as the teaching of beginning reading and writing’ (p. 3). Hiebert and Taylor (2000) point out that teaching methodology for beginning readers is the source of mandates by state and federal legislators. Thirdly, the teaching of beginning reading is set against a background of high stakes testing for the purpose of accountability. President George Bush has stated: ‘ The heart of education reform is accountability’ (*Reading Today*, 2001, Vol. 18, 5, p. 1). His ‘No Child Left Behind’ education policy rewards states and schools that are successful in improving reading outcomes and sanctions failure in terms of withdrawal of funding (NRP, 2000). Finally, there is federal funding to US states for ‘science-based reading programs’ in grades K-2 in the *Reading First* initiative (NRP, 2000).

In recent years two large influential reports, both commissioned by U.S. government agencies, have examined existing research into the teaching and learning of reading. These are the National Research Council’s *Preventing Reading Difficulties in Young Children*, edited by Snow, Burns and Griffin (1998), and *Teaching Children to Read* (NRP, 2000). There are many similarities between the two studies. The methodology of both is said to be ‘scientific’ and both have been extremely influential in the U.S. context. The findings of the two reports are analysed and synthesised here in terms of what has been shown empirically and repeatedly to be important in early literacy learning.
Preventing Reading Difficulties in Young Children (Snow et al., 1998) was commissioned by the U.S. Departments of Education and Health and Human Services. These federal departments requested the National Academy of Sciences to establish a committee whose function was to examine the prevention of reading difficulties through a study of the ‘effectiveness of interventions for young children who are at risk of having problems learning to read’ (Snow et al., 1998, p. 1). Whilst the impetus for the study was children ‘at risk’, the committee made reading development and factors that contribute to reading outcomes the main emphases of their research review. Indeed, they conclude that their recommendations ‘extend to all children’ and that ‘good instruction seems to transcend characterisations of children’s vulnerability for failure’ (Snow et al., 1998, p. 2).

Snow et al. (1998) define the ‘scientific’ methodology that was used in the study as ‘publicly verifiable knowledge’ based on testable theories, through the employment of methods of ‘systematic empiricism’ (p. 34). These methods included case, correlational, experimental and epidemiological studies, narrative analyses, interviews, surveys and ethnographies and the researchers looked for ‘converging evidence’ where studies using various methodologies reported similar findings. The areas addressed in the study that are particularly pertinent to the present study include: conceptualising reading and reading instruction, early identification of children at risk of developing reading difficulties; early childhood initiatives and interventions; the mechanics of reading; comprehension; the use of computer technology in the teaching of reading; and teacher education.

The National Reading Panel (NRP, 2000) which produced the report Teaching Children to Read, developed what it called an ‘evidence-based assessment of the scientific research literature on reading and its implications for reading instruction’ of the type normally used in research studies on the efficacy of interventions in psychological and medical research for ‘fostering of robust health or psychological development and the prevention or treatment of disease’ (NRP, 2000). A decision was made to concentrate on the following topics: alphabetics (phonemic awareness and phonics instruction); fluency; comprehension including vocabulary; teacher education and reading instruction; and computer technology and reading instruction. Subgroups of researchers were formed to study each topic and subtopic in order to identify ‘effective instructional reading approaches’ and to determine their readiness for classroom application.

The Panel then decided upon a stringent set of criteria for inclusion of studies in the analyses that included use of an experimental or quasi-experimental design with a control group or multiple-baseline method, reading behaviour (preschool to grade 12) as the outcome, and publication in English in a refereed journal. Reading behaviour was defined as reading real or nonsense words, reading text aloud or silently, and comprehending text read silently or aloud. Where the subgroup was able to locate a sufficient number of studies that satisfied the strict criteria, a statistical meta-analysis was conducted and an effect size for the particular facet of reading under investigation was calculated. The subgroups categorised an effect size of 0.20 as ‘small’, 0.50 as ‘moderate’, and 0.80 and above as ‘large’ (see Tymms, 2000, for a discussion of effect sizes).

Reading research findings

Conceptualising reading/early literacy development and reading instruction

Snow et al. (1998) point to the complex nature of the reading process and propose that initial instruction requires children to: use reading to obtain meaning from print; have frequent opportunities to read and write; understand the structure of spoken words and the alphabetic principle of the English writing system; and be exposed to frequent, regular spelling-sound relationships. They also found that, in order to make progress
beyond the initial stages, children need a working knowledge of how sounds are represented alphabetically, reading fluency that comes from practice in reading a variety of texts, control over procedures for comprehension monitoring, interest and motivation.

**Mechanics of reading: Phonemic/phonological awareness**

Both the National Reading Panel (2000) and Snow *et al.* (1998) examined the role of phonemic awareness (awareness of the sound units of language) in early reading and found it to be a significant predictor of future reading achievement. The National Reading Panel point out that phonemic awareness and letter knowledge have been identified as the two best school entry predictors of reading in the first two years of instruction. Meta-analysis of the effectiveness of phonemic awareness instruction showed reading and spelling outcome effect sizes following training in phonemic awareness were in the moderate range. Nevertheless, the Panel points out that, whilst these results are ready for implementation in the classroom, there are many ways to teach phonemic awareness effectively and that motivation for learning literacy is essential. Further, it cautions that, although phonemic awareness provides essential foundational knowledge in the alphabetic system, it is only one component within a complete and integrated reading program.

**Mechanics of reading: Phonics**

The National Reading Panel defined *phonics instruction* as ‘a way of teaching reading that stresses the acquisition of letter-sound correspondences and their use in reading and spelling’ that may be provided ‘systematically or incidentally’. *Systematic phonics* requires that ‘a sequential set of phonics elements is delineated and these elements are taught along a dimension of explicitness depending on the type of phonics method employed’.

In support of phonics instruction Snow *et al.* conclude that there is converging research evidence that getting started in reading ‘depends critically on mapping the letters and spellings of words onto the sounds and speech units that they represent’ (p. 321) and that explicit phonics instruction helps children understand the alphabetic principle. Snow *et al.* interpret research findings as showing that improvement in word reading skill is positively related to the degree of explicitness of instruction, particularly for children who begin a program with low phonological skills.

The National Reading Panel conducted meta-analyses of instructional programs, and as with phonemic awareness, found the mean overall effect size for phonics instruction to be moderate. Findings were interpreted as indicating that systematic phonics instruction is a valuable and essential part of a successful classroom reading program, but stressed that phonics is only part of a total program and should be integrated with other instruction in phonemic awareness, fluency and comprehension to create a complete reading program.

**Mechanics of reading: Fluency**

*Fluency* is defined as ‘the ability to read a text quickly, accurately, and with proper expression’ (NRP, 2000). This ability has been described by Allington (1983) as ‘the most neglected’ reading skill. Snow *et al.* claim that fluency in reading a variety of texts is one of several skills that are most important for progress in reading past the earliest stages and they propose that activities for improvement include practice in reading, including rereading of texts. The National Reading Panel considers fluency to be one component of skilled reading that helps comprehension and memory for text and observes that it is often neglected in school settings. Meta-analysis of the effectiveness of guided repeated oral reading showed effect sizes to be moderate. The Panel concludes that guided repeated oral reading procedures have a significant and positive effect on word recognition, fluency and comprehension for students of all ages in both
mainstream and special education settings and that these results are ready for implementation in the classroom.

**Comprehension**

In their analyses Snow et al. and the National Reading Panel examined the development of reading comprehension. The National Reading Panel’s definition of comprehension is that of Harris & Hodges (1995) namely, ‘intentional thinking during which meaning is constructed through interactions between the text and reader’. Vocabulary instruction and instruction in controlling comprehension processes are addressed in both studies. Snow et al. concluded that children’s word knowledge and reading comprehension could be improved through vocabulary instruction. The National Reading Panel concluded that vocabulary instruction, when appropriate to the age and ability of students, leads to gains in comprehension and that a combination of methods such as repetition and multiple exposures to words, the use of computers and incidental learning in context, all help to enhance vocabulary learning.

In examining instruction in text comprehension Snow et al. focus strongly on metacognitive techniques which, according to the National Reading Panel (Chapter 4, p. 69), involve ‘teaching readers to become aware of when they do understand, to identify when they do not understand, and to use appropriate fix-up strategies’. Snow et al. conclude that, in order to prevent reading difficulties, in the early years of school ‘formal instruction in reading needs to focus on the development of two sorts of mastery: word recognition skills and comprehension’ (p. 322), that is the word and text level components of reading. The National Reading Panel found that instruction in a combination of reading comprehension strategies leads to increased learning of strategies as well as comprehension-related skills, and sometimes leads to general improvement in comprehension.

**Teacher education and reading instruction**

Snow et al. view the teacher as critical in the prevention of reading difficulties and state that effective instruction includes ‘artful teaching’ that may well make up for the limitations of particular instructional strategies. They refer to research studies that suggest ‘outstanding’ teachers have been characterised as ‘effectively and deliberately planning their instruction to meet the diverse needs of children in a number of ways’ (p. 196). This involves ‘masterful’ management of the classroom and the creation of a ‘literate environment’.

Snow et al. view the teacher’s knowledge base and experience as being vital and teacher education as a ‘career-long continuum of development’ (p. 293). They outline what they perceive as essential literacy-related knowledge for effective teachers of reading. This includes detailed knowledge about language and literacy systems and processes, assessment, adapting the curriculum for individual needs, the reading curriculum, creating positive attitudes to reading and using research findings from different research paradigms to inform practice. They justify the importance of ongoing teacher education through a study of school districts that concluded the most effective use of school resources was to improve the qualifications of teachers (Ferguson, 1991). Likewise, the National Reading Panel found that inservice professional development resulted in significantly higher student achievement, at least in the short term.

**Computer technology and reading instruction**

Snow et al. see the use of computers as ‘promising’ in terms of teaching children to read and in preventing reading difficulties. The National Reading Panel proposes several computer applications as showing promise for the teaching of literacy, in particular the addition of speech to on-screen text, hypertext and word processing functions for writing.
Family and community factors and interventions
In their focus on children at risk of reading difficulties, Snow et al. examine the role of the family and community in children’s learning. They point out that in the U.S. children from poor families and minority populations in inner city schools are at much greater risk of reading difficulties than are middle-class, European suburban children. Children from poor families may use non-standard varieties of English or have limited proficiency in English, which may make it difficult for them to take full advantage of reading instruction in English and to demonstrate their skills and knowledge when tested in English. However, Snow et al. located research studies that suggest limited proficiency in English may not be the only cause of low reading achievement for these children (for example, Slavin & Madden, 1995). They suggest that cultural difference may be responsible for a ‘mismatch’ between schools and families in terms of teaching practices and the ways in which literacy and the roles of parents and teachers are defined and practised (for example, Heath, 1983; Jacob & Jordan, 1987). Alternatively, low achievement may be the result of low motivation and educational aspirations in view of limited opportunities for these families (for example, Ogbu, 1982) and home conditions that do not provide a foundation for young children’s emerging literacy (for example, Purcell-Gates, 1996).

Reading research: Critique and summary
Whilst the National Reading Panel report has found strong U.S. government support, it has also received strong criticism. In her ‘minority view’ Joanne Yatvin, a Panel member claimed that the Panel took an ‘unbalanced’ and narrow conceptualisation of reading, pointing out that no research was included on broader aspects of literacy, such as language development, early literary knowledge or concepts about print. Since the publication of the report such criticism of the narrow approach taken by the Panel has grown as findings have been used by federal and state government authorities in the U.S. to determine policy (see Lyon, Shaywitz, Chhabra & Sweet, 2004, for a description of U.S. government policy based on the report).

The Panel’s positivist methodology has been criticised by Cunningham (2001) in that its methodological standards were imposed upon the research literature on reading, with the result that much of it was ignored (p. 327). Cunningham further criticises the Panel’s non-adherence to its own stringent criteria in its choice of research methodology and its metaphor of the teaching of reading as being similar to the treatment of physical or psychological illness. Nevertheless, he does not automatically reject the findings of the Panel. Rather, on the basis of ‘professional wisdom’ and a wide range of research literature he accepts the findings that phonemic awareness and systematic phonics instruction are important components of early reading programs and that guided oral reading and repeated reading increase fluency. He does, however, question on methodological grounds the validity of the Panel’s inconclusive findings about text comprehension instruction, independent silent reading, computer technology and teacher education.

Cunningham’s greatest concerns are for the practical implications of the Panel’s findings in terms of their effects on educational funding, classroom practice and censorship of journal articles and conference papers. Some of these concerns are shared by Edmonson and Shannon (2002) who highlight what they see as the negative impact of the Panel’s findings for the U.S. government’s Reading First initiative, with the result that large amounts of funding have been allocated for schools whose reading programs are ‘anchored in scientific research’ that is, structured programs based on phonemic awareness, phonics, guided oral reading and comprehension. Edmonson and Shannon cite the case of a school district that excluded silent reading from its reading program on the grounds that silent reading was not a recognised part of a ‘research-based program in line with state and federal guidelines’ (p. 452).
The concern for the ramifications of government policy in the area and the call for a wider view of literacy are shared by many, including Taylor, Anderson, Au and Raphael (2000) who see a good literacy curriculum as existing within a broad social context that has the potential to help or hinder children’s acquisition of reading and writing. They envisage the literacy curriculum as including most of the facets identified by the Panel, with the addition of language conventions, literary aspects, composition and ownership, all within the context of the school curriculum, teachers and classroom teaching, the school, the family and community, and society.

Snow et al. also took a broader perspective than that of the National Reading Panel. They investigated a larger number of factors and analysed research studies that took various theoretical positions and employed a variety of research methodologies. These factors are seen as vital by Taylor et al. (2000), in their claim that U.S. educators, policy makers and the general public are seeking ‘a single, simple solution, such as directly teaching phonics, to the real and complex problem of improving the reading of young children in high-poverty schools’ (p. 23).

Some caution is needed when generalizing the findings of the U.S. reading research studies to the Australian context in that their focus was reading rather than literacy, so it would be expected that other factors would also be important for literacy teaching and learning within the context of Australian schools. It is noted that in such research the quality of the findings depends on the quality of the outcome measures used and, in the U.S., reliance has tended to be on multiple-choice measures of reading (though see Paris & Hoffmann, 2004, for descriptions of some current broader U.S. early literacy assessments). It is likely that such narrow testing would be strongly related to narrow methods of teaching reading, such as isolated word recognition and decoding, and may not generalise as strongly to the broader conception of literacy as it is defined in Australian school curricula. It should also be noted that, according to international studies (see, for example, Thomson, Cresswell & De Bortoli, 2004), the current reading literacy achievements of U.S. students are well below those of their Australian counterparts. It is possible that this disparity of achievement levels also may have an impact on research findings in the two contexts.

Nevertheless, bearing in mind these criticisms and cautions it can reasonably be concluded that the particular factors identified in the extensive studies of reading are important in early years reading/literacy learning and teaching. The National Reading Panel identified phonemic awareness, phonics, fluency in terms of guided oral reading, comprehension, and teacher professional development as having significant positive effects upon children’s reading achievement. And, given the fact that learning to read in English has been found to be more difficult than in most other European languages because of its syllabic complexity and orthographic depth (Seymour, 2001), it seems that decoding and fluency are areas of particular importance in the early stages of literacy learning. An overview of the findings of the National Reading Panel and some details of their analyses can be found in Table 2.1.
Table 2.1 Overview of National Reading Panel study of reading interventions (NRP, 2000)

<table>
<thead>
<tr>
<th>Teaching focus</th>
<th>Examples of studies meeting criteria</th>
<th>Type of analysis</th>
<th>Effect size of intervention</th>
<th>Overall Findings</th>
</tr>
</thead>
<tbody>
<tr>
<td>Phonological awareness</td>
<td>Byrne &amp; Fielding-Barnsley, 1991; 1993; 1995</td>
<td>Meta-analysis</td>
<td>Reading Spelling 0.53 0.59</td>
<td>Cause of improvement in PA, reading and spelling</td>
</tr>
<tr>
<td>Systematic Phonics</td>
<td>Torgesen <em>et al.</em>, 1997; 1999 Santa &amp; Hoiem, 1999</td>
<td>Meta-analysis</td>
<td>Overall 0.44</td>
<td>Benefits for children K-6</td>
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<td></td>
<td></td>
<td></td>
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<td>Most effective in K &amp; 1</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td>Synthetic phonics very effective</td>
</tr>
<tr>
<td>Fluency:</td>
<td>Labbo &amp; Teale, 1990 Reutzel &amp; Hollingsworth, 1993</td>
<td>Meta-analysis</td>
<td>Reading accuracy 0.55</td>
<td>Positive effects on word recognition, fluency &amp; comprehension for all grades and special education students</td>
</tr>
<tr>
<td>Guided oral reading</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Vocabulary</td>
<td></td>
<td></td>
<td></td>
<td>Combination of teaching methods most effective</td>
</tr>
<tr>
<td>Comprehension:</td>
<td>Markman, 1977; 1979; 1981 Palincscar &amp; Brown, 1984</td>
<td>General</td>
<td>NA</td>
<td>Positive effects on comprehension related skills and sometimes comprehension</td>
</tr>
<tr>
<td>Metacognitive strategies</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teacher Education</td>
<td>Duffy <em>et al.</em>, 1986; 1987 Brown <em>et al.</em>, 1995; 1996</td>
<td>General</td>
<td>NA</td>
<td>Inservice PD resulted in significantly higher student outcomes</td>
</tr>
<tr>
<td>Technology</td>
<td>Reinking, 1988</td>
<td>General</td>
<td>NA</td>
<td>Promising but inconclusive</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Snow et al. concentrated their attention on the prevention of learning difficulties in the early years and identified a range of factors as being important in early literacy learning. A summary of some important elements of early years classroom literacy instruction that they identified can be found in Table 2.2. It will be seen that there is a good deal of overlap with the elements identified by the National Reading Panel.

Table 2.2 Focus of effective early reading instruction (Snow et al., 1998)

<table>
<thead>
<tr>
<th>Focus of initial instruction</th>
<th>Focus of later instruction</th>
</tr>
</thead>
<tbody>
<tr>
<td>Understanding the alphabetic principle of the English writing system</td>
<td>A working knowledge of how sounds are represented alphabetically</td>
</tr>
<tr>
<td>Frequent opportunities to read and write</td>
<td>Reading fluency that comes from guided practice in reading a variety of texts</td>
</tr>
<tr>
<td>The structure of spoken words</td>
<td>Control over procedures for comprehension monitoring and vocabulary instruction</td>
</tr>
<tr>
<td>Using reading to obtain meaning from print</td>
<td>Interest and motivation</td>
</tr>
<tr>
<td>Exposure to frequent, regular spelling-sound relationships</td>
<td></td>
</tr>
</tbody>
</table>

Snow et al. also identified some characteristics of effective teachers of early reading that are described later in this chapter in terms of teacher effectiveness and early literacy teaching.

Hiebert and Taylor (2000) have examined early intervention programs. From their analysis of previous intervention studies and literature reviews, and in the light of theoretical perspectives about instruction that supports reading acquisition, they make some observations about effective reading instruction that are in accordance with the findings of the reports by the National Reading Panel and Snow et al. Specifically:

- Receiving well-designed and focused instruction during the primary grades leads to higher levels of reading proficiency amongst a significant proportion of an age group that typically does not do well in ‘status quo’ instruction;
- Starting early, with activities that are developmentally appropriate seems to be effective;
- Opportunities for teachers to learn are an essential part of reading interventions.

Findings from two related literacy research studies

Two DEST funded children’s literacy and numeracy projects have built on some of the reading research discussed. These are the 100 Children go to School (Hill et al., 1998) and Mapping the Territory: Primary School Students with Learning Difficulties in Literacy and Numeracy (Louden et al., 2000).

The 100 Children go to School project team set out to ‘explore the connections between literacy development prior to school and in the first year of formal schooling and to map the range of prior to school experiences in Australian states and territories’ (Hill et al., 1998, p. 1). The methodology involved a three level design, namely: case studies of 20 children from three states; literacy assessment data from 100 children, including the case study children and some of their classmates in Year One; and case summaries where quantitative and qualitative data from the 20 children were combined.

In terms of home school connections Hill et al. found that the children in the project came to school with various literacy experiences and ‘funds of knowledge’ that prepared them differentially for the language and literacy environments of school. It was also found that in most school sites teachers did not have access to knowledge and resources that could enable them to build on the diversity of children’s prior knowledge. Despite the wide variety of children’s prior to school experiences, the researchers describe the similarity of preschool and first years of school environments, although in preschool children had more choice of space and use of time and materials than in school settings.
In Teachers’ Hands

In terms of beginning to ‘do’ school the findings indicated that the children varied greatly in their analytical and strategic tools and dispositions to take on the ethos, culture and pedagogic routines of the classroom. In addition to becoming involved in classroom literacy instruction, children in the early years of school were required to learn ways of coping with a new environment that involved managing their own time, space, resources and bodies in accordance with school expectations of behaviour. Finally, whilst many aspects of pedagogy were examined, it was teacher talk, ‘the particular ways of explaining with clarity and precision what is known about reading and writing that is critical’ (p.13). Thus, important elements of effective literacy teaching identified in this study were teacher knowledge of children’s home backgrounds, the ability to adapt the literacy environment for individual learners, helping children take on the routines of the classroom, and ensuring clarity of explanations of literacy concepts.

The Mapping the Territory project was commissioned in order to provide a national picture of how students who experienced difficulties in literacy and numeracy learning were supported in their schools and to identify successful strategies for addressing their literacy and numeracy learning needs. Five separate data collection strategies were developed: a literature review, mapping of system and sector provisions, surveys of preservice and inservice education, a survey of school-level provision, and a set of school case studies from five states, selected because some aspect of their provision for children with learning difficulties was believed to be exemplary.

Some of the study results are particularly pertinent to the present study. It was found that the significant minority of children in the case study schools who were identified by their teachers as facing difficulties with literacy and numeracy were an extremely varied group. Some children identified by their teachers at school entry, often on the basis of immaturity of oral language and general behaviour, were slow to make a start in formal learning, but when given appropriate early learning experiences, were able to catch up with their peers.

A number of elements of effective early learning experiences for literacy were identified. These included whole school commitment to these students, and effective ‘first and second wave teaching’ (see Clay & Tuck, 1991). It was found that good first wave classroom teaching in the early years, that has a strong focus on literacy and engages children’s desire to learn, has the potential to help in the prevention of difficulties in literacy and numeracy. Components of effective first wave, regular classroom teaching that were found to be important for these children and some additional factors for early intervention were identified. Additional factors found to be important to first wave teaching and early intervention included regular assessment of literacy progress and a balance between the explicit teaching of skills, and reading and writing connected text at each child’s individual level.

**Reading/literacy research: Conclusions**

From this analysis of research literature on reading and literacy instruction, various factors have been identified that appear to be important in literacy teaching. In reading research there is a heavy emphasis on quantitative methodology that leads to overwhelming evidence in support of the teaching of particular facets of reading, specifically the word level alphabetic components of phonemic awareness and phonics; the broader text level component of comprehension that includes vocabulary knowledge; and fluency, a component of both word and text levels, that may be achieved through guided practice in reading aloud. There is also evidence for the importance of systematic, focused instruction in alphabetic skills. Additionally, there is some support for recognition of community practices, activities that address oral language, a balanced approach to reading, the provision of guided practice of skills and a variety of motivating, interesting experiences. Other findings are the need for focused attention on
students who make a slow start in learning to read and the importance of teacher professional development.

Results of the two DEST studies, which took a wider view of literacy than just reading and employed a range of research methods, confirm some of the findings from the reading research studies. Additional factors that seem to be important in early literacy classrooms are clarity of explanations, knowledge of children’s home backgrounds, adapting the literacy environment for individual differences, establishing classroom routines, teacher talk that includes clarity of explanations of literacy concepts, and regular assessment that guides planning.

**Key components of effective reading/literacy programs**

**Content knowledge**
- Balanced literacy curriculum that includes word and text level knowledge, with particular reference to phonemic awareness, phonics, fluency, comprehension and oral language

**Classroom practice**
- Systematic, explicit and focused instruction
- Guided practice of literacy skills
- A variety of motivating, interesting literacy experiences
- Diagnostic teaching of literacy in terms of regular assessment that guides planning
- Adapting the literacy environment for individual differences, including focused attention on students who make a slow start in literacy learning
- Precise teacher talk that includes clarity of explanations of literacy concepts
- Recognition of community knowledges and individual children’s home backgrounds
- Establishment of classroom literacy routines
- Teacher professional development that increases teachers’ knowledge of reading/literacy

**Teacher effectiveness research**

Research into teacher effectiveness is the second body of knowledge examined in this chapter. As teachers work within a school context it could be assumed that schools have the potential to effect changes in literacy outcomes for students. In recent times there has been a growing interest in a whole school approach to producing significant improvements in student outcomes (Louden *et al.*, 2000). The research area of school effectiveness is relatively new and during the past three decades has become sophisticated in the types of data collected and the statistical modelling techniques applied (Goldstein, Huiqi, Rath, & Hill, 2000; Scheerens & Bosker, 1997). Hill and Rowe (1996) found considerable variation across Australian primary schools in student achievement in English and mathematics in both unadjusted achievement and achievement adjusted for student intake and prior achievement. In their study school effects accounted for 16 to 18% of the total variance in student achievement.

Nevertheless, there has been a good deal of debate in the literature as to exactly which school-related variables influence student achievement. Darling-Hammond (2000) describes how a growing body of research shows that a substantial proportion of school effectiveness data can be attributed to teachers and that teacher effects are cumulative and additive. In reviewing the research literature she claims that effective teachers are those able to use a range of teaching strategies and interaction styles, adjusting them to the needs of different students and the demands of instructional goals, topics and methods. For a study of teacher quality and student achievement she triangulated data from 50 U.S. states that included surveys of state policies, case study analyses and
quantitative examinations of state student achievement levels, taking into account student characteristics. Results showed that teacher quality variables were most important in predicting achievement levels.

Similarly Hill and Rowe (1998) point to the importance of the teacher when they suggest that ‘it is the identity of the class to which the student belongs that is the key determinant of progress made by the student’ (p. 325). Using multi-level modelling techniques they found that when class identity was taken into account, between-school differences fell to between 5 and 8% of the variance in English and Mathematics achievement, while between 36 and 56% of the variance in English and Mathematics was accounted for by class membership (Hill & Rowe, 1996). Hill and Rowe interpret these results as showing that schools do make a difference, but that most of the difference is at the class level. At the class level it is the teacher who has the most control over classroom variables.

Finally, from meta-analyses that encompassed hundreds of thousands of research studies, Hattie and colleagues (Hattie, Clinton, Thompson & Schmidt-Davies, 1995; Hattie, 2003) report that the most salient features related to student learning in school are those controlled by the teacher. In terms of solutions to perceived school ‘problems’ Hattie concludes:

The answer…lies in the person who gently closes the classroom door and performs the teaching act - the person who puts into place the end effects of so many policies, who interprets the policies, and who is alone with students during their 15,000 hours of schooling (Hattie, 2003, pp. 2-3).

**Characteristics of effective teachers**

Research in the area of what makes an effective teacher has a long history, although a variety of terms has been used to describe the characteristics of teachers who make real differences to student academic and cognitive outcomes. In the 1960s, 1970s and 1980s a body of research concentrated on the quality of instruction in classrooms (Carroll, 1963, cited in Bloom, 1976; Bloom, 1976). Bloom refers to quality of instruction as involving management of learning and learners and claims that ‘it is the teaching not the teacher that is central, and it is the environment for learning in the classroom…that is important for school learning’ (1976, p. 111). He further claims, on the basis of research findings, that quality of instruction consists of cues to the learner, participation in the learning activity, reinforcement, feedback and correctives. Despite Bloom’s de-emphasising of the role of the teacher, it is clear that it is the teacher who creates and manages the learning environment in terms of providing the cues, reinforcement and feedback, in addition to ensuring participation of the learners.

A large research synthesis by Brophy and Good (1986) identified a number of ‘teacher behaviours that maximise student achievement’ (p. 360). The authors caution that the identification of these behaviours may be limited by grade level, student characteristics or learning objectives which indicates that:

> Effective instruction involves selecting (from a larger repertoire) and orchestrating those teaching behaviours that are appropriate to the context and to the teacher’s goals, rather than mastering and consistently applying a few ‘generic’ teaching skills’ (p. 360).

Brophy and Good classify effective teacher behaviours into seven groups, namely quantity and pacing of instruction, groupings and individualized instruction, giving information, questioning students, reacting to student responses, handling assignments and context specific findings. Within these groupings some factors seem to be particularly important. In terms of instruction, effective teachers actively teach, provide opportunities for learning, hold high expectations for achievement, ensure engaged time and student success, and use diagnostic teaching. In providing information the effective teacher is enthusiastic and presents it with clarity and appropriate pacing, structure,
sequence and degree of redundancy. Effective questioning techniques include appropriate levels of difficulty and wait time and ensuring clarity of questioning and participation by students. Effective reactions to student responses include acceptance of correct responses, follow up of partially correct responses, negation of incorrect responses and use of student responses in making teaching points. Effective teachers set assignments that are varied, motivating, meaningful, challenging, at an appropriate level and, in the early years of school, provide instruction in classroom routines and procedures. Brophy and Good found little definitive research evidence in the area of groupings and individualised instruction.

More recently, Hattie and colleagues (Hattie, 2003), on the basis of a review of the literature and a synthesis of over 500,000 studies identified five major dimensions of ‘expert’ teachers that it is claimed can distinguish them from other ‘experienced’ teachers. Sixteen attributes of expertise, which are outlined in Table 2.3, are subsumed under these five dimensions.

Table 2.3 Attributes of teacher expertise (Hattie, 2003)

<table>
<thead>
<tr>
<th>Identify essential representations of subject</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Deep representations about teaching and learning, resulting in ability to concentrate on instructional significance and adapt lessons to student needs</td>
</tr>
<tr>
<td>• Problem solving approach to their work, focusing on individual students’ performance and a flexible approach to teaching</td>
</tr>
<tr>
<td>• Anticipating, planning and improvising, seeking and using feedback</td>
</tr>
<tr>
<td>• Decision making, skill in keeping lesson on track but also building on student input</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Guide learning through classroom interactions</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Optimal classroom climate – increased probability of feedback, error welcomed and engagement the norm</td>
</tr>
<tr>
<td>• Multidimensional perspectives on classroom situations – effective classroom scanning</td>
</tr>
<tr>
<td>• Sensitivity to context – knowledge of students</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Monitor learning and provide feedback</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Feedback and monitoring learning</td>
</tr>
<tr>
<td>• Testing hypotheses about learning difficulties</td>
</tr>
<tr>
<td>• Automaticity of classroom skills – ability to deal with situational complexity</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Attend to effective attributes</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Respect for students – ability to overcome barriers to learning</td>
</tr>
<tr>
<td>• Passion for teaching and learning</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Influence student outcomes</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Motivation and engagement of students in learning</td>
</tr>
<tr>
<td>• Challenging tasks and goals</td>
</tr>
<tr>
<td>• Positive influence on student achievement</td>
</tr>
<tr>
<td>• Enhancement of surface and deep learning</td>
</tr>
</tbody>
</table>

Hattie explains that whilst content knowledge is of vital importance it does not appear in the attributes as a key distinguishing feature, since it is necessary for both experienced and expert teachers. He also explains that the distinguishing features are seen as overlapping facets of the whole profile so that no one feature by itself is necessary.

This profile informed a study that aimed to examine teacher expertise in terms of differences between teachers certified by the US National Board for Professional Teaching Standards (NBPTS) and experienced teachers who were not given certification by the board (Bond, Smith, Baker & Hattie, 2000). The certified teachers were found to be more effective in that they differed significantly from the non-certified teachers in the outcomes produced by their students, although, as the researchers point out, entering student ability was not assessed. The two groups of teachers also differed significantly on most of the teacher attributes. Together, the sixteen attributes identified 84% of the
teachers correctly. Thus, it can be seen that the ‘expert’ teachers were effective in terms of producing improved outcomes for their students and that the profile reliably differentiated effective teachers from other experienced teachers.

Another sophisticated study that was conducted for the U.K. Department for Education and Employment by Hay McBer (DfEE, 2000), identified three factors that predicted over 30% of the variance in student achievement: teaching skills, professional characteristics and classroom climate. Teaching skills or ‘micro-behaviours’ are defined as high expectations (challenge at an individual level), planning, variety of teaching strategies that ensure engagement, pupil management, time and resource management, assessment, homework, time on task and lesson flow. Professional characteristics overlap with teaching skills, but also include more personal characteristics such as drive for improvement, passion for learning, and flexibility. The classroom climate created by effective teachers is characterised by clarity of purpose, order, clear standards, fairness, participation, support, safety, interest and a positive environment.

This research was undertaken in a ‘representative sample’ of U.K. primary and secondary schools, using the difference between beginning and end of year assessment of students as the outcome variables, along with a range of ‘complementary data-collection techniques’. The researchers conclude that their research ‘confirms much of what is already known about teacher effectiveness’ and ‘adds some new dimensions that demonstrate the extent to which effective teachers make a difference for their pupils’ (Key Findings 1.1.1). In this study it is claimed that:

Outstanding [the most effective] teachers create an excellent classroom climate and achieve superior pupil progress largely by displaying more professional characteristics at higher levels of sophistication within a very structured learning environment (DfEE, 2000, Key Findings 1.1.9).

Scheerens and Bosker (1997) also undertook a large analysis of effectiveness research. Whilst their main focus was school effectiveness, several important factors relating to classroom climate, not specifically identified in the literature so far were outlined. Under the classifications of good relationships and satisfaction these include ‘the classroom fun factor’ (p. 124) or pleasure, warmth towards pupils and empathy or rapport with students. Under the classification of orderliness several factors relate to teacher credibility in terms of clarity of rules and firm but friendly control.

Within the Australian context the Productive Pedagogies framework (Education Queensland, 2002) has been used to examine classroom practices (Lingard et al., 2001) in terms of 20 dimensions that have associated focus questions to guide scoring (see Table 2.4). It is being promoted as a tool for teachers to enable them ‘to reflect critically on their work’ (Education Queensland, 2002, Introduction). Whilst this framework focuses on students, the dimensions are in effect proxy measures of teacher behaviour in that they are potentially under the control of the teacher.
Table 2.4 Productive Pedagogies dimensions and guiding questions (Education Queensland, 2002)

<table>
<thead>
<tr>
<th>Dimension</th>
<th>Guiding question</th>
</tr>
</thead>
<tbody>
<tr>
<td>Higher order thinking</td>
<td>Are students using higher-order thinking operations within a critical framework?</td>
</tr>
<tr>
<td>Deep knowledge</td>
<td>Does the lesson cover operational fields in any depth, detail or level of specificity?</td>
</tr>
<tr>
<td>Deep understanding</td>
<td>Do the work and responses of the students demonstrate a deep understanding of concepts or ideas?</td>
</tr>
<tr>
<td>Substantive conversation</td>
<td>Does classroom talk lead to sustained conversational dialogue between students, and between teacher and students, to create or negotiate understanding of subject matter?</td>
</tr>
<tr>
<td>Knowledge as problematic</td>
<td>Are students critically examining texts, ideas and knowledge?</td>
</tr>
<tr>
<td>Metalanguage</td>
<td>Are aspects of language, grammar and technical vocabulary being given prominence?</td>
</tr>
<tr>
<td>Student direction</td>
<td>Do students determine specific activities or outcomes of the lesson?</td>
</tr>
<tr>
<td>Social support</td>
<td>Is the classroom characterised by an atmosphere of mutual respect and support between teacher and students, and among students?</td>
</tr>
<tr>
<td>Academic engagement</td>
<td>Are students engaged and on task during the lesson?</td>
</tr>
<tr>
<td>Explicit quality performance criteria</td>
<td>Are the criteria for judging the range of student performance made explicit?</td>
</tr>
<tr>
<td>Self-regulation</td>
<td>Is the direction of student behaviour implicit and self-regulatory?</td>
</tr>
<tr>
<td>Cultural knowledge</td>
<td>Are non-dominant cultures valued?</td>
</tr>
<tr>
<td>Inclusivity</td>
<td>Are deliberate attempts made to ensure that students from diverse backgrounds are actively engaged in learning?</td>
</tr>
<tr>
<td>Narrative</td>
<td>Is the style of teaching principally narrative or is it expository?</td>
</tr>
<tr>
<td>Group identity</td>
<td>Does the teaching build a sense of community and identity?</td>
</tr>
<tr>
<td>Active citizenship</td>
<td>Are attempts made to encourage active citizenship within the classroom?</td>
</tr>
<tr>
<td>Knowledge integration</td>
<td>Does the lesson integrate a range of subject areas?</td>
</tr>
<tr>
<td>Background knowledge</td>
<td>Are links with students’ background knowledge made explicit?</td>
</tr>
<tr>
<td>Connectedness to the world</td>
<td>Is the lesson, activity or task connected to competencies or concerns beyond the classroom?</td>
</tr>
<tr>
<td>Problem-based curriculum</td>
<td>Is there a focus on identifying and solving intellectual and/or real-world problems?</td>
</tr>
</tbody>
</table>
In contrast to many of the teacher behaviours identified in the effective teacher research, the Productive Pedagogy dimensions have an emphasis on the active construction of higher order knowledge by students, the problematisation of knowledge, the inclusion of non-dominant groups and the world beyond the classroom (Luke, 2003).

An offshoot of the effective teacher research has been a growing interest in professional standards for teachers that can be used for accreditation purposes by employers and professional organisations. Research into the effectiveness and expertise of teachers has been used to inform these standards (see ACE, 2002; Ingvarsen, 1998; IRA, 2001; OECD, 1994; STELLA, n.d.). These standards are based on the type of research presented above and are not therefore discussed further.

**Teacher effectiveness research: Conclusions**

The literature on teacher effectiveness has examined teacher behaviour and classroom practice in terms of their effects upon student academic outcomes. Research methodology has been largely reductionist in nature, although the Productive Pedagogies framework takes a broader perspective. From this research a clear picture of effective practitioners and their classrooms emerges. Effective practitioners have a variety of positive characteristics, such as passion for their work, a drive to improve and fairness. Classrooms are characterised by a high level of participation as students are motivated and engaged in learning and, particularly in early years classrooms, routines are consistently established. There is also a clear sense of purpose in terms of subject knowledge that is meaningful and addresses deep and significant learning with clear explanations of concepts and skills.

Effective teachers are automatic managers of students, time and resources, who constantly scan the classroom so that they have a high level of awareness or ‘with-it-ness’, they pace instruction appropriately, use time productively making use of the smallest windows of opportunity, provide a structured, orderly and safe classroom where parameters are clearly defined, yet are able to flexibly take advantage of learning opportunities as they arise. Effective teachers also provide a high level of support for their students in that they build on student contributions, provide a high degree of redundancy that allows for students to have many opportunities for practice, give feedback that is clearly focused on student responses and use diagnostic teaching practices that are based on analysis of student assessment data. In terms of differentiation for individual students effective teachers adapt instruction for individual differences and provide a high level of challenge that is targeted to individual needs. Finally, effective teachers are credible and fair, establish rapport and mutual respect with their students and generally create a positive, safe and warm classroom climate.

The Productive Pedagogies framework introduces a much broader range of classroom characteristics, some of which have been previously identified. Many of these focus on depth and integration of knowledge and its problematic nature in addition to the ways in which knowledge is constructed, such as through the use of metalanguage and narrative. There is also a very strong emphasis on the inclusion of non-dominant groups, and connections between student background knowledge, community knowledge and class knowledge. Other dimensions of classroom climate that relate to a sociocultural view of learning are active citizenship and student self-regulation that leads to independence in learning.

**Key attributes of effective teachers**

From the research into teacher effectiveness various attributes of effective teachers have been identified that relate to their personal qualities, the classroom climate they create and their behaviours in the classroom:
Chapter 2: Perspectives from the Literature

Personal qualities
- Passion for their work
- Drive to improve
- Fairness and credibility
- Respect for students and ability to easily establish rapport with them

Classroom climate
- Positive, safe and warm
- High level of participation
- Motivation and engagement in learning
- Established classroom routines
- Structured, safe and orderly
- Sense of purpose
- Active citizenship
- Student self-regulation, leading to independence in learning
- Pleasure

Behaviours
- Efficiently manage students, resources and time (using the smallest windows of opportunity productively) with awareness of the many competing demands of the classroom
- Provide a high degree of support for students
- Give clear explanations of concepts and skills
- Are flexible in seizing learning opportunities and building on student contributions
- Provide for deep and significant learning that may be problematised
- Provide many opportunities for practice of taught material and a high degree of redundancy
- Provide focused and timely feedback
- Pace teaching appropriately
- Use diagnostic teaching based on analysis of student assessment data
- Differentiate instruction for individual needs, including challenging all students at their individual levels
- Use metalanguage and narrative
- Include students from non-dominant groups and make connections between students’ different knowledge sources

**Teacher effectiveness and the teaching of early literacy**

In the discussion of teacher effectiveness thus far, little account has been taken of the fact that effective early years literacy teachers bring about positive outcomes for young children in the specific area of literacy. In many research studies of attributes of effective teachers, the age range of the students taught has not been taken into account. For example in the study by Hattie and colleagues (Hattie, 2003) the teachers identified as expert on the basis of NBTPS certification were teaching across grade levels K-12. Those in the Hay McBer study (DfEE, 2000) were teaching across similar age ranges. Further, whilst teachers have often been identified as effective on the basis of improved student academic outcomes, the content area of these outcomes has varied.\(^3\) The focus of this chapter therefore now turns to the third body of research literature examined, namely the specific area of effective teachers of literacy with particular emphasis on effective teachers of early literacy.

\(^3\) It is noted that a sizeable proportion of the school and teacher effectiveness research addressing effectiveness in terms of student achievement has included literacy as an outcome variable (for example, Bond, Smith, Baker & Hattie, 2000; Brophy & Good, 1986; Hill & Rowe, 1998; Tymms, 1999).
General research syntheses have indicated that student-related variables account for about 50% of the variance in achievement (Hattie, 2003). However, results of meta-analysis (La Paro & Pianta, 2000) show that around 25% of the variance in early school academic/cognitive performance is accounted for by preschool or kindergarten academic or cognitive variables, and that only around 10% of the variance in social/behavioural measures in kindergarten, first and second grade is accounted for by these variables measured in preschool or kindergarten. Accordingly, since much early academic, cognitive and behavioural progress does not appear to be determined by pre-existing child factors, it seems that teacher practice during the early school years has the potential to make large contributions to literacy outcomes for students.

Underpinning this literature review has been the vital importance of the role of the teacher in early years literacy teaching. It is the teacher who delivers the literacy program within the context of the school community. It has been shown that both the National Reading Panel (2000) and Snow et al. (1998) identified specific features of effective classroom practice for early literacy learning. Snow et al. claim that research findings converge to show that quality classroom instruction in the early years of school is the ‘single best weapon against reading failure’ (p. 343). Further, they declare that the skills of good teachers are extremely complex, ‘Effective teachers are able to craft a special mix of instructional ingredients for every child they work with’ (pp. 2-3). They identified, from previous research, some characteristics of effective teachers of early literacy (see Table 2.5). These findings address both general classroom and early intervention literacy practice.

Table 2.5 Some characteristics of effective early literacy teachers (Snow et al., 1998)

<table>
<thead>
<tr>
<th>General classroom practice</th>
<th>Effective early intervention practice</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Strong knowledge base</td>
<td>• Strong knowledge base</td>
</tr>
<tr>
<td>• Planning instruction to meet diverse needs</td>
<td>• Planning a daily program for much of the school year</td>
</tr>
<tr>
<td>• Creating a ‘literate environment’ with access to a variety of reading and writing materials</td>
<td>• Allocating additional time in reading (not sufficient by itself)</td>
</tr>
<tr>
<td>• Providing explicit instruction in reading and writing in ‘authentic’ and ‘isolated’ practice</td>
<td>• Providing a variety of activities, including reading and rereading of continuous text, writing, word study and decoding strategies</td>
</tr>
<tr>
<td>• Creating multiple opportunities for sustained reading practice</td>
<td>• Carefully choosing materials to include engaging texts</td>
</tr>
<tr>
<td>• Choosing a variety of texts at children’s instructional level, especially children’s literature, including Big Books</td>
<td>• Integrating assessment into the program</td>
</tr>
<tr>
<td>• Providing activities that link reading and writing</td>
<td>• Engaging in professional development</td>
</tr>
<tr>
<td>• Adjusting groupings and explicitness of instruction according to individual needs</td>
<td></td>
</tr>
<tr>
<td>• Encouraging self-regulation through meta-cognitive strategies</td>
<td></td>
</tr>
<tr>
<td>• ‘Masterful’ management of the classroom</td>
<td></td>
</tr>
</tbody>
</table>

This emphasis on effective early years literacy teaching for all children has been taken up by other researchers. Based on research literature, Strickland (2001) describes a number of ‘at risk’ factors in young children’s literacy learning, one of which is ‘ineffective classroom practices’ (p. 325). Ineffective practices under the control of the teacher include less evidence in the following areas: student time on task, presentation of
new material, high expectations for students, and positive reinforcement, and more evidence of classroom management problems, classroom interruptions and less friendly classroom climate. Strickland claims that for some students from diverse backgrounds such negative classroom practices interact with other factors outside the control of the teacher, such as low socio-economic status and limited proficiency in English, to particularly disadvantage these children. Strickland argues for high quality preventative and intervention programs, distinct features of which have already been identified.

A study commissioned by the UK government Teacher Training Agency (Wray, Medwell, Fox, & Poulson, 2000; Wray, Medwell, Poulson & Fox, 2002) built on the existing body of research into teacher effectiveness in order to examine the characteristics of effective primary school literacy teachers. A group of these teachers was identified as effective on the basis of above-average learning gains in reading for the children in their classes. In addition to this group of ‘effective’ teachers a validation sample of teachers not so identified also took part in the study. Questionnaires were sent to 228 ‘effective’ and 71 ‘validation’ teachers, and 26 ‘effective’ and ten validation teachers were observed in their classrooms on two occasions and interviewed. Results (see Table 2.6) suggest that the practices of effective teachers differed from those of validation teachers in particular ways.

Table 2.6 Differences of practice between effective and validation literacy teachers (Wray et al., 2002)

<table>
<thead>
<tr>
<th>Difference in Practice</th>
</tr>
</thead>
<tbody>
<tr>
<td>Reading practices - more use of Big Books, use of other adults, short regular teaching sessions</td>
</tr>
<tr>
<td>Embedding of teaching of reading in a wider context – using whole texts as the basis for teaching skills and having a clear purpose for this</td>
</tr>
<tr>
<td>Making explicit connections between levels of text</td>
</tr>
<tr>
<td>Brisk pace – refocusing of attention onto task and reviewing learning</td>
</tr>
<tr>
<td>Modelling and demonstrations accompanied by verbal explanations of metacognitive processes</td>
</tr>
<tr>
<td>Differentiation of tasks and support for individuals and groups</td>
</tr>
<tr>
<td>Heavy emphasis on literacy and use of the literacy environment</td>
</tr>
<tr>
<td>Clear assessment procedures informing choice of literacy content appropriate for student needs</td>
</tr>
</tbody>
</table>

A study in the U.S. by Taylor, Pearson, Clark and Walpole (1999) specifically addressed both school and teacher effectiveness in terms of early literacy acquisition (K-grade 3). Using a wide range of quantitative and qualitative data sources, they examined programs and practices in 14 schools containing educationally disadvantaged students, all but three of which had been nominated as producing better than expected reading achievement results. The schools were located in four states of the U.S. and a stratified sample of students from each classroom was administered beginning and end of year literacy assessments. Statistically significant school factors were found to include strong home-school links, systematic assessment of student progress, strong communication and a collaborative model for the delivery of reading instruction that included early intervention. Statistically significant teacher factors included time spent in small group instruction, time spent in independent reading, high student engagement, and strong home communication. Further, the most effective teachers were frequently observed teaching word recognition by scaffolding children when reading aloud, as well as by providing explicit phonics instruction. Effective teachers in effective schools were frequently observed asking higher order questions and in all the most effective schools reading was seen as a priority.

In Australia the Victorian Early Years Literacy Project was based on research into both school and teacher effectiveness as well as literacy acquisition, and in trial schools
significant gains in literacy were made by students. Hill and Crevola (1999) suggest that the most significant features of the program in terms of promoting change and development are the uninterrupted two-hour literacy block, the setting of rigorous targets, a focus on data-driven instruction involving beginning and end of year assessments, the integration of Reading Recovery into the program, the appointment of an early years literacy coordinator and intensive professional development. Home/school/community partnerships were also part of the project design (see also Hill & Jane, 2001).

Rowe and Rowe (1999) included data from the Early Years Literacy Project in a large study that examined models of the relationship between student attentive-inattentive behaviour in the classroom and achievement. Building on work into inattentive behaviour (for example Hinshaw, 1994) they point out that this behaviour, particularly by boys in the early years of school, is associated not only with poor attainment in literacy for these children, but also with diminution of educational opportunities for their classmates. Results of the study indicated a relationship between inattentiveness in the classroom and literacy achievement that was ‘reciprocal and mediated by the dynamic inter-dependent effects of prior and concurrent inattentive behaviours and literacy achievement, as well as being subject to background and contextual influences–both at the student level and at the class/teacher level’ (Rowe & Rowe, 1999, p. 49).

In other words, results showed that, whilst relationships were complex, it was the class and teacher to which children were assigned that was an important determinant of both attention and literacy, regardless of family background. As such it seems that teachers, who are able to use ‘strategic, structured approaches to the teaching of early literacy that meet individual needs’ (p. 76) and thus exercise more control over inattentive behaviours, would be more effective teachers of literacy.

A recent study that has analysed the research on effective teaching practices is that by Mazzoli and Gambrell (2003). They identified ten research-based best practices for effective literacy instruction with ‘the notion of teacher as instructional designer in mind’ (p. 13) that ‘provide children with the skill and the will they need to become proficient and motivated literacy learners’ (see Table 2.7).

Table 2.7 Research-Based Best Practices for Literacy Teaching (Mazzoli & Gambrell, 2003)

<table>
<thead>
<tr>
<th>Practice</th>
</tr>
</thead>
<tbody>
<tr>
<td>Teach reading for a variety of purposes</td>
</tr>
<tr>
<td>Use quality literature</td>
</tr>
<tr>
<td>Integrate word level elements into the total literacy program</td>
</tr>
<tr>
<td>Use multiple texts</td>
</tr>
<tr>
<td>Balance teacher and student inputs</td>
</tr>
<tr>
<td>Build class community and background knowledge</td>
</tr>
<tr>
<td>Work with students in small groups</td>
</tr>
<tr>
<td>Give plenty of time to read in class</td>
</tr>
<tr>
<td>Balance direct and guided instruction and independent learning</td>
</tr>
<tr>
<td>Use a variety of instructional techniques</td>
</tr>
<tr>
<td>Use knowledge of linguistic concepts implicitly in their teaching</td>
</tr>
</tbody>
</table>

Mazzoli and Gambrell also articulate eight principles of best practice that are grounded in constructivist learning theory and which they believe represent ‘common ground’ in that they will be accepted by researchers and practitioners who hold a variety of theoretical perspectives. These principles are summarised in Table 2.8.

Mazzoli and Gambrell also emphasise that it is the teacher who crafts the classroom literacy program and that effective teachers perform a complex juggling act as they
control the balance of content and emphasis, as well as making adjustments for the changing needs of individual students, as they guide, model, support and introduce them to worthwhile texts.

Table 2.8 Principles of best practice for literacy teaching (Mazzoli & Gambrell, 2003)

- Learning is meaning making
- Prior knowledge guides learning of individual students
- Scaffolded instruction facilitates learning, with supports gradually removed
- Social collaboration enhances learning
- Learners learn best when they are motivated, interested and involved
- The goal is to develop high-level, strategic readers and writers
- Instruction is balanced
- Practice is based on informed decision making

Research on effective teachers of literacy/early literacy: Conclusions

Findings from the research literature on the effective teaching of literacy, in particular the effective teaching of early literacy, have much in common with the effective teaching literature. Some additional teacher characteristics have been identified from this body of research.

Additional key attributes of effective teachers of early literacy

- Strong literacy knowledge base that informs teaching
- Creation and use of a literate environment
- Scaffolding literacy learning through a variety of techniques
- Varying the use of groupings for literacy instruction to suit class and individual needs
- Ensuring children’s attention is focused on literacy tasks.

Discussion

The focus of this chapter has been effective literacy teaching and learning practices in the early years of school. As reading is the component of literacy that internationally has had the most attention in the research literature there has been some focus on this and a relative neglect of writing. A search of the ERIC database using the keywords ‘young children’ and ‘writing’ identified only 32 citations, many of which were descriptions of children’s early writing development (for example, Newkirk, 1987). Where there was assessment of children’s writing progress it was usually within the context of other variables, such as self-regulated learning (Perry & VandenKamp, 2000). Additionally, in the study by Wray and colleagues (2002), whilst the practices of effective teachers could be distinguished from those of validation teachers in many areas, in the area of teaching writing, differences between the two groups of teachers were not so clear. Thus, the omission of effective practices specifically for the teaching of writing reflects the lack of definitive research in the area.

In order to identify effective literacy teaching and learning practices, literature from a number of political and geographical English-speaking contexts has been examined. The research studies accessed have represented various research paradigms, both quantitative and qualitative. In order to allow for findings that are backed up by converging evidence, studies in which large meta-analyses have been conducted have been given some prominence. Nevertheless, in order to present as broad a picture as possible, some attention has also been given to targeted individual studies. Additionally, the literature from a number of educational research areas has been accessed in order to help identify effective practice.
The literature has included government commissioned projects into effective literacy practice in general and effective early years literacy practice in particular. There has also been some cognisance of literature relating to students who may be seen as ‘at risk’ during early literacy learning, and strategies that have the potential to decrease their risk of developing learning difficulties. Finally studies have been examined that have specifically related the literature on effective teaching to the effective teaching of literacy, including effective teaching of early literacy.

It has been shown that literacy is taught, learnt and researched in a variety of contexts. Within these contexts there are different definitions of literacy (in some it is limited to the reading strand) and various research methodologies have been used to study its acquisition and teaching. In addition, within the English speaking contexts from which the research reviewed here has originated, there is a large amount of government interest in the topic and of government commissioned research. Whilst it is acknowledged that research commissioned by government agencies has enabled significant advances in knowledge in the area, two observations need to be made.

Firstly, within the Australian context, government commissioned school-based literacy research has included a range of research methodologies, with a strong emphasis on qualitative research. Within the United States, the research report *Preventing Reading Difficulties in Young Children* (Snow et al., 1998) had an emphasis on experimental research but also examined research from other perspectives. On the other hand, the National Reading Panel (NRP, 2000) examined only experimental research, used meta-analysis as the main form of data analysis and included a very limited number of reading-related factors. It seems that, if a comprehensive picture of literacy learning and teaching within a particular context is to be found, research from a variety of perspectives that includes a range of factors, is essential.

Secondly, within the context of educational benchmarking and target setting there is a tendency by some governments to focus teaching and research agendas on learners ‘at risk’ of educational failure. In terms of equity of access to educational outcomes for these students this is an admirable focus. Yet, as Luke (2003) has pointed out, too strong a focus on ensuring that all children reach benchmarks may result in a narrowing and ‘dumbing down’ of the curriculum that results in a lack of challenge for many students, particularly the most able. In the Programme for International Student Assessment (PISA) survey of upper secondary students’ reading skills (Lokan, Greenwood, & Cresswell, 2001) it was found that the reading proficiency of the most able Australian students was extremely high, with 18% of students achieving the highest proficiency level, compared with an OECD average of 10%. In this survey students were required to understand the contexts in which written texts were developed and to use this contextual understanding to interpret and reason about texts (Masters, 2000). It therefore seems important that Australian schools continue to challenge and extend the higher order reading skills of students. At the same time it is also most important that Australian schools find ways of increasing the reading skills of those students at the lowest proficiency levels. Indigenous students, those from low SES backgrounds and boys were over-represented at the lowest proficiency levels in the PISA survey.

In the research areas investigated for this study there are some converging findings from a variety of contexts and research paradigms. Nevertheless, in identifying what might be effective strategies for teaching and learning literacy in the early years of school in Australia, it is necessary to take into account the Australian context and its relatively small population of children and educational researchers. As Clay (1998) has cautioned:  

"Science relies on replication of results, so countries with a large research community will provide many confirmations of their [children’s] paths to [literacy]"
acquisition, and countries with a small volume of research will be hard pressed to demonstrate that the world could be otherwise (p. 90).

**Summary and Conclusions**

Based on this review of research literature, characteristics of effective teachers of early literacy can be classified along six broad dimensions, each of which contains subgroups of specific classroom practices. These dimensions and teaching practices have been formulated from research findings concerning the characteristics and content knowledge of effective teachers, in addition to their classroom practices that include the creation of the classroom climate. They form the basis of the Classroom Literacy Observation Schedule (CLOS) that was devised in order to observe literacy classrooms in this study. We have endeavoured to include key findings from a wide range of research studies, but choices have had to be made in view of the study purposes. Findings from various studies have been synthesised to form each dimension and indicator of teaching practice. It will be noted that some dimensions focus largely on teacher behaviours, while others focus more on the behaviours of children. The child behaviours are proxy indicators of teacher effectiveness in that it is the teacher who potentially has control over these child behaviours in the classroom. In the following description the dimensions and associated practices are justified on the basis of examples of the research studies presented in this chapter.

**Ways in which the teacher organises for and motivates children’s participation in classroom literacy tasks**

**Attention:** Almost all children are focused on literacy learning (Rowe & Rowe, 1999; Wray *et al*., 2000)

**Engagement:** Children are deeply absorbed in the literacy lesson/task (Brophy & Good, 1986; DfEE, 2000; Hattie, 2003; Taylor *et al*., 1999)

**Stimulation:** The teacher motivates interest in literacy tasks, concepts and learning (Brophy & Good, 1986; Hattie, 2003; Mazzoli & Gambrell, 2003)

**Pleasure:** The teacher creates an enthusiastic and energetic literacy classroom (Scheerens & Bosker, 1997; Snow *et al*., 1998)

**Consistency:** Strong literacy routines are recognised and understood by the children (Brophy & Good, 1986; Hill *et al*., 1998)

**Ways in which the teacher uses her knowledge of literacy to effectively teach significant literacy concepts and skills**

**Environment:** Literate physical environment is used as a teaching resource (Hattie, 2003; Snow *et al*., 1998; Wray *et al*., 2000)

**Purpose:** Children’s responses indicate tacit or explicit understanding of the purpose of the task (Mazzoli & Gambrell, 2003; Wray *et al*., 2000)

**Substance:** The lesson/task leads to substantial literacy engagement, not busy-work (Education Queensland, 2002; Hattie, 2003)

**Explanations:** Explanations of literacy concepts and skills are clear and at an appropriate level (Brophy & Good, 1986; Hill *et al*., 1998)

**Modelling:** Demonstrations of reading and writing tasks include metacognitive explanations (Snow *et al*., 1998; Wray *et al*., 2000)

**Metalanguage:** Children are provided with language for talking about and exemplifying literacy concepts (Education Queensland, 2002)

**Ways in which the teacher manages or orchestrates the demands of the literacy classroom**

**Awareness:** The teacher has a high level of awareness of literacy activities and participation by children (Hattie, 2003; Snow *et al*., 1998)

**Structure:** The environment is predictable and orderly (DfEE, 2000; Scheerens & Bosker, 1997)
Flexibility: The teacher responds to learning opportunities that arise in the flow of literacy lessons (DfEE, 2000; Hattie, 2003)

Pace: The teacher provides strong forward momentum in literacy lessons (Brophy & Good, 1986; Wray et al., 2000)

Transition: Minimum time is spent in transitions or there is productive use of transitions (Bloom, 1976; DfEE, 2000; Strickland, 2001)

Ways in which the teacher supports children’s literacy learning

Assessment: The teacher uses fine-grained knowledge of children’s literacy performance in planning and teaching (Hill & Crevola, 1999; Louden et al., 2000; Wray et al., 2000)

Scaffolding: The teacher extends children’s literacy learning through modelling, modifying, correcting (Bloom, 1976; Brophy & Good, 1986; Taylor et al., 2000)

Feedback: The teacher gives timely, focused and explicit literacy feedback to children (Bloom, 1976; Hattie, 2003; Strickland, 2002)

Responsiveness: The teacher shares and builds on children’s literacy contributions (Brophy & Good, 1986; Hattie, 2003)

Explicitness Word level: The teacher directs children’s attention to explicit word and sound strategies (Mazzoli & Gambrell, 2003; NRP, 2000; Snow et al., 1998; Taylor et al., 1999)

Explicitness Text level: The teacher makes explicit specific attributes of a text (Mazzoli & Gambrell, 2003; NRP, 2000; Snow et al., 1998)

Persistence: The teacher provides many opportunities to practise and master new literacy learning (Brophy & Good, 1986; Snow et al., 1998)

Ways in which the teacher differentiates tasks and instruction for individual learners, providing individual levels of challenge

Challenge: The teacher extends and promotes higher levels of thinking in literacy learning (Brophy & Good, 1986; DfEE, 2000; Education Queensland, 2002; Hattie, 2003)

Individualisation: Differentiated literacy instruction recognises individual differences (S. Hill et al., 1998; Snow et al., 1998; Wray et al., 2000)

Inclusion: The teacher facilitates inclusion of all students in the literacy lessons (Education Queensland, 2002; Snow et al., 1998)

Variation: Literacy teaching is structured around groups or individuals (Mazzoli & Gambrell, 2003; Snow et al., 1998; Taylor et al., 2000)

Connection: Connections are made between class and community literacy-related knowledge (Hill et al., 1998; Education Queensland, 2002; Mazzoli & Gambrell, 2003)

Ways in which the teacher gains the respect of the children and in which the children demonstrate respect

Warmth: Welcoming, positive and inviting classroom is focused on literacy learning (Scheerens & Bosker, 1997; Snow et al., 1998)

Rapport: Relationships with the children support tactful literacy interventions (Brophy & Good, 1986; DfEE, 2000; Hattie, 2003)

Credibility: Respect for the teacher enables her to overcome any challenges to order and lesson flow (DfEE, 2000; Scheerens & Bosker, 1997)

Citizenship: Equality, tolerance, inclusivity and awareness of the needs of others are promoted (Education Queensland, 2002)

Independence: Children take some responsibility for their own literacy learning (Education Queensland, 2002; Mazzoli & Gambrell, 2003; Snow et al., 1998)
Chapter 3: Methodology

Overview

This study has built an evidential link between children’s growth in English literacy in the early years of schooling and their teachers’ classroom practices. The approach combined quantitative and qualitative research strategies in eight phases, as illustrated in Figure 3.1 and described briefly below:

Figure 3.1 Phases of the research process

1. Literature Review
2. CLOS Survey
3. LLANS Literacy Assessments
4. Value-Added Analysis
5. Classroom Observation
6. Video Coding
7. Quantitative Analysis
8. Qualitative Analysis

Review of the literature on effective teaching, literacy teaching and learning, and effective teaching of early literacy; Based on findings from the literature review, development of the Classroom Literacy Observation Schedule (CLOS), a tool with which to observe early literacy teachers at work in their classrooms;

Assessment of a nationally representative sample of children in their first and second years of schooling, using the literacy assessment tasks developed and employed in ACER’s Longitudinal Literacy and Numeracy Study (LLANS);

‘Value added’ analyses to identify three groups of teachers; those who were more effective, as effective, or less effective than expected, based on prior achievement-adjusted, mean-point estimates of class/teacher-level residuals of children’s LLANS assessments;

Classroom observation, including videotaped records of literacy teaching in selected classrooms of teachers identified as more effective, effective and less effective and Video coding of a representative selection of classroom literacy activities in each observed classroom, coded using the CLOS rating protocol;

Quantitative analysis of the video coding data, including frequency of each of the CLOS literacy teaching practices in the observed classrooms, confirmatory factor analysis of the CLOS dimensions, and Rasch analysis to estimate teacher effectiveness in terms of a teacher’s Repertoire of Literacy Teaching Practices; and

Qualitative analysis was made across the video cases through the application of each of the CLOS teaching practices by the more effective, effective and less effective teachers.
Development of the Classroom Literacy Observation Schedule

The Classroom Literacy Observation Schedule (CLOS) was designed to register teaching practices identified in the literature review as contributing to effective early years literacy teaching. The first step in the development of CLOS was to visit the classrooms of several teachers, including a teacher regarded as particularly effective. Video records of several visits were made. With these videotapes and observations as a common anchor for the researchers, the literacy teaching practices identified in the project’s literature review were reconsidered. A set of propositions was developed, each of which was thought likely to be rated as observable or not observable in the anchor classroom.

More than a dozen iterations of this list were produced prior to a pilot version of CLOS being trialled in several additional classrooms selected to represent schools in a range of social and cultural circumstances. The final CLOS schedule included two axes: the teaching activity axis, and the teaching practice axis. The activity axis listed 17 common teaching activities, such as ‘shared reading’ and ‘modelled writing’. This list is shown in Table 3.1. The CLOS teaching practice axis included 33 Literacy teaching practices (Table 3.2), grouped into six dimensions: Participation, Knowledge, Orchestration, Support, Differentiation and Respect. Within each of these dimensions, five to seven indicators relate to literacy teaching practices. Chapter 5 provides an empirical justification for the theoretically derived items on the two CLOS axes.

Table 3.1 Classroom Literacy Observation Schedule (Teaching Activity Axis)

<table>
<thead>
<tr>
<th>Activities</th>
</tr>
</thead>
<tbody>
<tr>
<td>Shared Book</td>
</tr>
<tr>
<td>Reading to Children</td>
</tr>
<tr>
<td>Guided Oral Reading</td>
</tr>
<tr>
<td>Independent Silent Reading</td>
</tr>
<tr>
<td>Hearing children read</td>
</tr>
<tr>
<td>Modelled writing</td>
</tr>
<tr>
<td>Shared writing</td>
</tr>
<tr>
<td>Interactive writing</td>
</tr>
<tr>
<td>Independent writing</td>
</tr>
<tr>
<td>Spelling activities</td>
</tr>
<tr>
<td>Language experience</td>
</tr>
<tr>
<td>Socio-dramatic play</td>
</tr>
<tr>
<td>Literacy related computer activities</td>
</tr>
<tr>
<td>Use of commercial literacy programs</td>
</tr>
<tr>
<td>Phonics</td>
</tr>
<tr>
<td>Organisational Activities: Independent group work</td>
</tr>
<tr>
<td>Organisational Activities: Task board discussion</td>
</tr>
</tbody>
</table>
Table 3.2 Classroom Literacy Observation Schedule (Practice Axis) (Louden & Rohl, 2003)

<table>
<thead>
<tr>
<th>Participation</th>
<th>Attention</th>
<th>Almost all children are focused on literacy learning</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Engagement</td>
<td>Children are deeply absorbed in the literacy lesson/task</td>
</tr>
<tr>
<td></td>
<td>Stimulation</td>
<td>The teacher motivates interest in literacy tasks, concepts and learning</td>
</tr>
<tr>
<td></td>
<td>Pleasure</td>
<td>The teacher creates an enthusiastic and energetic literacy classroom</td>
</tr>
<tr>
<td></td>
<td>Consistency</td>
<td>Strong literacy routines are recognised and understood by the children</td>
</tr>
<tr>
<td>Knowledge</td>
<td>Environment</td>
<td>Literate physical environment is used as a teaching resource</td>
</tr>
<tr>
<td></td>
<td>Purpose</td>
<td>Children’s responses indicate tacit or explicit understanding of the purpose of the literacy task</td>
</tr>
<tr>
<td></td>
<td>Substance</td>
<td>The lesson/task leads to substantial literacy engagement, not busy-work</td>
</tr>
<tr>
<td></td>
<td>Explanations</td>
<td>Explanations of literacy concepts and skills are clear and at an appropriate level</td>
</tr>
<tr>
<td></td>
<td>Modelling</td>
<td>Demonstrations of literacy tasks include metacognitive explanations</td>
</tr>
<tr>
<td></td>
<td>Metalanguage</td>
<td>Children are provided with language for talking about and exemplifying literacy concepts</td>
</tr>
<tr>
<td>Orchestrations</td>
<td>Awareness</td>
<td>The teacher has a high level of awareness of literacy activities and participation by children</td>
</tr>
<tr>
<td></td>
<td>Structure</td>
<td>The environment is predictable and orderly</td>
</tr>
<tr>
<td></td>
<td>Flexibility</td>
<td>The teacher responds to learning opportunities that arise in the flow of literacy lessons</td>
</tr>
<tr>
<td></td>
<td>Pace</td>
<td>The teacher provides strong forward momentum in literacy lessons</td>
</tr>
<tr>
<td></td>
<td>Transition</td>
<td>Minimum time is spent in transitions or there is productive use of transitions</td>
</tr>
<tr>
<td>Support</td>
<td>Assessment</td>
<td>The teacher uses fine-grained knowledge of children’s literacy performance in planning and teaching</td>
</tr>
<tr>
<td></td>
<td>Scaffolding</td>
<td>The teacher extends children’s literacy learning through modelling, modifying, correcting</td>
</tr>
<tr>
<td></td>
<td>Feedback</td>
<td>The teacher gives timely, focused and explicit literacy feedback to children</td>
</tr>
<tr>
<td></td>
<td>Responsiveness</td>
<td>The teacher shares and builds on children’s literacy contributions</td>
</tr>
<tr>
<td></td>
<td>Explicitness</td>
<td>Word level – The teacher directs children’s attention to explicit word and sound strategies</td>
</tr>
<tr>
<td></td>
<td>Explicitness</td>
<td>Text level - The teacher makes explicit specific attributes of a text</td>
</tr>
<tr>
<td></td>
<td>Persistence</td>
<td>The teacher provides many opportunities to practise and master new literacy learning</td>
</tr>
<tr>
<td>Differentiation</td>
<td>Challenge</td>
<td>The teacher extends and promotes higher levels of thinking in literacy learning</td>
</tr>
<tr>
<td></td>
<td>Individualisation</td>
<td>Differentiated literacy instruction recognises individual differences</td>
</tr>
<tr>
<td></td>
<td>Inclusion</td>
<td>The teacher facilitates inclusion of all children in the literacy lessons</td>
</tr>
<tr>
<td></td>
<td>Variation</td>
<td>Literacy teaching is structured around groups or individuals</td>
</tr>
<tr>
<td></td>
<td>Connection</td>
<td>Connections are made between class and community literacy-related knowledge</td>
</tr>
<tr>
<td>Respect</td>
<td>Warmth</td>
<td>Welcoming, positive and inviting classroom is focused on literacy learning</td>
</tr>
<tr>
<td></td>
<td>Rapport</td>
<td>Relationships with the children support tactful literacy interventions</td>
</tr>
<tr>
<td></td>
<td>Credibility</td>
<td>Respect for the teacher enables her to overcome any challenges to order and lesson flow</td>
</tr>
<tr>
<td></td>
<td>Citizenship</td>
<td>Equality, tolerance, inclusivity and awareness of the needs of others are promoted</td>
</tr>
<tr>
<td></td>
<td>Independence</td>
<td>Children take some responsibility for their own literacy learning</td>
</tr>
</tbody>
</table>
The CLOS teaching practice axis was designed to allow partial credit ratings for each of the six dimensions of ‘effective practice’. Raters were required to allocate one score point for each of the teaching practices thought to be present in a particular episode (observational frame). On the schedule for the Orchestration dimension, for example, a classroom might have been credited with a score for: pace, transition and structure, but not credited with a score for: awareness, flexibility or persistence. The rationale behind this scoring strategy was that classroom activities typically require ‘trade-offs’ between, for example, flexibility and pace or structure, since lessons will always provide opportunities to depart productively from planned activities. Nevertheless, it was hypothesized that these departures may be undertaken at a cost to a strong forward momentum, or to the predictability and orderliness of the classroom. The most effective teachers, it was postulated, are those who can achieve a measure of flexibility without a too-obvious ‘trade-off’ for pace or structure.

Table 3.3 provides an example of a partial credit rating for a classroom scoring 3/5 on Orchestration. This illustrative score sheet indicates that the teacher has created a safe and orderly environment, achieves a strong forward momentum in the lesson, and moves quickly from one activity to the next. She does not, however, have a strong awareness of children’s levels of participation, and does not make productive departures from her planned lesson.

Table 3.3 Sample score sheet for Orchestration

<table>
<thead>
<tr>
<th>Awareness</th>
<th>The teacher has a high level of awareness of classroom activities and participation by children</th>
</tr>
</thead>
<tbody>
<tr>
<td>Structure</td>
<td>The environment is predictable and orderly</td>
</tr>
<tr>
<td>Flexibility</td>
<td>The teacher responds to learning opportunities that arise in the flow of lessons</td>
</tr>
<tr>
<td>Pace</td>
<td>The teacher provides strong forward momentum</td>
</tr>
<tr>
<td>Transition</td>
<td>Minimum time spent in transitions or productive use of transitions</td>
</tr>
</tbody>
</table>

Assessment

The literacy assessments chosen for this study were based on the initial phases of the Longitudinal Literacy and Numeracy Study (LLANS), conducted by the Australian Council of Educational Research (ACER) between 1998-2000. ACER developed the LLANS assessments as part of a national longitudinal study, with the goal of measuring and describing children’s developmental growth and achievement progress in literacy and numeracy from their first year of schooling through to the stage when students make the transition to secondary school. The key research questions in this ACER seven-year longitudinal project are: ‘What is the nature of literacy and numeracy development amongst Australian school children?'; and, ‘How can growth in literacy and numeracy be best described?’ For specific details of this initial work and the related developments, see Meiers (1999, 2000); Meiers and Anderson (2001); Meiers and Rowe (2002); Meiers and Stephanou (2000); Rowe (2002).

The LLANS assessment instruments have been constructed within the conceptual framework of developmental assessment proposed by Masters and Forster (1997). Central to developmental assessment is the use of progress maps that describe increasing levels of achievement. These progress maps provide frames of reference for monitoring the development of individuals or groups. At different points in time, estimates can be made of a student’s location on the progress map, and changes in location provide measures of growth over time (see Masters, Meiers & Rowe, 2003).
Development of LLANS assessments

The LLANS literacy assessments developed by ACER are considered Australia’s benchmark of early literacy assessment procedures. In developing them ACER ensured that the assessment materials were widely applicable and consistent with any existing State and Territory arrangements through collaborative development of the assessment items, trial of the assessments in a nationally representative random sample of 1000 children, and construction of a common scale (or progress map).

Five sets of linked assessment tasks were used to cover the expected range of what children know and can do in literacy and numeracy during the first three years of their formal schooling. The tasks focus on critical aspects of literacy and numeracy, and include many ‘hands-on’ activities, supported by authentic texts such as high quality children’s picture storybooks. The assessments were planned to be undertaken at the beginning and end of the first and second years of school, and in the second term of the third year. Items of varying and increasing difficulty were included in the set of activities for each assessment. Groups of items were repeated from one assessment to the next, providing links forwards and backwards between the five assessments.

Practicality of administration was an important consideration, including the time required to undertake the assessments. They were conducted by the children’s own teachers in one-to-one interviews. A marking guide (categorisation of children’s responses) was included with the tasks, and teachers made judgments of each child’s responses against the marking guide. Precise instructions were provided and teachers were asked to follow these so that the tasks were, as far as possible, administered under standard conditions. The clarity of the administration and scoring instructions was particularly significant in ensuring consistency and the reliability of the data.

The five broad aspects of literacy investigated in each of the sets of common tasks were: phonemic awareness, environmental print concepts, children reading aloud, making meaning from text, and writing.

During 1999 and 2000, ACER trialled the items, the administration and scoring procedures, and estimated the psychometric properties of the LLANS progress map. A nationally representative sample of 1000 children drawn from a random national sample of 100 schools formed the original cohort for the LLANS project. Ten children were randomly selected from class lists of children entering their first year of school. These lists were provided at the beginning of the 1999 school year by the 100 schools participating in the project, and approvals of the parents of these children for participation were obtained.

Development of the LLANS scale by ACER

ACER researchers ensured that data from the LLANS project provided a properly calibrated common scale, essential for the measurement of development over time. Student assessment data collected during the trial stage were analysed using Rasch Measurement (Adams & Khoo, 1999; Andrich, 1978; Masters, 1982; Wright & Masters, 1982; Wright & Mok, 2000) which provided a means of displaying the performance of children and the difficulty of tasks on the same interval scale, with a common unit of measurement. The best performances and the most difficult tasks appeared high on the trial scale. The less developed performances and the easiest tasks appeared low on the trial scale. The LLANS surveys completed between 1999-2000 contained common items, the response-data from which allowed the calibration of all tasks to be displayed on this common scale.

In the Rasch analysis, the difficulty of a task for which responses were marked either ‘correct’ or ‘incorrect’ was represented by the position of its threshold on the scale.
Children above the threshold were more likely to respond correctly to an item, whereas children below were less likely to respond correctly. A similar explanation was given for tasks requiring a partial credit rating (i.e., those rated in more than two categories).

The calibration of the tasks on the scale was followed by an analysis of fit to check the extent to which these tasks targeted the same latent trait. ‘Misfits’ in Rasch measurement were a source of information on the performance of children. All ‘misfitting’ items were considered and explanations sought. In examining the results of the fit analyses, some collapsing of the categories in which children’s responses had been assigned became necessary – either because insufficient data were available for accurate calibration, or because adjacent categories were not clearly and meaningfully discerned by children. For example, if two categories were too close along the continuum the location of their thresholds would overlap.

The description of the measured variable was a lengthy process in which common features in the categories of items belonging to the same part of the scale were identified. Regions of the scale, partly overlapping, with qualitatively different and meaningful description were formed. The description of these regions constituted the description of the measured variable.

The construction and description of suitable variables for showing the variation in the skills children develop during their early years at school made it possible to show children’s typical progress in their development of various skills. Figure 3.2 (below) presents the qualitatively-described LLANS literacy scale with the normative distributions (in the form of box-and-whisker plots) for the children in this study and in the comparable studies undertaken by ACER (for example, Meiers & Rowe, 2002).

**Administration of LLANS literacy assessments in this DEST study**

Following the pattern of the LLANS study, a new random national sample of 100 schools was drawn from the ACER sampling frame. The cohort consisted of children in their first and second years of schooling. School systems and school principals were approached for agreement to participate in the study. In each school, ten children were randomly selected from class lists at the beginning of their first year at school, and ten at the beginning of their second year at school. Classes were randomly selected if there was more than one class in any year level. Repeated measures of the children’s literacy achievements on a modified version of the LLANS literacy instruments were collected during Term 1, 2001 from 948 children in their first year of schooling and 911 children in their second year, and again during Term 4, 2001 from 836 of the first year children and 861 of the second year children.

Teachers in the selected schools conducted these Term 1 and Term 4 assessments. ACER had already established processes for coding the tasks, managing the achievement data and reporting achievement on the scales. Schools were provided with whole cohort, whole school and individual analyses of children’s performances at the conclusion of the second round of assessment. In addition, schools were offered reimbursement for teacher relief for four days over the year in order to allow class teachers to administer the assessment tasks and complete a survey instrument.

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4 What is meant by ‘modified’ here refers to all assessment tasks from the two forms that were used for equating the different tasks – for the purposes of constructing and describing the LLANS literacy scale relevant to the present study.
Chapter 3: Methodology

Longitudinal Literacy and Numeracy Study (LLANS)

LITERACY SCALE DESCRIPTION & NORMATIVE DISTRIBUTIONS

Note: The indicators listed on this side of the scale have been derived from the tasks completed in the LLANS assessments. Only a selected sample of these indicators has been used to describe developing achievement in literacy.

Value added analysis

Subsequently, ‘value-added’ analyses were undertaken (Fitz-Gibbon, 1996; Tymms, 1999), comparing the mean growth over a school year in LLANS literacy scores for each group of ten children. The ‘value-added’ techniques included a multilevel analysis using MLwiN software (Rasbash et al., 2001), with the goal of accounting for the impact of home language and culture on ‘value-added’ residuals. The analytic strategy and the results of this analysis are described in greater detail in Chapter 4.
In order to link estimates of growth in student achievement with teachers’ pedagogical behaviours in each of the class groups, a schedule of school visits was arranged. The teachers approached to participate in the classroom observation phase of the study were selected on the basis that the mean standardised residual for their group of ten children assessed in the previous year was significantly more than expected, as expected, or less than expected. For ease of reporting, the teachers associated with each classroom data set are referred to in this report as ‘more effective’, ‘effective’ and ‘less effective’. It should be noted that effectiveness is defined here solely in terms of the residual scores of the sample of ten children in each teacher’s class using the LLANS literacy assessments. The aim of the classroom observation phase was to gather evidence on the teaching practices used by teachers within classrooms in which children had achieved at higher than expected, as expected and lower than expected levels on the LLANS literacy assessments.

Within each category of effectiveness, teachers were selectively approached to participate in this phase of the study in order to secure a balance not only of teacher effectiveness, but also of school geographical location, school size and the socio-economic, ethnic and linguistic background of children. In order to ensure that teachers in the effective group could clearly be seen to be effective, only those teachers whose students’ learning gain adjusted residual in standard deviation units was positive, that is they were ranked above the median of the group, were approached5. Not all teachers approached were willing to participate in this phase of the research project and some teachers were no longer teaching in the same school or were teaching in another year level. It is noted that none of the classrooms of the teachers who agreed to take part in the observation phase of the study contained a majority of high SES background children. Additionally, several of the effective teachers’ classrooms contained significant proportions of children who spoke English as an Additional Language (EAL). All of the less effective teachers’ classrooms contained a majority of low SES background children as did several of the classrooms of the effective teachers. Details of each of the classrooms in the observation phase of the study are provided in the Introduction to Chapters 6-11: The Cross-Case Analysis.

Ten schools in four States were visited for this phase of the study. In eight of these schools, only one teacher was observed. In one school, two teachers were visited but limited access to one meant that only the second year of school achievement data were included. In two schools several teachers had been involved in generating the student assessment data collected the previous year when the children had been in Multi-Aged-Group classes. In one of these schools only one class was still in a Multi-Aged-Group situation and, as this class contained predominantly first year of school children at the time of observation, only the achievement data for children in their first year of school were included. The other school was still working in a Multi-Aged-Group situation at the time of observation and the two teachers observed had classes with similar numbers of first and second years of school children. In this school, the achievement data for children in both first and second year of school were included. Although 99 schools participated in the initial LLANS literacy assessments, missing data reduced the number of class groups to 89 for the first year of school and to 89 groups for the second year of school who returned valid data.

The final sample of teachers who were observed in their classrooms was made up of two more effective teachers, four effective teachers and four less effective teachers. Seven of

5 The teachers at one school approached and included in the effective teacher group team-taught a class that contained children from the first three years of school. These teachers were ranked above the mean for their first year of school children and marginally below the mean for the second. Their data for the observation phase of the study were combined to form one case.
the teachers’ classrooms contained first year of school children (one of these also contained a few second year children), two contained second year of school children and one contained children from the first three years of school.

**Classroom Observation**

The classroom observation phase of the study involved non-participant observation in the classrooms of each of the ten teachers identified by their students’ mean learning gain residuals. Pseudonyms were used for teachers’ and children’s names to provide anonymity throughout this report. A two-person research team spent two to four days in each class, recording and observing the literacy teaching and learning in the class. Each research team included one of the senior researchers in the project and a research associate responsible for technical aspects of video and audio recording. Five kinds of records were produced through this program of observation:

1. A running schedule of activities in the classroom, divided into episodes;
2. *In situ* provisional scoring of each of these episodes, registering the apparent presence or absence in each episode of the teaching practices and activity types defined by CLOS;
3. Digital audio recordings of each teachers’ classroom talk, which was later transcribed;
4. Digital video recording, using one camera to focus close-up on the teachers’ activities and one camera to maintain a wide-shot overview of children’s activities; and
5. Digital audio or video recording of an interview with each teacher, focusing on their professional experience and their reactions to viewing a selection of the videotaped record of their teaching.

**Analyses of video data using vPrism**

Subsequently, each team of researchers selected a total of two hours of teaching regarded as most representative of their period of observation in each class. This set of two-hour video samples and their corresponding transcriptions were linked and entered into the vPrism 3.056 research software (see: www.lessonlab.com/vprism/).

![Figure 3.3 vPrism sample screen](image-url)
Figure 3.3 provides a vPrism sample screen. The vPrism software was selected because it allowed researchers to link the video footage to the associated transcripts using timecodes and then to identify portions of the annotated video that reflected the CLOS scoring they had completed in situ. In addition, the in situ CLOS analysis could be refined and justified by the out-of-class analysis that followed the period of classroom observation.

**Preparation of video and audio data**

The digital video footage was compressed into practical file size using the MPEG-1 encoding format. Lessons from each camera were stored on recordable CD-ROMs. Multiple copies were made for each member of the research team and for back-up purposes. The digital audio recordings of classroom talk were transcribed using generic transcribing software, with a simple transcript convention agreed upon by the researchers (see Table 3.4). Each video and transcript file was logged into the vPrism database, and transcripts were imported as tab-delimited text files.

**Table 3.4 Transcript conventions**

<table>
<thead>
<tr>
<th>O</th>
<th>Observer</th>
</tr>
</thead>
<tbody>
<tr>
<td>SN</td>
<td>New student speaks</td>
</tr>
<tr>
<td>S</td>
<td>Student</td>
</tr>
<tr>
<td>S?</td>
<td>Unknown student</td>
</tr>
<tr>
<td>Ss</td>
<td>Students</td>
</tr>
<tr>
<td>E</td>
<td>Teacher and most of class</td>
</tr>
<tr>
<td>T</td>
<td>Teacher</td>
</tr>
</tbody>
</table>

[stage directions] For example [inaudible] or [laughter]

[S] Indicates the length of a pause of 3 seconds or more

... Indicated a pause of 2 seconds or less

// Overlapping speech

/ee/; /ar/ Letter sounds and parts of words that are being sounded but articulated together are italicised and enclosed within slash marks e.g. /qu/, /str/ these word parts may represent phonemes or larger word segments that are being sounded out

**elephant** Words being focused on or studied are in italics; the words might be seen on the board or elsewhere

R; B Letter names are in capitals and italicised

Once upon a time Text read aloud is italicised

**vPrism coding**

Once the annotated video had been logged into the vPrism database, researchers were able to navigate and study it in detail in order to identify the particular portions of video (events) that evidenced demonstration of each the CLOS teaching practices. An event was defined as the portion of video that characterised a CLOS teaching practice. vPrism also made it possible for coding to overlap, that is, for the same segment of transcribed video to be coded for multiple events. The ability to have overlapping codes was necessary in this study as classrooms are complicated places where many events happen simultaneously. To cope with the classroom dynamics, coding was divided by dimension so that the data could be generated in detail at each level of CLOS. For example, the first round of coding focused on the presence/absence of teaching practices under the Participation dimension. The second round of coding went through the same material but focused on the presence/absence of teaching practices under the Knowledge dimension.

The selected two hours of video recordings from each classroom was divided into analysable portions called episodes. Each episode was representative of a separate activity, based on the researchers’ observations using the CLOS protocol. The average length of an episode was 20 minutes. The consistent presence of a practice or CLOS
item throughout an episode was identified by an in-point (the time at which the event began), and an out-point (the time at which an event ended).

The number of episodes totalled 54, spread across the eleven classrooms. Coding was completed for all 33 CLOS items across each of the episodes. Reliability of the coding was assured by adherence to the operational definitions of each of the teaching practices and the consistent application of the schedule. Each application of the schedule was checked by a common coder. In total, 5.4% of the provisional data points were revised to maintain consistency in application of the CLOS operational definitions across cases and raters, as illustrated in Table 3.5.

Table 3.5 Consistency in coding

<table>
<thead>
<tr>
<th>Classroom</th>
<th>Episodes (N)</th>
<th>Data Points</th>
<th>Original Score</th>
<th>Revised Score</th>
<th>Changes (N)</th>
<th>Changes (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ana</td>
<td>7</td>
<td>231</td>
<td>25</td>
<td>31</td>
<td>12</td>
<td>5.2</td>
</tr>
<tr>
<td>Hannah</td>
<td>5</td>
<td>165</td>
<td>147</td>
<td>157</td>
<td>14</td>
<td>8.5</td>
</tr>
<tr>
<td>Jenny</td>
<td>5</td>
<td>165</td>
<td>89</td>
<td>118</td>
<td>29</td>
<td>12.1</td>
</tr>
<tr>
<td>Gabby</td>
<td>4</td>
<td>132</td>
<td>68</td>
<td>69</td>
<td>1</td>
<td>0.8</td>
</tr>
<tr>
<td>Patricia</td>
<td>6</td>
<td>198</td>
<td>28</td>
<td>28</td>
<td>0</td>
<td>0.0</td>
</tr>
<tr>
<td>Isobel/Abby</td>
<td>6</td>
<td>198</td>
<td>99</td>
<td>99</td>
<td>0</td>
<td>0.0</td>
</tr>
<tr>
<td>Terry</td>
<td>4</td>
<td>132</td>
<td>55</td>
<td>55</td>
<td>0</td>
<td>0.0</td>
</tr>
<tr>
<td>Sarah</td>
<td>6</td>
<td>198</td>
<td>144</td>
<td>142</td>
<td>8</td>
<td>4.0</td>
</tr>
<tr>
<td>Jane</td>
<td>6</td>
<td>198</td>
<td>194</td>
<td>192</td>
<td>2</td>
<td>1.0</td>
</tr>
<tr>
<td>Sue</td>
<td>5</td>
<td>165</td>
<td>120</td>
<td>144</td>
<td>40</td>
<td>24.2</td>
</tr>
<tr>
<td>SUM</td>
<td>54</td>
<td>1782</td>
<td></td>
<td></td>
<td>97</td>
<td>5.4</td>
</tr>
</tbody>
</table>

Generation of report data

Data for the qualitative analysis of literacy teaching practices were generated by exporting coded events from the vPrism database. Standard vPrism tables were exported and formatted for the purpose of this study in Microsoft Excel (see Table 3.6 below). The first column shows the event type; in this case the annotated video was coded for the presence of the teaching practice, individualisation. The name of each teaching practice was abbreviated to a five-letter code. The second and third columns show the event in-time and out-time to enable the duration of the event to be calculated. The fourth column shows the transcript related to the video footage, and the fifth column shows the researchers’ notes and any other evidence gathered during the coding process.
Table 3.6 Example text report

<table>
<thead>
<tr>
<th>Event</th>
<th>Time 1</th>
<th>Time 2</th>
<th>Text</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>T: It is very sad ... Far away, there lived a fair princess with golden hair. She ate jelly beans for breakfast, lunch and tea. On her island, the sky was always bright and the wind was always warm.</td>
<td>0:10:50</td>
<td>0:11:36</td>
<td>Big Book activity: Teacher reinforces citizenship rules with James, one of the less able children, before accepting his input.</td>
<td></td>
</tr>
<tr>
<td>SN: That looks like a ...</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>T: James, what's our rule?</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>S: Should always put your hand up.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>T: Always put your hand up. So what are you going to do?</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>S: Put my hand up.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>T: Well put your hand up. Are you going to put your hand up? Yes, James?</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>S: It's a happy island there.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>T: It's a happy island there. Have a look at the difference. What do you notice about the colours. Have a look at that island ...</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>indiv</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Qualitative Analyses

The final phase of the project was a cross-case analysis of each of the six CLOS dimensions. Findings from these analyses are reported in Chapters 6-11 of the report. The goal of each cross-case qualitative analysis was to demonstrate differences within a particular dimension across the more effective, effective and less effective teachers as they were observed in their classrooms. The following materials were assembled to guide researchers in preparation of their qualitative analyses:

- **School contexts.** A short written description of the context and circumstances of each school and classroom visited that included demographic data provided by schools, as well as details of school location and teacher background;
- **Score sheets.** A CLOS score sheet that reflected revisions made during the ratings check, and that rated the CLOS score on each item and dimension, across each episode;
- **Short episode descriptions.** A brief description of each teaching episode in each classroom to ensure that researchers understood the context of lessons from classes they had not directly observed in situ;
- **vPrism files.** A complete set of coded vPrism files on CD;
- Graphic display of the CLOS teaching practices. An estimate of the proportion of episodes in each CLOS dimension present in each classroom, colour-coded for more effective, effective and less effective teachers (see Figure 3.4, below for an example).
- **Progress map of CLOS teaching practices.** An output of the Rasch analyses (see Figure 5.6) that provided each researcher with the probable order in which each of the teaching practices in each CLOS dimension would be present in more effective, effective and less effective teachers’ classrooms.
- **Text reports.** The text reports produced using vPrism for each of the six CLOS dimensions ordered by teaching practice; for example materials for the Participation dimension contained text reports for each event in which the Participation teaching practices were coded, for each of the observed classrooms.
Limitations

This was a large and complex study, involving a substantial literature review and seven subsequent empirical phases of instrument development, data collection and analysis. Notwithstanding the scale and complexity of the study, several limitations should be noted for responsible interpretation of the results.

One set of limitations concern the ‘generalisability’ of the findings. Although the nationally representative sample of children assessed was almost 2,000, the calculation of class/teacher-level residuals yielded statistical differences in literacy learning (adjusted mean, class-level residuals) in just 16% of classes. When permission to visit these teachers was sought, not all were willing or available to participate. Some schools had been willing to participate in the assessment phase but were not willing to allow children and teachers to be videotaped, some teachers were no longer teaching the same grade as the LLANS assessment year and some teachers had moved to different schools.

A further set of limitations concerns the application of operational definitions in the study. Literacy was defined as school English literacy; growth in literacy was defined in terms of mean class/teacher-level residuals on the LLANS literacy tasks; and teaching effectiveness was defined in terms of the CLOS observation schedule. In each of these instances the research team was limited by the definitional matrix it had constructed. Although we have been careful to share our reasons for the definitions we have adopted, figures in parentheses indicate the children’s learning gain adjusted residual in standard deviation units for each teacher’s classroom.
it is possible that other researchers might have made other decisions, and produced
different accounts of the interaction between literacy teaching and literacy learning in
the first two years of formal schooling.
Overview

What makes a difference in how much children learn at school? Explanations vary, but
the school effectiveness literature routinely distinguishes between home background
effects and school effects (Scheerens & Bosker, 1997). Among the home effects,
influences are often reported from school intake characteristics such as the
socioeconomic status, home language and gender of children. Among school effects it is
conventional to distinguish between class/teacher-level effects such as the cohort of
children in the class and their class teacher, and whole-school effects over and above the
individual class/teacher-level effects.

Australian school effectiveness studies have found that class/teacher-level effects are
much stronger than school-level effects (see Rowe 2003a, 2004). For example, after
adjusting for students’ prior achievement (from students’ first year of schooling to their
twelfth year), Hill and Rowe (1996) found that residual variation at the class/teacher-
level was 38-45 percent in English and 53-55 percent in mathematics. In contrast, they
found that school-level effects ranged from 4 percent to 9 percent of the residual
variance. Similarly, Rowe, Turner and Lane (2002) found that after adjusting for
differences in student academic ability, gender and school sector, ‘class/teacher effects
consistently accounted for an average 59 percent of the residual variance in Year 12
students’ achievements, compared with a mere 5.5 percent at the school-level’.
Internationally, similar results have been reported by Scheerens, Vermeulen and

A major interest of the present study is in these powerful class/teacher-level effects
rather than school level effects, namely: How much of the variation in student learning
outcomes can be attributed to differences at the class level, and in particular to
differences among teachers? Following the ‘value-added’ measurement approaches
advocated by Fitz-Gibbon (1996), Goldstein (2001), Tymms (1999), and further
developed in an Australian context by Rowe (2001, 2003b), the study fitted multi-level
variance components models to a data set including child and teacher background
information and LLANS literacy assessment data collected at the beginning and end of
the first and second years of formal schooling in a nationally representative sample of
schools.

Measures of student literacy learning gain

LLANS literacy assessment data was collected from children in 99 participating schools
across Australia. Children’s scored responses on the literacy assessment items were
calibrated on a common logit scale by fitting the student response data to Rasch
measurement models using ACER QUEST (Adams & Khoo, 1999). In the case of items
scored with ordered response categories, a partial credit model was used, as specified by
equation [4.1]. In such cases the response of an individual n to item i is indicated by the
item score $X_{ni}$ which can take on any of the integer values 0, 1, 2, …$m_i$, such that the
probability ($P$) of observing a specific score $x_{ni}$ is given by:

---

7 To ensure that children’s item responses were calibrated on the LLANS literacy scale, they were ‘anchored’
to the item threshold values obtained from the first four waves of data in ACER’s LLANS project (see
Meiers & Rowe, 2002; Rowe, 2002).
In Teachers’ Hands

\[
P(X_{ni} = x_{ni}) = \frac{\exp \sum_{j=0}^{m} w_{ij} (\beta_n - \delta_i - \tau_{ij})}{\sum_{k=0}^{m} \exp \sum_{j=0}^{k} w_{ij} (\beta_n - \delta_i - \tau_{ij})}
\]

[4.1]

where \(\beta_n\) is the ability of individual \(n\), \(w_{ij}\) is the score assigned to category \(j\) for item \(i\), and \(\delta_i\) and \(\tau_{ij}\) are the parameters that characterise the difficulty of item \(i\). In the case of dichotomously-scored items, equation 4.1 reduces to:

\[
P(x_{ni}) = \frac{\exp[x_n (\beta_n - \delta_i)]}{1 + \exp[x_n (\beta_n - \delta_i)]}
\]

[4.2]

A particular advantage of having constructed a common LLANS literacy scale upon which children’s achievements can be located, is that it can be used to compare: (1) the achievement progress of children over time, and (2) the relative achievement levels of student cohorts at different stages (or year levels) of schooling (Figure 4.1). Moreover, the obtained data may be subsequently modelled to identify major sources of variation, and the magnitude of factors explaining that variation.

Figure 4.1 (below) shows the location (on a logit scale) of the LLANS literacy items according to their difficulties for each of the four assessments (right-hand side), and the location of children according to their performances (X’s on the left-hand-side). To assist interpretation and for subsequent reporting and explanatory modelling, the logit values were transformed to a scale: 0 logits = 50 score points; 1 logit = 10 score points.

**Multilevel analyses**

To estimate the proportion of variance in children’s literacy achievements due to between-class/teacher differences (for the purposes of identifying teaching and learning practices used by teachers whose children’s achievement growth was higher or lower than expected), we fitted a two-level variance components model to the literacy assessment data. Using the subscript \(i\) to refer to the child and the subscript \(j\) for the class/teacher, this model may be written in two parts:

- a within-schools, among students part -

\[
y_{ij} = \beta_{0ij}x_0 + e_{ij},
\]

[4.3]

and a between-class/teacher part -

\[
\beta_{0ij} = \beta_{0j} + u_{0j}.
\]

[4.4]

From equation [4.1], \(y_{ij}\) (Literacy) is the dependent or response score for child \(i\) in class/teacher \(j\). The intercept \(\beta_{0ij}\) in this within-class/school relationship is the average level of children’s Literacy scores for class/teacher \(j\), and \(e_{ij}\) is a random variable – assumed to have a mean of zero – representing the sum of all influences on \(y_{ij}\). The \(x_0\) term in equation [4.3] is a column vector of unities representing the constant slope (intercept) for class/teachers. From equation [4.4], the coefficient \(\beta_{0j}\) is the mean Literacy score of children in the sample of schools, and \(u_{0j}\) is a residual that varies randomly between class/teacher groups. Since \(\beta_{0j}\) may vary across classes/schools, \(\beta_{0j}\) is treated as a random variable at level 2.
Chapter 4: Student Learning Gains

**LLANS literacy scale (Effective Practices – all children and all items)**

Item Estimates (Thresholds) 17/3/2002 10:56
all on Literacy (N = 3944 L = 232 Probability Level=0.50)

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### 6.0 logits

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</tbody>
</table>

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### -4.0 logits

Each X represents 6 children

---

Figure 4.1 LLANS literacy (all items) student-item map on a logit scale
By combining equations [4.3] and [4.4], a single equation version of the model can be written as follows:

\[ y_{ij} = \beta_{0j}x_{0} + (u_{0j} + e_{ij}), \]

[4.5]

where \( \beta_{0j}x_{0} \) is the fixed part of the model and the bracketed residual terms at level 2 \( (u_{0j}) \) and level 1 \( (e_{ij}) \) constitute the random part of the model.

Note that \( \text{var}(u_{0j}) = \sigma_{u0}^2, \text{var}(e_{ij}) = \sigma_{e0}^2 \); and the distribution assumptions for the random coefficients are:

\( u_{0j} \sim \text{NID}(0, \sigma_{u0}^2) \), where \( \sigma_{u0}^2 \) is the variance of the level 2 (school) residuals \( u_{0j} \),

\( e_{ij} \sim \text{NID}(0, \sigma_{e0}^2) \), where \( \sigma_{e0}^2 \) is the variance of the level 1 (teacher) residuals \( e_{ij} \),

and \( u_{0j} \) and \( e_{ij} \) are normal and independently distributed (NID).

Equations 4.3 to 4.5 (specified above) are produced interactively in MLwiN (Rasbash et al., 2001) via the Equations Window. It is important to note that the purpose of these equations is to model the class/teacher location-dependence of children’s Literacy achievements, such that those locations (class/teacher groups in this case) with higher or lower than expected mean performance may be identified.

The intra-class correlation is given by \( \rho = \frac{\sigma_{u0}^2}{\sigma_{u0}^2 + \sigma_{e0}^2} \). This correlation provides an estimate of the proportion of the total variance in children’s LLANS literacy scores that is due to variation between class/teacher groups. To estimate the extent to which classes/schools differ in their mean levels of literacy achievement, the ratio of the \( \sigma_{u0}^2 \) estimate to its standard error \( \left[ \text{se}(\sigma_{u0}^2) \right] \) can be referred to the usual Gaussian distribution (t-value).

**Sources of variation in first year children’s literacy achievements**

The results of the fitted base variance-components model for first year children’s LLANS literacy achievements during Term 1, 2001 are given below, and illustrated graphically in Figure 4.2.

\[
\begin{align*}
y_{1-\text{lat1}_x} & \sim \mathcal{N}(X_\beta, \Omega) \\
y_{1-\text{lat1}_y} & = \beta_{0y} + e_{0j} \\
\rho_0 & = 58.896(0.756) + u_{0j} + e_{0j} \\
\begin{bmatrix} u_{0j} \\ e_{0j} \end{bmatrix} & \sim \mathcal{N}(0, \Omega_u) : \Omega_u = \begin{bmatrix} 43.171(8.025) \end{bmatrix} \quad \text{[Between-class/teacher variance]} \\
\begin{bmatrix} \phantom{0j} \end{bmatrix} & \sim \mathcal{N}(0, \Omega_e) : \Omega_e = \begin{bmatrix} 118.710(5.746) \end{bmatrix} \quad \text{[Within class/teacher variance]} \\
-2 \text{log-likelihood(OGLS)} & = 7355.409(948 \text{ of } 986 \text{ cases in use})
\end{align*}
\]
Figure 4.2 Within- and between-class/teacher variation in LLANS literacy scores for 948 Year 1 children in 97 class/teacher groups during Term 1, 2001

The variance components model fitted to the first year data from 948 children in 97 class/teacher groups assessed during Term 1 (Y1-LIT1), and illustrated in Figure 4.2, indicates that there was significant variation between class/teachers around the grand mean of children’s LLANS literacy achievement scores [58.9 – indicated by the dashed lines]: (1) at the class-level (accounting for 26.7% of the variance), and (2) among children within class/teachers (accounting for 73.3% of the variance).

From Figure 4.2, each line represents a class, and the horizontal ‘width’ of the line represents the range of scores, from left (minimum score) to right (maximum score) within each class/teacher group. The red ‘dashed’ lines indicate the grand mean of first year children’s LLANS literacy achievement scores during Term 1.

The results from the fitted, base variance-components model for the repeated first year children’s Literacy achievements during Term 4, 2001 are given below, and shown graphically in Figure 4.3.

\[
y_{1-	ext{lit2}_{ij}} \sim N(\mathbf{X}_{ij} \beta, \Omega) \\
y_{1-	ext{lit2}_{ij}} = \eta_{ij} + e_{ij} \\
\eta_{ij} = \mathcal{N}(0, \Omega_{\eta}) \quad \Omega_{\eta} = 53.216(10.189) + \nu_{ij} \\
\nu_{ij} \sim \mathcal{N}(0, \Omega_{\nu}) \quad \Omega_{\nu} = 135.927(7.024) \quad \text{[Within class/teacher variance]} \\
e_{ij} \sim \mathcal{N}(0, \Omega_{e}) \quad \Omega_{e} = \text{[Between-class/teacher]} \\
-2^{\text{log likelihood(IGLS)}} = 6631.594(838 \text{ of 936 cases in use})
\]
The variance components model fitted to the first year data from the second assessment occasion during Term 4, 2001 (Y1-LIT2), indicates that there was significant variation around the grand mean of children’s LLANS literacy achievement scores [64.0 — indicated by the dashed lines]: (1) at the class/teacher-level (i.e., a significant 28.1% of the variance due to differences between classes), and (2) 71.9% of the variance due to differences between children within class/teacher groups.

These differences, however, should not be over-interpreted since the Y1-LIT2 variance estimates have not been adjusted for relevant student intake or contextual explanatory variables. Hence, in the following multilevel regression model, children’s Y1-LIT2 scores (during Term 4, 2001) are adjusted for their Y1-LIT1 scores (Term 1, 2001) by fitting Y1-LIT1 (i.e., prior achievement) as an explanatory variable in the fixed-part of the model.

\[
y_{1-LIT2} = \beta_0 + \text{cons} + 0.787(0.025)y_{1-LIT1} + \epsilon_{0y}
\]

\[
\beta_0 = 17.380(1.584)
\]

This model is estimated with the following variance components:

\[
[\mu_{0y}] \sim N(0, \Omega_u) : \Omega_u = \begin{bmatrix} 13.094 \ (3.072) \end{bmatrix} \quad \text{[Between-class/teacher residual variance]}
\]

\[
[\sigma_{0y}] \sim N(0, \Omega_\sigma) : \Omega_\sigma = \begin{bmatrix} 67.691 \ (3.502) \end{bmatrix} \quad \text{[Within class/teacher residual variance]}
\]

\[-2^\text{loglikelihood} (3GLS) = 5938.074\text{ (836 of 986 cases in use)}
\]

As expected, prior achievement (Y1-LIT1) is a strong and significant predictor of first year children’s achievement progress in LLANS literacy – accounting for 57.3% of the
variance in Y1-LIT2. Although the residual variance estimate for literacy progress at the class/teacher-level is notably reduced (i.e., from 28.1% to 16.2%), it remains stable and statistically significant.

To estimate the proportion of residual variance at the class/teacher-level, after accounting for prior achievement, we undertook a learning-gain, ‘value-added’ analysis of residuals (i.e., achievement level adjusted for prior achievement). The relevant class/teacher-level plot of mean-point residual estimates for 89 classes is presented in Figure 4.4. Note that when the uncertainty intervals for a given class/teacher group do not overlap the population mean (zero dotted line), the first year children in that class have achieved ‘better than expected’ on the Term 4 Literacy assessments – given their prior achievement during Term 1. Similarly, when uncertainty intervals overlap the population mean (zero dotted line), the first year children in that class have achieved ‘less than expected’. First year classes selected for qualitative observation in the site-study phase of the project were chosen on the basis of these ‘better’ and/or ‘less than expected’ learning-gain adjusted residuals.

Further explorations were undertaken to explore the impact of child-level explanatory variables (such as family circumstances) and teacher-level explanatory variables (such as education and experience) on estimates of class-level differences. Unfortunately, there were many more missing data on teacher- and child-level intake variables required for the intake adjusted ‘value-added’ estimates than there had been on assessment variables used in the simpler learning-gain ‘value-added’ estimates summarized in Figure 4.4. Indeed, missing background data reduced the effective sample size from 986 cases to 433 in first year and from 986 to 699 in the second year of schooling. For this reason, intake-adjusted residuals were not used to identify classes for more detailed qualitative investigation during the site-visit stage of the study.

Figure 4.4 Ranked first year class/teacher-level residuals, showing adjusted mean-point estimates bounded by 95% ‘uncertainty’ intervals
Sources of Variation in Second Year Children’s Literacy Achievements

The results of the fitted base variance components model for second year children’s Literacy achievements during Term 1, 2001 are given below, and illustrated graphically in Figure 4.5.

\[ y_{2-li1} \sim N(\mu, \Omega) \]
\[ y_{2-li1} = \rho_{0q} + \epsilon_{0i} \]
\[ \rho_{0q} = 70.005(0.644) + \mu_{0q} + e_{0q} \]

-2\*loglikelihood (IGLS) = 6844.766 (911 of 986 cases in use)

The variance components model fitted to the second year data from 911 children in 97 classes in Term 1 (Y2-LIT1), indicates that there was significant variation around the grand mean of children’s LLANS literacy achievement scores [70.0 – indicated by the dashed lines]: (1) at the class/teacher-level (i.e., significant differences between class/teacher groups – accounting for 22.7% of the variance), and (2) among children within classes (accounting for 77.3% of the variance).

Similarly, in Figures 4.5 and 4.6 each line represents a class, and the horizontal ‘width’ of the line represents the range of scores, from left (minimum score) to right (maximum score) within each class/teacher group. The ‘dashed’ lines indicate the grand mean of second year children’s LLANS literacy achievement scores during Term 1. The related results for Term 4, 2001 (Y2-LIT2) follow.

Figure 4.5 Within- and between-class/teacher variation in LLANS literacy scores for 911 second year children in 97 class/teacher groups during Term 1, 2001
The variance components model fitted to the second year children’s data from the second assessment occasion during Term 4, 2001 (Y2-LIT2), and illustrated in Figure 4.6 below, indicates that there was significant variation around the grand mean of second year children’s LLANS literacy achievement scores [79.4 – indicated by the dashed lines]: (1) at the class/teacher-level (i.e., a significant 18.5% of the variance due to differences between classes), and (2) 81.5% of the variance due to differences between children within classes.

As indicated for the first year children’s data, these differences should not be over-interpreted since the Y2-LIT2 variance estimates have not been adjusted for relevant student intake variables. Hence, a multilevel regression model was fitted, in which children’s Y2-LIT2 scores (during Term 4, 2001) were adjusted for their Y2-LIT1 scores (Term 1, 2001) by fitting Y2-LIT1 (i.e., prior achievement) as an explanatory variable in the fixed-part of the model.

Figure 4.6 Within- and between-class/teacher variation in LLANS literacy scores for 861 second year children in 91 class/teacher groups during Term 4, 2001.

Note that between the two assessment occasions, data were not available from six classes and 50 children.
As expected, prior achievement (Y2-LIT1) was a strong and significant predictor of second year children’s achievement progress in LLANS literacy – explaining 61.1% of the variance in Y2-LIT2. Whereas the residual variance estimate for LLANS literacy progress at the class-level is notably reduced (i.e., from 18.5% to 9.9%), it remains stable and statistically significant.

To estimate the residual variance at the class/teacher-level (after accounting for prior achievement) we undertook a learning-gain, ‘value-added’ analysis of residuals (i.e., achievement level adjusted for prior achievement). The relevant class-level plot of mean-point residual estimates for 89 classes is presented in Figure 4.7. Second year classes selected for qualitative observation in the site-study phase of the project were chosen on the basis of these learning-gain adjusted residuals.

**Summary of Findings**

The purpose of conducting the ‘value-added’ analyses described in this chapter was to identify class/teacher-level differences in children’s literacy learning. Findings from analyses of the LLANS literacy achievement data in sample schools and classes provided several estimates of the proportion of variance in children’s scores that could be attributed to differences between class/teacher groups.

Findings from fitting base variance components models to the achievement data indicated that 26.7 percent and 28.1 percent (respectively) of the variance in children’s LLANS literacy scores at the beginning and end of their first year of formal schooling could be attributed to differences at the class/teacher-level. Further, the proportion of the variance that could be attributed to differences in class/teacher membership during the second year of formal schooling was 22.7 percent at the beginning and of the year and 18.5 percent at the end of the year.

![Figure 4.7 Ranked second year class/teacher-level residuals, showing adjusted mean-point estimates bounded by 95% ‘uncertainty’ intervals](image)

When prior achievement was taken into account in a multi-level analysis of the assessment data, the residual variance estimates were reduced but the results were stable and statistically significant, with 16.2 percent of the variance in learning gain in the first year of schooling attributed to influences at the class/teacher-level, and 9.9 percent of
the variance in learning gain during the second year of schooling attributed to class/teacher-level influences.

Analyses of the residuals at the class/teacher-level indicated that in 12 of the 99 first year of schooling classes, and 7 of the 99 second year of schooling classes, the residuals and their associated 95 percent confidence intervals were greater than the population mean. In these classes, the group of children assessed achieved a learning gain greater than statistically expected. Similarly, in 14 of the first year of schooling classes and five of the second year of schooling classes, the residuals and their associated 95 percent confidence intervals were less than the population mean. In these classes, the group of children assessed achieved a learning gain less than statistically expected. For the intermediate groups, where the class means were neither more nor less than expected, comprised 63 classes in the first year of schooling and 77 classes in the second year of schooling. The distribution of the remaining classes by their learning gain residuals is summarised in Table 4.1.

Table 4.1 Classes by learning gain raw residual

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<tr>
<td>As expected</td>
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To estimate the magnitude of teachers’ pedagogical practices on these observed differences in class/teacher-level residuals, teachers in each of the three groups of classes were approached to participate in the follow-up classroom observation phase of the study. As the study estimated learning gain over a school year, classroom observations could not be made until the next year of schooling, when the children normally would be working with other teachers.

Not all teachers and schools approached were willing to participate in the more intensive observation phase of the research project, and some teachers were no longer teaching, or were teaching in another grade. Table 4.2 identifies (by pseudonym) the teachers who agreed to participate, their children’s learning gain adjusted residual in standard deviation units, the class rank among the 89 classes in each year without missing data, and the children’s year of schooling.

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10 Note that in each of the first and second years of schooling, there were ten schools that originally agreed to participate in the study but did not submit data at either or both of the assessment points.
Table 4.2 Sample details

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<th>Grouping</th>
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<tr>
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<td>7</td>
<td>Lower than expected</td>
<td>1</td>
</tr>
<tr>
<td>Ana</td>
<td>-4.420</td>
<td>5</td>
<td>Lower than expected</td>
<td>1</td>
</tr>
</tbody>
</table>

Summary and Conclusions

The ‘value-added’ phase of this study began with the question: What makes a difference to how much children learn at school? Based on the much higher proportions of variance in children’s achievement progress accounted for at the class/teacher-level than at the school-level, the study focused on the class/teacher-level rather than on the school-level as the unit of analysis. Whereas more of the variance observed in children’s LLANS literacy scores could be attributed to differences within classes than to differences between classes, the differences between class/teacher groups were sufficient to identify three groups of classes in terms of their intake-adjusted learning gain over the year of the study, namely: (1) a group with higher than expected residuals, (2) a group with lower than expected residuals, and (3) a group with residuals within the statistically expected range.

The next phase of the study examined the question of whether there were also differences among these groups of teachers in the approaches they used towards teaching and learning in their classes. Chapter 5 explores this issue, beginning with the description of an observation scale designed to register differences in approaches to teaching.
Chapter 5: Effective Literacy Teaching

Overview

The assessment phase of this project identified stable and significant differences between classes in terms of sample children’s intake-adjusted learning gains. Among the more likely influences on the observed variance was the behaviour of the teachers responsible for each of these classes. To assess the relationship between teaching behaviour and literacy learning, a program of classroom observation was undertaken with teachers of these classes, in the year following the assessment phase. The observation instrument (see Chapter 3) used was the Classroom Literacy Observation Schedule (CLOS). This schedule was designed to register teaching practices identified in the project literature review as contributing to effective early years literacy teaching. The schedule identified 33 indictors of literacy teaching practices, grouped into six dimensions.

This chapter provides an analysis of the CLOS data generated from video analysis of the 10 site study visits. The validity of the constructs in the six CLOS teaching practices was estimated via confirmatory factor analysis. The relationship between teachers’ membership of the more effective, effective and less effective groups and their CLOS scores was explored through an analysis of variance. Finally, a Rasch analysis (Rasch, 1960) was used to explore whether the CLOS teaching practices constituted a single construct and, if so, whether the literacy teaching practices identified on the scale representing that construct identified differing levels of teacher effectiveness.

Confirmatory Factor Analysis

The CLOS teaching practice axis confirmed the six key hypothesized dimensions, each containing a set of five, six or seven observed indicators of literacy teaching practices thought to be associated with effective literacy teaching. Table 5.1 provides the number of constituent indicators (items) for each of the six CLOS dimensions, as well as the number of episodes and analysable cases.

Table 5.1 Number of Indicators, Episodes and Analysable Cases in each CLOS Practice Dimension

<table>
<thead>
<tr>
<th>CLOS Dimension</th>
<th>N items</th>
<th>N episodes</th>
<th>N analysable cases</th>
</tr>
</thead>
<tbody>
<tr>
<td>Participation</td>
<td>5</td>
<td>65</td>
<td>325</td>
</tr>
<tr>
<td>Knowledge</td>
<td>6</td>
<td>65</td>
<td>390</td>
</tr>
<tr>
<td>Orchestration</td>
<td>5</td>
<td>65</td>
<td>325</td>
</tr>
<tr>
<td>Support</td>
<td>7</td>
<td>65</td>
<td>455</td>
</tr>
<tr>
<td>Differentiation</td>
<td>5</td>
<td>65</td>
<td>325</td>
</tr>
<tr>
<td>Respect</td>
<td>5</td>
<td>65</td>
<td>325</td>
</tr>
</tbody>
</table>

Whereas these six latent constructs cannot be observed directly, they can be inferred from observable indicators of teaching practices. To this end, a one-factor, confirmatory factor analytic (CFA) model was fitted to the observed indicator data relevant to each CLOS dimension. A CFA approach was used in preference to exploratory factor-analytic techniques since CFA approaches allow the specification of target indicators for each latent construct (dimension) on substantive grounds (Long, 1983).

For example, the fitted measurement model for the CLOS dimension of Knowledge is shown in Figure 5.1, which illustrates the one-factor, congeneric measurement model (Jöreskog & Sörbom, 2001) where the latent CLOS dimension of Knowledge (in this case) ‘gives rise’ to each of the observed CLOS literacy teaching practices (indicators),
all of which are measured with error. Ksi ($\xi$) represents the CLOS dimension, lambda ($\lambda$) is the partial regression effect of Ksi on the CLOS literacy teaching practice indicator ($x_i$), and Delta ($\delta$) is the error variance of each $x_i$. In simpler terms, each literacy teaching practice indicator ($x_i$) has a dimension effect ($\lambda_i$) and an error ($\delta_i$) in estimating a given CLOS dimension score ($\xi$). Note that accounting for measurement error in this way increases the reliability and validity of each measurement model (Rowe, 2002, 2003).

![Figure 5.1 Measurement model for CLOS Knowledge dimension](image)

Two additional benefits of such confirmatory factor analytic approaches are relevant to this study. First, findings from fitting the CFA measurement models provided an empirical indication of the extent to which each literacy teaching practice actually contributed to the estimation of the computed CLOS dimension scores, using proportionally-weighted factor score regression coefficients. Thus, each dimension was computed as a composite scaled score reflecting the proportionate weight of its contributing literacy teaching practice indicators, and was on the same metric with a continuous distribution, regardless of the number of constituent indicators (with a minimum of ‘0’ and a maximum of ‘1’). The CLOS dimensions therefore had the benefit of accounting for measurement error, and of being directly comparable in terms of magnitude. For example, using the transform function in SPSS, the score for the Knowledge dimension was computed as follows:

```
compute knowledge = (Enviro*0.067) + (Purpo*0.166) + (Subst*0.208) + (Explan*0.200) + (Model*0.111) + (Metal*0.130)
```

Details for each of the separate models generated to represent the six CLOS dimensions are summarised in Table 5.2. To convey the reliability of each dimension, both Cronbach’s alpha ($\alpha$) and composite scale reliability measures (rc) were reported (see Brown, 1989; Fleishman & Benson, 1987). The composite rc measures of reliability
were the preferred estimates as several studies have found Cronbach’s alpha (α) to be limited in such circumstances (Rowe, 2002, 2003). Squared multiple correlations ($R^2$) were computed to estimate the proportion of variance in each literacy teaching practice indicator that was explained by its target dimension (see Appendix 2). In respect of model-data fit, multiple fit criteria were examined to avoid reliance on one index (Breckler, 1990). For this study the fit indices applied were the root mean square residual (RMR, $p < 0.05$), the adjusted goodness of fit index (AGFI > 0.95) and the chi-square statistic ($\chi^2$, $p > 0.05$). In view of the small sample it was likely that the chi-square statistic would yield favourable results, thus this statistic was used with caution. Table 5.2 summarises the composite scale parameters (indicator weights), reliabilities and model goodness-of-fit indices for each of the six CLOS dimensions.

Table 5.2 Composite Scale Parameters and Fit Indices*

<table>
<thead>
<tr>
<th>Indicator Weights</th>
<th>$rc$</th>
<th>$\alpha$</th>
<th>$\chi^2$</th>
<th>RMR</th>
<th>AGFI</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Participation</strong> (indicators: attention, engagement, stimulation, pleasure, consistency):**</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Attention</td>
<td>0.225</td>
<td></td>
<td></td>
<td>0.923</td>
<td>0.997</td>
</tr>
<tr>
<td>Engagement</td>
<td>0.198</td>
<td></td>
<td></td>
<td>0.820</td>
<td>0.973</td>
</tr>
<tr>
<td>Stimulation</td>
<td>0.217</td>
<td></td>
<td></td>
<td>0.128</td>
<td>0.997</td>
</tr>
<tr>
<td>Pleasure</td>
<td>0.190</td>
<td></td>
<td></td>
<td>0.128</td>
<td>0.997</td>
</tr>
<tr>
<td>Consistency</td>
<td>0.170</td>
<td></td>
<td></td>
<td>0.013</td>
<td>0.997</td>
</tr>
<tr>
<td><strong>Knowledge</strong> (indicators: environment, purpose, substance, explanations, modelling, metalanguage):**</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Environment</td>
<td>0.076</td>
<td></td>
<td></td>
<td>0.850</td>
<td>0.997</td>
</tr>
<tr>
<td>Purpose</td>
<td>0.188</td>
<td></td>
<td></td>
<td>1.966</td>
<td>0.997</td>
</tr>
<tr>
<td>Subst</td>
<td>0.236</td>
<td></td>
<td></td>
<td>0.050</td>
<td>0.997</td>
</tr>
<tr>
<td>Explan</td>
<td>0.227</td>
<td></td>
<td></td>
<td>0.973</td>
<td>0.997</td>
</tr>
<tr>
<td>Model</td>
<td>0.126</td>
<td></td>
<td></td>
<td>0.013</td>
<td>0.997</td>
</tr>
<tr>
<td>Meta</td>
<td>0.147</td>
<td></td>
<td></td>
<td>0.013</td>
<td>0.997</td>
</tr>
<tr>
<td><strong>Orchestration</strong> (indicators: awareness, structure, flexibility, pace, transition):**</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Awareness</td>
<td>0.210</td>
<td></td>
<td></td>
<td>0.890</td>
<td>0.997</td>
</tr>
<tr>
<td>Structure</td>
<td>0.246</td>
<td></td>
<td></td>
<td>0.804</td>
<td>0.997</td>
</tr>
<tr>
<td>Flexibility</td>
<td>0.203</td>
<td></td>
<td></td>
<td>0.257</td>
<td>0.997</td>
</tr>
<tr>
<td>Pace</td>
<td>0.231</td>
<td></td>
<td></td>
<td>0.021</td>
<td>0.997</td>
</tr>
<tr>
<td>Transition</td>
<td>0.109</td>
<td></td>
<td></td>
<td>0.021</td>
<td>0.997</td>
</tr>
<tr>
<td><strong>Support</strong> (indicators: responsiveness, explicitness word, explicitness text, persistence, assessment, feedback, scaffolding):**</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Responsiveness</td>
<td>0.188</td>
<td></td>
<td></td>
<td>0.787</td>
<td>0.997</td>
</tr>
<tr>
<td>ExpW</td>
<td>0.048</td>
<td></td>
<td></td>
<td>0.778</td>
<td>0.997</td>
</tr>
<tr>
<td>ExpT</td>
<td>0.088</td>
<td></td>
<td></td>
<td>4.935</td>
<td>0.997</td>
</tr>
<tr>
<td>Persi</td>
<td>0.200</td>
<td></td>
<td></td>
<td>0.084</td>
<td>0.997</td>
</tr>
<tr>
<td>Asses</td>
<td>0.144</td>
<td></td>
<td></td>
<td>0.949</td>
<td>0.997</td>
</tr>
<tr>
<td>Feedb</td>
<td>0.191</td>
<td></td>
<td></td>
<td>0.994</td>
<td>0.997</td>
</tr>
<tr>
<td>Scaff</td>
<td>0.142</td>
<td></td>
<td></td>
<td>0.994</td>
<td>0.997</td>
</tr>
<tr>
<td><strong>Differentiation</strong> (indicators: connection, groupings, inclusion, individualisation, challenge):**</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Connect</td>
<td>0.144</td>
<td></td>
<td></td>
<td>0.811</td>
<td>0.997</td>
</tr>
<tr>
<td>Group</td>
<td>0.139</td>
<td></td>
<td></td>
<td>0.736</td>
<td>0.997</td>
</tr>
<tr>
<td>Inclus</td>
<td>0.257</td>
<td></td>
<td></td>
<td>2.497</td>
<td>0.997</td>
</tr>
<tr>
<td>Individ</td>
<td>0.238</td>
<td></td>
<td></td>
<td>0.072</td>
<td>0.997</td>
</tr>
<tr>
<td>Chall</td>
<td>0.222</td>
<td></td>
<td></td>
<td>0.948</td>
<td>0.997</td>
</tr>
<tr>
<td><strong>Respect</strong> (indicators: warmth, rapport, credibility, citizenship, independence):**</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Warmth</td>
<td>0.175</td>
<td></td>
<td></td>
<td>0.859</td>
<td>0.997</td>
</tr>
<tr>
<td>Rapport</td>
<td>0.232</td>
<td></td>
<td></td>
<td>0.767</td>
<td>0.997</td>
</tr>
<tr>
<td>Credibil</td>
<td>0.226</td>
<td></td>
<td></td>
<td>2.407</td>
<td>0.997</td>
</tr>
<tr>
<td>Citizen</td>
<td>0.225</td>
<td></td>
<td></td>
<td>0.069</td>
<td>0.997</td>
</tr>
<tr>
<td>Indepen</td>
<td>0.142</td>
<td></td>
<td></td>
<td>0.946</td>
<td>0.997</td>
</tr>
</tbody>
</table>

*Table notes: The indicator weights are computed proportionally-weighted factor score regression coefficients; $rc$ is the maximally-weighted composite score reliability; $\alpha$ is Cronbach’s standardised item alpha (Cronbach, 1951).

**Key findings of the Confirmatory Factor Analysis**

The results of the CFA analyses summarised in Table 5.2 indicate that the computed model-data fit indices for each of the six CLOS dimensions were 'good' to 'excellent'. Moreover, the results confirmed the content validity of the dimensions, as each group of teaching practices was shown to contribute adequately to the measurement of their respective CLOS dimension. Whereas these indicators and dimensions have been
identified as key teaching practices, it is recommended that cross-validation studies be undertaken to establish the utility and generalisability of the instrument, since the CLOS instrument is a recently developed set of indicators and scales.

**Analysis of variance**

The scaled factor score regression weights from the CFA were subsequently used to compare the total proportion of CLOS literacy teaching practices observed in the classrooms of the three groups of teachers, that is more effective, effective and less effective. To this end, an analysis of variance model (ANOVA) was fitted to the data when the assumptions of normality were satisfied. When normality assumptions were not satisfied the Kruskal-Wallis test was applied (Kruskal & Wallis, 1952).

**Between groups analysis**

The proportionally weighted factor score regression coefficients from the CFA were used to compare the difference between the CLOS total scaled score (from a possible total of 6) for each of the CLOS dimensions observed in the classrooms and grouped according to their ‘value-added’ result on the LLANS literacy assessment. Since the CLOS was derived from a synthesis of strong research findings, it was hypothesised that the CLOS total scaled score would increase according to the ‘value-added’ grouping; that is, the degree of teacher effectiveness would be strongly related to the CLOS score.

Analyses of normality using the Shapiro-Wilk W statistic (Shapiro, Wilk & Chen, 1968) showed that the total scores for two out of the three groups of teachers (more effective, effective and less effective) were significantly non-normal. Therefore the Kruskal-Wallis test, which is the non-parametric equivalent of the One-Way Between groups ANOVA, was applied. Table 5.3 shows that the lowest mean rank of total scores was associated with the less effective teachers and the highest mean ranks of total scores were associated with the more effective and effective teachers. The chi square value ($\chi^2 = 28.570$, $p < 0.0001$) confirmed that the total score on CLOS was significantly related to the ‘value-added’ results.

<table>
<thead>
<tr>
<th>Teachers</th>
<th>Number of episodes</th>
<th>Mean rank</th>
</tr>
</thead>
<tbody>
<tr>
<td>Less effective</td>
<td>21</td>
<td>13.24</td>
</tr>
<tr>
<td>Effective</td>
<td>23</td>
<td>35.57</td>
</tr>
<tr>
<td>More effective</td>
<td>10</td>
<td>38.90</td>
</tr>
</tbody>
</table>

A graphical comparison (see Figure 5.2) was used to compare the distribution of total scores in each of these three groups. The horizontal axis specifies the CLOS total scaled score with a minimum of 0 and a maximum of 6. The vertical axis specifies the teacher group and the number of episodes in each group (N). Visual inspection of the figure shows that there is substantial overlap between the more effective and effective teacher groups, but no overlap between the more effective and less effective teacher groups and little overlap between the effective and less effective teacher groups. This suggests that the significance of the Kruskal-Wallis result was due to the difference in mean rank of the CLOS total score between the more effective and the less effective teacher groups and most likely between the effective and the less effective teacher groups; but not between the more effective and effective teacher groups.
A between-groups analysis was also undertaken to check whether there was a relationship between the literacy activities registered on the CLOS activity axis (see Table 3.1) and student outcomes. In view of the large amount of literature directed at teachers on ‘how to do’ particular literacy activities (for example *Early Years Literacy Program*, Education Victoria, 1997, and the *First Steps* materials, EDWA, 1994), we tested the hypothesis that the total scaled scores on CLOS would differ according to the literacy activities used by the teachers.

Table 5.4 Rank order frequency of CLOS literacy activities in coded episodes

<table>
<thead>
<tr>
<th>Activity</th>
<th>Frequency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Shared Book</td>
<td>11</td>
</tr>
<tr>
<td>Organisational activities: Independent group work</td>
<td>6</td>
</tr>
<tr>
<td>Independent Writing</td>
<td>6</td>
</tr>
<tr>
<td>Modelled Writing</td>
<td>5</td>
</tr>
<tr>
<td>Isolated Phonics</td>
<td>4</td>
</tr>
<tr>
<td>Spelling Activities</td>
<td>4</td>
</tr>
<tr>
<td>Shared Writing</td>
<td>3</td>
</tr>
<tr>
<td>Language Experience</td>
<td>3</td>
</tr>
<tr>
<td>Organisational activities: Task board discussion</td>
<td>2</td>
</tr>
<tr>
<td>Reading to Children</td>
<td>2</td>
</tr>
<tr>
<td>Guided Oral Reading</td>
<td>2</td>
</tr>
<tr>
<td>Interactive Writing</td>
<td>2</td>
</tr>
<tr>
<td>Socio-dramatic Play</td>
<td>2</td>
</tr>
<tr>
<td>Hearing Children Read</td>
<td>1</td>
</tr>
<tr>
<td>Use of commercial literacy program</td>
<td>1</td>
</tr>
<tr>
<td>Independent Silent Reading</td>
<td>0</td>
</tr>
<tr>
<td>Literacy related computer activities</td>
<td>0</td>
</tr>
</tbody>
</table>

Analyses of the frequency of CLOS literacy activities undertaken in all of the coded teaching episodes (see Table 5.4) showed that two of the 17 literacy activities, independent silent reading and literacy related computer activities, were not observed in any of these episodes. A further two literacy activities, hearing children read and use of commercial literacy program were observed in only one episode. Moreover, for eight of...
the 13 remaining literacy activity groups with sufficient numbers to investigate the
differences between CLOS total scaled score and literacy activity, the distribution of
normality violated assumptions according to the Shapiro-Wilk W statistic.

Given the unequal size of the populations, and in some cases non-normal distribution, a
between groups analysis was not considered permissible. Therefore, a graphical
comparison (see Figure 5.3) was used to compare the distribution of CLOS total scaled
scores in each group. The vertical axis specifies the CLOS observed literacy activity (1-15).
The horizontal axis specifies the CLOS total scaled score with a minimum of 0 and
a maximum of 6. Visual inspection of the figure shows that there is substantial overlap
between the groups, which suggests a very weak relationship between the total scaled
score on CLOS and the activity used in each episode. It is, however, noted that the more
effective teachers appeared to make more use of the activities of reading to children,
interactive writing, independent writing and language experience in the episodes coded
for the analysis. On the other hand, less effective teachers made more use of the guided
oral reading, isolated phonics and task board activities.

Figure 5.3 Distribution of total CLOS scaled score by activity group

Rasch Analysis

The third level of quantitative analysis involved fitting the CLOS data to the Rasch
model. Use of the Rasch model in this context had two objectives. The first was to
understand better the attribute of interest to this study, that is a teacher’s repertoire of
literacy teaching practices, and the second, to assess the locations of the CLOS literacy
teaching practices and the individual teaching episodes observed on the one construct. In
order to address both of these objectives it was necessary to establish the content validity
of the CLOS instrument (RUMM Laboratory Pty Ltd, 2004). The Rasch analysis
estimated teacher effectiveness in terms of a teacher’s Repertoire of Literacy Teaching
Practices (ROLTP) and confirmed whether each indicator of literacy teaching practice
belonged to a uni-dimensional trait. Results of the Rasch analysis, a progress map of
CLOS teaching practices, enabled us to investigate which of these practices actually
differentiated between the groups of teachers identified by the literacy outcomes of their
children, as more effective, effective and less effective.
In view of findings from the literature review it was hypothesised that among the more effective teachers all 33 of the literacy teaching practices were likely to be observed. Among the less effective teachers, it was hypothesised that only the lowest ranked literacy teaching practices were likely to be observed.

The computer program, Rasch Unidimensional Measurement Models (RUMM 2010) was used to analyse the data (Andrich, Sheridan, Lyne & Luo, 2000). Four responses were extreme as they shared the maximum score. The power of the Test of Fit was excellent (Separation Index = 0.926) which indicated that overall the literacy teaching practices discriminated well between episodes. However, the model was highly sensitive to any deviations from expected mean scores. Accordingly, the chi-square probability of model fit was poor (p < 0.00001).

A closer analysis of the individual indicator (literacy teaching practice) fit revealed that explicitness word was the worst fitting CLOS indicator. It had a large jump in chi-square probability (RUMM Laboratory Pty Ltd, 2004), indicating that the response pattern for this item did not occur by chance. It also had the largest fitted residual score of 2.135, indicating that actual scores for this item were far from the theoretical values (see Appendix 3).

To further investigate item fit, episodes were grouped into three, based on their total scores for CLOS: low, mid and high on the scale. Rasch modelling is probabilistic and expects that a high ranking episode (high scoring) would demonstrate all the literacy teaching practices located below it on the scale. The explicitness word indicator was located about a third of the way up the scale, near modelling and rapport. It would therefore be expected that explicitness word would be used frequently or observed in most episodes.

The item characteristic curve below (see Figure 5.4) shows that the explicitness word indicator of literacy teaching practice did not discriminate between groups. The curved line (item characteristic curve) shows the theoretical scores. As episodes increase in terms of ROLTP, the probability of an episode containing explicitness word increases.

![Figure 5.4 Item characteristic curve, most fitting item – under discriminates](image)

The dots show the actual mean score on explicitness word for the three groups: low total score, mid total score, high total score. The first group demonstrated explicitness word much more than expected even though their total score was low. The second and third
groups demonstrated explicitness word less than expected, even though their total scores were higher.

Explicitness word was thus discarded from the set and the analysis was repeated with the remaining 32 CLOS literacy teaching practices. Overall fit statistics were calculated for the amended model. The power of the Test of Fit was again excellent (Separation Index = 0.927). Figure 5.5 illustrates that the spread of episodes (persons) is greater than the spread of the literacy teaching practices (items/indicators). Thus, little information is gathered by this measure on the episodes above 3 logits and below -3 logits. In other words, the CLOS is limited in that it does not give information about the episodes with the widest and most narrow repertoires of literacy teaching practices. The apparent ‘ceiling’ and ‘floor’ effects of the CLOS could be related to the sample used in this study, or the application of the coding schedule.

Figure 5.5 Person-item location distribution

Analysis of the individual item fit showed that no further CLOS literacy teaching practices had a large jump in chi-square values or had extreme fit residual values (see Appendix 4). However, four literacy teaching practices with border-line fit remained. Item characteristic curves for these literacy teaching practices are included in Appendix 5. These figures illustrate that Connection, Environment and Variation all under discriminated – they were observed more than expected in episodes low on the scale, and less than expected in episodes high on the scale. Structure over discriminated: it was observed less than expected in episodes low on the scale, and more than expected in episodes high on the scale. The chi-square probability of model fit improved slightly (p < 0.00001). Considering the oversensitive Test of Fit, the inclusion of misfitting literacy teaching practices and the small sample size, this degree of model fit was considered to be fair.

An output of the model is a progress map (see Figure 5.6), which provides a picture of what it means to ‘improve’ or ‘increase’ in the possession of a trait. In this context it illustrates the location of literacy teaching practices (right of axis) and episodes (left of axis) on the same measure, providing the framework against which a teacher's Repertoire of Literacy Teaching Practices (ROLTP) can be monitored.
All the literacy teaching practices on CLOS were observed. Most of the literacy teaching practices were located in relation to the middle range of episodes. In several episodes at the lower end of the ROLTP measure, only a few literacy teaching practices were observed. These literacy teaching practices, at the lower end of the ROLTP measure, were the more common literacy teaching practices. On the other hand, in several episodes at the higher end of the ROLTP measure most literacy teaching practices were observed. It was only in these episodes that rarely observed literacy teaching practices such as challenge were observed. These particular literacy teaching practices were at the higher end of the ROLTP measure.

On the left-hand side of the axis, episodes are colour coded according to each teacher’s student outcomes: lower than expected, as expected, or higher than expected. The episodes associated with low student outcomes are low on the scale, whereas the episodes associated with average or high student outcomes are higher on the scale. It is noted that the two teachers who team taught one group of children were located at
different places on the scale. Isobel’s episodes were located at the higher end of the scale and Abby’s at the lower end.

On the right hand side of the axis the distribution of literacy teaching practices and their location on the ROLTP scale can be seen. Literacy teaching practices are not noticeably grouped according to their corresponding dimension. The literacy teaching practices range from −2.189 to 2.652 logits. Twenty-two of the 32 literacy teaching practices included had locations ranging between −1 and +1 logits. Challenge was noticeably the least frequently observed literacy teaching practice and was located high on the scale at 2.652. Flexibility, variation and assessment were the next least frequently observed literacy teaching practices. Attention, purpose, feedback, structure and consistency were all located low on the scale, being the most common literacy teaching practices observed across all episodes.

Key findings of the Rasch analysis

The results from the Rasch analysis indicated that the data for 32 of the 33 CLOS literacy teaching practices calibrated to form a single construct: Repertoire of Literacy Teaching Practices (ROLTP). Further, all six CLOS dimensions were ‘overarching’ in so far as they were indicative of student achievement on LLANS and one dimension was neither more nor less important than another. For example, the more effective teachers did not demonstrate literacy teaching practices from any one particular dimension more than from any other dimension, but rather they consistently demonstrated literacy teaching practices from all six dimensions. Hence, a wider repertoire of literacy teaching practices from each dimension was related to teacher effectiveness.

It was also proposed that the ROLTP measure would differentiate between the literacy outcomes of children. The results confirmed that classrooms with teachers who were observed demonstrating a wider ROLTP were associated with higher student outcomes as measured by LLANS. In other words, it is probable that challenge was observed in episodes taught by teachers with higher than expected student outcomes, and not in those that had lower than expected student outcomes. The more effective teachers had more literacy teaching practices present in their repertoire, and in particular, more of the literacy teaching practices that ranked high on the scale. On the other hand, the teachers associated with lower student outcomes had fewer literacy teaching practices present in their repertoires and these were likely to be those literacy teaching practices low on the scale.

The model showed that explicitness word was the worst fitting item/indicator. This may have been due to the difference in teaching strategies employed by teachers associated with high and low student outcomes. All teachers frequently displayed the explicitness word literacy teaching practice. However, it seems that the less effective teachers over-relied on this word level practice. By contrast, the more effective and effective teachers, who had a wider repertoire of literacy teaching practices, appeared to use explicitness word as only one of many literacy teaching practices, and did not over-rely on word level strategies. They worked at both text and word levels.

Four of the remaining literacy teaching practices did not discriminate well between episodes. This result may have been due to chance, exacerbated by the relatively small sample size. Smith, Linacre and Smith (2003) report that fit statistics for small samples can easily be distorted by just one unexpected response. For example, in this study poor

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11 It can be seen in Figure 5.6 that the episodes featuring Isobel and Abby did not overlap. As Isobel and Abby team taught a group of children, their data were combined to form one case that was classified as effective based on the LLANS outcomes of their students. Isobel’s episodes were located high on the scale while Abby’s were located at the lower end.
discrimination for variation was likely to be caused by an unexpected result for Jenny. As one of the more effective teachers, Jenny was found to demonstrate variation far less than expected. This apparent anomaly might have been due to chance, but more likely to the fact that Jenny was not teaching in her usual classroom at the time of observation.

Summary
This chapter has explored the relationship between children’s literacy learning and their teachers’ subsequently observed teaching behaviour. Some of the conclusions concern the statistical properties of the observational scale; other conclusions concern the substantive issues of teachers’ effective literacy teaching and learning practices.

The first set of conclusions concerns the empirical adequacy of the theoretically derived CLOS schedule used to structure observation in the site study literacy classrooms. These conclusions indicate both the utility of the CLOS instrument for classroom observations of teachers’ pedagogical practices in early literacy, and the stability of the Repertoire of Literacy Teaching Practices (ROLPT) measure.

In five of the six CLOS teaching practice dimensions confirmatory factor analysis indicated that there was acceptable model fit and each group of practices was shown to measure their respective CLOS dimension. The sixth dimension, support, was destabilised by one of its constituent teaching practices – explicitness word. This practice, which concerned teachers’ use of explicit word and sound strategies, was present equally often in observations of teachers in the more effective, as effective and less effective than expected groups. The empirical adequacy of the literacy teaching practice scale was confirmed by the non-parametric equivalent to an analysis of variance which showed a statistically significant relationship between teachers’ total overall CLOS scores and their children’s earlier LLANS literacy scores. A very weak relationship was observed between the distribution of activities on the CLOS literacy activity axis and student performance. Finally, the Rasch analysis confirmed that 32 of the 33 CLOS literacy teaching practices (the exception being explicitness word) calibrated to form a single construct, the Repertoire of Literacy Teaching Practices (ROLTP).

The second set of conclusions we draw from the analysis presented in this chapter concern the relationship between the teachers’ literacy teaching repertoires and their children’s literacy learning. Rasch analysis supported three such conclusions about effective literacy teaching.

On the whole, the more effective and effective teachers consistently demonstrated literacy teaching practices from all six CLOS dimensions. Teachers who were observed demonstrating a wider repertoire of literacy teaching practices were associated with higher student outcomes. The more effective and effective teachers had more literacy teaching practices in their repertoires, and in particular, more of the literacy teaching practices that ranked high on the ROLTP measure. On the other hand, the teachers associated with lower student outcomes had fewer literacy teaching practices present in their repertoires, and these were likely to be those literacy teaching practices ranked low on the ROLTP measure. The activity structures of literacy teaching varied only slightly according to teacher effectiveness. Generally, the same few activity structures such as shared book, independent writing and modelled writing were widely used by all teachers regardless of their total scaled score on the CLOS instrument.
In Chapter 5 it was shown that, in terms of literacy teaching practices as measured by the CLOS observational tool, there were quantitative differences between the groups of teachers identified as more effective, effective and less effective on the basis of the literacy learning gains of their children as measured by the LLANS literacy assessments. The more effective and effective teachers demonstrated more of the CLOS literacy teaching practices than the less effective teachers in the episodes that we observed and coded. In order to investigate the hypothesis that there would also be qualitative differences between these groups of teachers in the ways in which they carried out the CLOS literacy teaching practices, we conducted cross-case analyses of the teachers in terms of each CLOS dimension, namely, participation, knowledge, orchestration, support, differentiation and respect.

In order to contextualise these cross-case analyses for the reader, the researchers who visited each classroom in the observation phase of the study have provided a brief description of each teacher, school and classroom. We have endeavoured to include sufficient detail to give a picture of each teacher, whilst at the same time maintaining confidentiality. In the case of the less effective teachers we saw it as particularly important that no details be given that could possibly be used to identify them. Accordingly, we provide fewer details of these teachers and do not report on them individually. All teachers observed for the study were teaching in government schools and all classes contained less than 25 children, the smallest being a class of eight children in a bilingual program.

**More effective teacher:** Hannah

**Class:** First year of school

**Location:** Rural

**School characteristics:** Average size, mixed SES, 15% speakers of English as an Additional Language

The school in which Hannah teaches is located in a rural town. The buildings are demountables that were trucked in 50 years ago, with the expectation that the school would be temporary. The children and the teachers have richly decorated the interior of these classrooms. The school staff, who are highly stable, active and committed, include a range of part-time specialist teachers in various areas, including ESL, education support for children with learning difficulties and those who need extension, behaviour management, counselling, drama, music and speech.

Hannah has taken advantage of many opportunities to develop her knowledge of literacy teaching through practical experiences, in-service courses and postgraduate teacher education. She has qualifications and/or experience in the areas of primary education, special education, language support and teaching English as an Additional Language. In addition, she has taken part in substantial professional development throughout her career.

Hannah has filled her classroom with colourful displays of children’s work and a range of charts that give the children access to cues for their reading and writing. She is extremely well organised, with equipment always available at the point of need. The room is divided into functional spaces that support both whole and small group work. Hannah has access to a part-time teaching assistant who supports two children with
learning difficulties. It is quite evident that literacy learning has a very high priority as the room is rich with print of many genres used for a range of purposes.

Hannah’s classroom is characterised by an outstanding level of classroom organization, highly effective management strategies and carefully planned classroom activities in which children are highly motivated, actively involved and demonstrate pleasure. Hannah herself is characterised by passion and pleasure in teaching, energy, sensitivity to children’s learning needs and a drive to improve child outcomes. Whilst her literacy activities are similar to those used in many early years classes they are carried out artfully, with creativity and sufficient integration to make sense for the children, whilst always ensuring that there is sufficient practice in a range of contexts to ensure that skills are learned effectively.

**More effective teacher:** Jenny

**Class:** Second year of school

**Location:** Rural

**School characteristics:** Large size, mixed SES, 15% speakers of English as an Additional Language

The school in which Jenny teaches is relatively new and situated in an expanding rural town. The principal describes it as ‘a good school, getting better’. It claims a teaching emphasis on the basics, as well as the six key learning areas, in addition to providing a range of extra curricular activities including; choir, public speaking, band and sporting activities. There is an Auslan signed program and a Learning Support Team identifies children with difficulties, then plans and monitors programs.

Jenny is a highly experienced and successful teacher with decades of experience, who has retained her passion for teaching. She is currently one of the deputy principals, but still knows every child in every year by name and reputation. In her role as deputy principal she is not at the time of the observational phase of the study teaching in her own classroom, but ‘borrowed’ the classroom of another teacher for the purposes of the project.

She is the complete, highly accomplished, classroom performer. The children hang on every word that she says and the class is frequently punctuated by bursts of laughter or gasps of incredulity at the story that she has told. Poor ‘Mr X’ (her partner’s name) is constantly in trouble as she weaves his misdemeanours into her teaching strategies, which the children love. Her use of pitch, pace, dramatic pause and timing are expertly executed for maximum effect whatever the activity, be it shared book, handwriting, modelled writing, spelling, phonics or any other of the gamut of literacy strategies and activities she uses masterfully. An observer has the feeling that one could ask her to present a lesson on any topic and she’d be able to deliver a wonderful lesson, resulting in outstanding outcomes for the children without much preparation, due to her vast store of experience.

Her classroom management is exceptional, although we did not observe her using much groupwork. When questioned about this, she said she did use groupwork for specific tasks, particularly some reading activities, but we did not see this demonstrated and suspect that her use of groupwork would be minimal. She is able to divide her time effectively between groups working at their desks and monitoring the progress of individual children. There is a great deal of positive reinforcement of learning behaviours and achievements throughout the day.
Jenny’s own metacognitive understanding of why she uses the strategies that she does and why they are effective is impressive. She is articulate and thoughtful in her responses to questions about strategies used, pedagogy and her philosophies of teaching that underpin all that she does. She clearly loves teaching early years children and they adore her.

**Effective teacher:** Sarah

**Class:** First year of school

**Location:** Outer metropolitan

**School characteristics:** Large size, mixed SES, predominantly Anglo-Australian

The school in which Sarah teaches is over 100 years old and situated in a commuter suburb of a capital city. This large school is at present the only primary school in this pleasant town of commuters, retirees and holiday makers. The school population is largely Australian-born English, with few families born overseas and has a high proportion of single parent families.

Sarah, a relatively young teacher, has been at the school for six years. She graduated with high academic achievement in both an Arts degree and Graduate Diploma of Education. The Acting Principal, literacy co-ordinator and other colleagues describe Sarah as a ‘star’. She is well-liked by all staff and enjoys a warm relationship with children and parents. She was observed leading about 100 upper primary children in the hall in a modern dance to the soundtrack of Grease in which she was responsible for all aspects of the production, including building the set.

Sarah’s classroom is filled with children’s work, vibrant displays of various kinds and is well ordered. It is divided into functional spaces that are conducive to group work, which she uses to great effect. There is a teaching assistant in the classroom each morning for an autistic child who receives one-to-one attention. In terms of teaching practices this classroom is characterised by: order (everything in its place, well-trained children all of whom know what to expect); firm control that appears natural and easy (this teacher never raises her voice); carefully planned classroom activities (all lessons well-planned and interesting, with additional work always available); motivated and actively involved children; repetition; systematicity; fast pace and strong forward momentum.

In terms of teacher characteristics Sarah’s passion for teaching is demonstrated in her strong belief in the importance of an effective literacy program, and literacy learning is reinforced throughout the day in all activities. She presents highly motivating, creative, well planned activities that are executed with great precision and she is sensitive to individual children’s learning needs. She often uses interesting props and costumes to enhance the learning outcomes and the children participate with great enthusiasm in the activities. Sarah’s donning of ‘fairy wings’ during group work, signifying that she is not to be disturbed as she is working intensively with one particular group, typifies her natural organisational skills and drive for improved outcomes for her children. It also shows her commitment to developing the children as independent learners able to problem solve and take responsibility for their own learning. Sarah constantly emphasises the importance of shared learning opportunities and the need for class mates to be supportive and considerate of each other.
Effective teacher: Jane

Class: First year of school

Location: Rural

School characteristics: Small size, low SES, Anglo-Australian

The school in which Jane teaches is located in a very small country town of 500 people, about three hours from a capital city. Jane has been at the school for a number of years and is approaching retirement. Within the school Jane is the literacy coordinator, first aid contact and fulfils several other roles for which she receives no time release. She was originally two-year trained but upgraded her training to a Bachelor of Education. She conveys a strong passion for teaching and the warm and respectful relationship between her and the children is clearly evident. Her classroom is packed with literacy artefacts: book stands, boxes of commercial and hand-made games, and over 200 literacy bags that she has made for children to take home as part of a supplementary reading program.

In the observation phase of the study, towards the end of the year, it is clear that class routines have been firmly established. The day begins with children quietly collecting their individual blackboards and sitting down to copy the ‘word of the day’ from the blackboard. Jane uses a different word each day as her theme for word study activities. Her attention to the children is constant and she addresses individual needs throughout the literacy session.

This classroom is never silent and this teacher is never still. There is not a wasted learning moment as transitions are fast and productive and group work rotations are carefully timed so that all children complete four activities by the end of the literacy session. During the group activities Jane hears every child read individually every day. She involves parents in literacy teaching in various ways, which include showing them how to assist in a four-stage writing process and the extensive home reading program.

Jane teaches a state early years literacy program. Each literacy lesson normally includes shared book, modelled, shared and individual writing, spelling, and group work in which children practise literacy skills and concepts that have been taught. She supplements the program with a great deal of her own material that she has written and developed over many years. She emphasises literacy throughout the day, not just in the designated literacy time. She sets high standards for the children who respond positively to the pace and challenge and become very excited about their learning. For example, two children who are independently reading a text of their own choice find ‘talking marks’ which have been a focus of the lesson, and come running spontaneously to show their teacher. A notable feature of this classroom is that children are eager to discuss their literacy learning at every opportunity.

It appears that the LLANS data for Jane’s sample of ten children was skewed by two children who had been absent from school for most of the period between the beginning and end of year assessments, and so had not been taught by Jane during this time. Apart from these two children who showed no literacy progress, all children assessed in this class demonstrated large literacy gains on the LLANS assessments.
Effective teacher: Sue

Class: Second year of school

Location: Capital city metropolitan

School characteristics: Large size, mixed SES, predominantly Anglo-Australian

The school in which Sue teaches is located in a capital city. The school was opened 90 years ago as a one-teacher school but is now large, spacious, well-appointed and the largest primary school in the state. It has a stable staff and a variety of specialists in areas that include physical education, music, library, drama, early years literacy and special education/early intervention. It has recently built a new library complex which is an excellent facility. There is a particular focus on improving literacy, numeracy and information literacy, with an emphasis on developing higher levels of thinking for inquiry and reflection.

Sue has been teaching for many years and is still very enthusiastic about her chosen profession. She was originally two-year trained but upgraded her training to a Bachelor of Education. She works collaboratively with the teacher in the neighbouring classroom, making the most of opportunities to share teaching ideas and programs.

Her classroom is spacious, which makes it very congenial for the children to work in groups and she has used this space to promote many aspects of literacy in different contexts. There is a dedicated ‘author of the week’ section where she displays a selection of books and a profile of the author, and children are actively encouraged to access this space throughout the day. A colourful variety of children’s work is always on display as well as various books, games and teaching charts. Her integrated programs are a strength and allow her to reinforce literacy concepts throughout the day.

The classroom is characterised by: carefully planned classroom activities; children who are motivated and actively involved; literacy activities that are interesting and integrated (often planned was around a theme or book); and pacing and momentum. A strong spelling program is reinforced in all lessons through the use of spelling journals and other strategies that are constantly referred to in most activities. Children are continually encouraged to take responsibility for their own learning as well as to be supportive and consider the needs of all class members.

Sue is characterised by her passion for teaching and strong relationships with all of the children in the class. It is a vibrant and happy classroom with a strong emphasis on encouraging a love of learning.

Effective teachers: Isobel and Abby

Class: First, second and third year of school

Location: Outer city suburb

School characteristics: Small size, low SES, over 50% speakers of English as an Additional Language

The small school in which Isobel and Abby teach is situated in an old suburb of a large capital city. Their class, like all the others in the school, is made up of children from a variety of age-groups, in their case first, second and third years of school. The reason for this is mainly organizational in that, with decreasing numbers of children in the school, there are insufficient numbers to allow for single year classes. The school has access to a number of specialists, including ESL and early intervention. Particular features of this
In Teachers’ Hands

school are the varied ethnic and linguistic mix of children and enthusiastic and energetic staff, particularly the principal and the highly skilled and enthusiastic early years literacy co-ordinator, who is also the regional co-ordinator of literacy specialists and conducts on-going professional development for the teachers in the school.

Isobel and Abby are young and enthusiastic recently qualified teachers, Isobel being in her fourth year of teaching and Abby in her third. With strong guidance from the literacy co-ordinator, they team-teach a group of children from various ethnic and linguistic backgrounds, adhering strongly to the state early years literacy strategy. They provide a rich literacy environment, have a strong focus on literacy and a press to reach set literacy targets, that includes regular assessment of children by running records. The classroom is well-ordered, particularly in regard to highly predictable routines and the organisation of materials and children by the task management board. There is a combination of specific literacy teaching in whole group and small groups, small group games, modelled, shared, guided and independent reading and writing, and sharing. Both teachers have excellent relationships with the children, seem well aware of the individual needs of their children and were observed to manage some difficult situations with a positive attitude.

The teachers have access to a highly skilled and committed teaching assistant who is employed to facilitate the integration of a special needs child into mainstream schooling. This assistant has attended many professional development programs, including use of technology and is on hand to help children individually with computer use for writing stories and software packages. This was the only classroom in the study in which computer use was observed in the literacy classroom, although it did not appear in the coded episodes.

**Less effective teachers:** Patricia, Gabby, Terry, Ana

**Classes:** First year of school; First year of school; First year of school; First year of school predominantly with some second year of school children

**Locations:** Rural city; Rural city; Rural city; Inner capital city

**School sizes:** Average; Large; Large; Small

**Socio-economic features:** Low SES; Low SES; Low SES; Low SES

**Linguistic and cultural features:** Mostly Anglo-Australian with some Indigenous children; Ethnically and linguistically diverse; Mostly Anglo-Australian with some Indigenous children; Predominantly speakers of English as an Additional Language

The less effective teachers differ from each other in a number of ways. In terms of teaching experience they vary from a young, enthusiastic, recently qualified teacher who is in the process of developing her classroom skills and content specific knowledge, to a bilingual teacher who has little experience of teaching young children in the Australian context, to two experienced teachers both of whom have returned to teaching after long career breaks.

The literacy environment of these teachers’ classrooms also varies. One has a very rich environment: a lot of children’s work decorates the walls, which is lively, colourful and up-to-date and there are commercially and teacher-made charts that include the alphabet, blends and numbers. The literacy environment of another of these classrooms is confined to explicit instructions about behaviour, procedural information on writing different genres and graphophonic lists, with only a small amount of children’s work on display. The two other classrooms demonstrate a mix of resources which are not generally used in teaching. Whilst the recently qualified teacher shows great enthusiasm
for teaching and her children are usually engaged in learning, the other three less
effective teachers do not demonstrate a passion for teaching, nor are their children
engaged in learning, although two of these teachers’ classrooms are characterised by
passive attention to literacy tasks. In one teacher’s class there is little attention or
engagement.

All but one of these teachers have access to a literacy coordinator/specialist, all four
make use of their state literacy strategy to some degree, one also uses a commercial
phonics program and one makes extensive use of printed worksheets. All classrooms
make some use of shared book, modelled, shared and independent writing, group work
and phonics activities. The amount of explicit instruction in literacy varies: in one
classroom children spend most of their time in individual or small group activities; in
one most of the time is spent in teacher-directed activities; in the two other classrooms
there is a mix of teacher directed and small group activities. However, what is common
to these four classrooms is that explanations of literacy concepts and skills are not clear
and do not appear to facilitate the children’s learning. It appears that the less effective
teachers do not have a clear understanding of the nature of English literacy and/or how
to teach it to young children.
Chapter 6: Participation

It has been long recognised that one’s motivation and desire to participate actively in learning is a critical element for learning to occur. In the Classroom Literacy Observation Schedule (CLOS) the dimension that is called ‘participation’ encompasses a group of teaching practices that are mainly concerned with the teacher’s ability to motivate a child’s desire to participate actively in learning. One of the major qualities we observed in the classrooms of effective teachers was their ability to encourage, require and facilitate children’s active participation in learning. Participation is broadly defined as the active involvement of children in learning. Wood, Bruner and Ross (1976) argue that such participation, or as they refer to it, ‘maintaining the pursuit of the goal’, is critical to engagement and learning. This is achieved through the motivation of the child and the support of his/her learning activities. While the aim of effective teachers has always been to encourage intrinsic motivation, the encouragement of the learner’s participation requires active efforts by the teacher to ensure that children are focused on learning. Hence, while teachers encourage active participation in the presence or absence of motivation, this important teaching practice is even more critical in the absence of intrinsic motivation and enthusiasm for self directed learning. Five teaching practices are identified within the participation dimension: ‘attention’, ‘engagement’, ‘stimulation’, ‘pleasure’ and ‘consistency’ (see Table 6.1).

Table 6.1 CLOS Teaching Practices: Participation

<table>
<thead>
<tr>
<th>Practice</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Attention</td>
<td>Almost all children are focused on literacy learning</td>
</tr>
<tr>
<td>Engagement</td>
<td>Children are deeply absorbed in the literacy lesson/task</td>
</tr>
<tr>
<td>Stimulation</td>
<td>The teacher motivates interest in literacy tasks, concepts and learning</td>
</tr>
<tr>
<td>Pleasure</td>
<td>The teacher creates an enthusiastic and energetic literacy classroom</td>
</tr>
<tr>
<td>Consistency</td>
<td>Strong literacy routines are recognised and understood by the children</td>
</tr>
</tbody>
</table>

Attention involves the teacher actively inviting the child to participate in classroom learning and is often prompted by questions, for example, ‘Would you like to read this?’ As well it is sometimes demonstrated in simple directions to continue in the pursuit of the task, or prompts to keep on working. The work of Bruner (1990), Vygotsky (1978), Rogoff (1990) and others has helped us to understand the importance of engagement, the second teaching practice in the participation dimension. This involves the teacher offering praise or encouragement, giving simple instructions and directing attention in order to encourage the pursuit of the goal of learning. Stimulation involves teachers more explicitly attempting to inspire by offering helpful background knowledge, reminding children of the goal of the activity, or pointing to various intrinsic benefits of the task at hand. Demonstration of pleasure in learning, the fourth teaching practice in the participation dimension, was another way in which teachers gained the participation of children. This was achieved by expressing personal pleasure in the topic or activity that was being pursued, or pointing to the enjoyment, pleasure or reward being experienced by others in pursuing the goal. Consistency is the fifth teaching practice associated with the dimension. This can be demonstrated in the ways in which teachers invite involvement in lessons, in the way that tasks are constructed, or in demonstrating predictability in the routine ways in which learning is framed and encouraged, and in the routine ways in which children participate in class activities. It involves the teacher creating a learning environment where children understand and apply the classroom’s conventions and rituals that operate to maximise learning.

Almost all teachers in this study gained the participation of children in literacy tasks and activities. A simple descriptive analysis, by frequency, of each of the participation dimension teaching practices in the classrooms visited and videotaped provides a
summary of the proportion of episodes that the researchers coded for attention, engagement, stimulation, pleasure and consistency and shows the variation across the classrooms (see Figure 6.1). All of the participation teaching practices were observed in all coded episodes in the classrooms of the more effective and one of the effective teachers. These teachers appeared to spend more effort seeking and gaining children’s participation in classroom learning, and used more sophisticated forms of each teaching practice.

The classrooms of the less effective teachers were generally characterised by a lack of pleasure and two of them were also characterised by a lack of engagement and stimulation. It can be seen though, that for the participation dimension, the less effective teachers as a group varied in their teaching practices. One had a similar profile to that of the effective teachers, with high levels of all practices apart from pleasure and another showed high levels of consistency and children’s attention. The levels of participation in the teachers’ classes are discussed below, and illustrated with selections from transcripts of the video cases.

![Figure 6.1 Proportion of teaching practices present in episodes, by teacher, for the participation dimension of CLOS](image)

**Attention**

Attention is a critical element in literacy learning (Samuels, Schermer & Reinking, 1992). There is large body of research showing a strong relationship between inattentiveness in the classroom and low academic achievement (Rowe & Rowe, 1999; 12)

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12 Figures in parentheses indicate the children’s learning gain adjusted residual in standard deviation units for each teacher’s classroom
Barkley, 1998; Hinshaw, 1994). In a study of teacher effectiveness Wray et al. (2000) found that effective teachers of literacy regularly refocused children’s attention on assigned tasks.

The teachers in our study frequently monitored whether children were watching, listening and taking part in learning activities. Gaining and maintaining children’s attention was the most frequently observed teaching practice within the participation dimension, with children in the majority of classes demonstrating attention in all episodes. A variety of strategies was used by teachers to gain and maintain attention and the most common tool used was their voices (see Table 6.2).

Table 6.2 Ways in which teachers used their voice to gain and maintain attention

<table>
<thead>
<tr>
<th>Strategy</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>Asking rhetorical questions</td>
<td>‘Right, who’s started?’</td>
</tr>
<tr>
<td>Directing questions meant for the whole class to a specific child</td>
<td>‘Talia’s ready to write.’</td>
</tr>
<tr>
<td>Questions asked to direct attention to one aspect of the learning task</td>
<td>‘What do you think the word vast means?’</td>
</tr>
<tr>
<td>Focusing on aspects of text and language</td>
<td>‘Is it a capital or small letter?’</td>
</tr>
<tr>
<td>Requiring a posture that maximises attention</td>
<td>‘Can I see everyone’s eyes? I want to see beautiful whole body listening.’</td>
</tr>
</tbody>
</table>

The more effective and effective teachers used many strategies in quick succession and carefully targeted them to specific children. In the following episode Hannah, a more effective teacher, made use of many variations in the ways she sought the participation of the class, the group, and individuals whose attention needed refocusing. She began by addressing the whole class, making clear her assumption that every class member would make an attempt at the task, ‘We’re going to have a go’. Non-participation was not an option. Hannah again signalled the start of the activity, ‘Are we ready?’ She sought answers from individuals, she prompted Lourie to listen and ensured that all children responded in unison.

T: Now we're going to have a go at writing a word. Are we ready? When I say the sound /qu/, how many letters are we going to write for that one sound? Jack.

T: Two.

SS: Two letters because we write Q and U to make the sound /qu/.

SN: /qu/.

T: /qu/.

SS: Here's the first word, are we ready?

T: Yes.

SN: Lourie, are you listening?... /qu/ /i/ /t/. Quit.

T: Quit. /qu/ /i/ /t/.

SN: So you're going to have, how many letters altogether?

T: Four.

SN: Four. But remember that first sound is made up of two letters: /qu/ /i/ /t/.

T: Quit.

Sam, have you written it or are you just talking? Quit. Keep going.

Similarly, the following episode from Sarah, an effective teacher, shows how she moved from one strategy to the next, focusing the attention of individuals and providing direct prompts. In this example, the teacher began by gaining the attention of the whole group, gave a quick (and direct) instruction to Adam to ‘sit down’ and then directed questions to individual children as they prepared to read. This complete interaction was designed
to gain the focus and attention of the group as they commenced reading. In this case, the strategy was related to the teaching practice of engagement as, ensuring that she had the attention of all the children increased the probability (but did not ensure) that they were engaged in the task.

T: Fantastic, are you ready for it to work? What's the title of the story? //Jack and the Beanstalk.
E: //Jack and the Beanstalk.
T: Fantastic, and the author is Judith Smith. Sit down please, Adam.
NS: And the illustrator.
T: And the illustrator is Heather Billport. What does the illustrator do? Carol?
NS: Writes the book.
T: Have a think, the illustrator. Nina.
NS: Um, draws the pictures.
T: Draws the pictures, good girl. Who's the person, Carol that writes the book?
NS: The author.
T: Good girl, well done, that's the author. Let's have a look. Jack and the Beanstalk, this is listening time.
T: Long ago, in a faraway land, lived a widow and her son Jack. They had no money. They only had a cow.

[H3P2_0:03:56]

The above examples show the effective use of language to control behaviour, shape activity, define the task and stress what is important for learning to occur. The teachers’ activity involves constantly shifting focus from the group to the individual and back to the group again, monitoring each child’s participation in learning and ensuring that they are attending. Our observations of the more effective and effective teachers confirm the findings of researchers like Stubbs (1983) and Cazden (1988) that indicate that much of a teacher’s language is directed at control of the classroom. Stubbs (1983), for example, found that in secondary classrooms in Scotland attracting attention, controlling speech, checking or confirming understanding, summarizing, defining, editing, correcting and specifying a topic were common teacher practices designed to control child behaviour. Our case study data suggest that the teachers used a wide range of strategies to maintain child focus and attention.

Engagement

In meta-analyses of research studies (Hattie, 2003) and other large scale studies (for example DfEE, 2000) engagement has been found to be a key characteristic of the classrooms of effective teachers. In such studies engagement has been related to the teacher’s ability to motivate children and use a variety of teaching strategies. Engagement may also be seen as related to attention in that both involve keeping children on task, but for engagement the aim is to ensure that children are deeply absorbed in the activity. While gaining attention might involve little more than compliance, engagement involves the child seeing the relevance of the task and wanting to learn. In the case of literacy teaching it also means deep engagement in attempting to construct meaning as part of the task.

In the classrooms of two of the less effective teachers, we did not observe the teachers seeking to engage children. All other teachers in this study attempted to move their classes beyond the attentive state. These teachers focused attention so that children could have maximum opportunity to gain from the planned activity. At times this simply involved building a bridge between a child’s prior knowledge and the content of a task, for example, a text to be read. The following episode was part of a shared book session in Hannah’s classroom and illustrates how effective teachers orchestrate this process of seeking child engagement in the story by drawing the children’s attention to key
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concepts, offering additional information, and all the time seeking contributions from individuals.

T: Okay. Hands off your heads and turn and face me. I hope that's not a normal [inaudible]. Turn around, David, and look at me. That's the boy. Who had an answer? Why was - why wasn't the Sad Little Monster sad anymore? Who can tell me what changed him? Shaun?

SN: The, the princess came along.

T: The princess came along. What else? Steve?

SN: [inaudible]

T: Yes. What else? What do you think changed him around? Michael?

SN: They were smiling.

T: Who were smiling?

S: The Queen.

T: The Queen, the Queen started smiling at him. And I think it started making him feel really good.

As the transcript demonstrates, the teacher attempted to prompt children to explore meaning, ‘Why wasn’t the Sad Little Monster sad anymore?’, and ‘What changed him?’. This example shows how Sarah scaffolded the learning using a variety of strategies such as questioning to encourage deeper engagement with the text.

Similarly, an episode from the classroom of Sue, an effective teacher, demonstrated how she gently coaxed children to consider other possibilities and move beyond their initial observation that the turtle’s shell was simply ‘off its back’ and to consider how the observation that he dragged his shell behind him (rather than getting into it), was linked to the deeper theme that the turtle was afraid of the dark. What is impressive about this exchange is that the teacher didn’t simply tell the class, but rather tried to get the children to build on each others’ understandings until the theme became clearer.

T: You're listening, mate. Button, buttons. But Franklin was afraid of small dark places and that was a problem because...Franklin was a turtle. He was afraid of crawling into his small dark shell and so Franklin the turtle dragged his shell behind him. What's the interesting thing about the shell though?

SN: [inaudible] like a dog.

T: No, you're calling out. What do we do? Yep. And Ken was first.

SN: [inaudible]

T: What's interesting about the shell? I know it's off his back, but there's something else interesting. No, give him time.

S: [inaudible] that yellow stuff under it - on top of it [inaudible].

T: So is this the top?

S: Yeah - no [inaudible].

T: So what's interesting about his shell? He's dragging it…

S: Upside down, upside down.

T: Ken's got it!

S: Upside down.

T: Upside down. It's upside down. But that's the easiest way to hook the rope through, I reckon. Okay? Off we go. Every night Franklin's mother would take a flashlight and shine it into his shell. “See?” she would say, “There's nothing to be afraid of.”

At other times the more effective and effective teachers carefully ensured that children attended to key aspects of language, thus reducing cognitive load caused by the need for
excessive decoding, unknown vocabulary and so on. This much more careful structuring of the learning environment and the teacher’s intervention at key points in the learning cycle is part of what Wood, Bruner and Ross (1976) call ‘scaffolding’. This, in turn, is a term devised to explain the process Vygotsky (1978) observed where learning is facilitated as children are supported in efforts to engage in tasks that are just beyond their actual level of development. Hence, in seeking to heighten learner engagement, the teacher controls the focus of attention, demonstrates the task, segments the learning task, and so on (Cairney, 1995). The aim in using this strategy is to help children learn from text while learning something about language.

Jenny, a more effective teacher, focused attention on key aspects of phonemic awareness as a reading group tried to sound out a word that was unknown. In the process she didn’t just teach an unknown word, she provided a decoding strategy to direct the children’s engagement as they read – an important technique which they could use when encountering new words in the future.

T: Yeah, we'll get to the whole sentence. Don't panic, Carl. But I need another /g/ word. Ah, let me see. Robert?
SN: Giraffe.
T: /gir/ giraffe?
S: [inaudible]
SN: [inaudible]
Ss: [inaudible].
T: Oh, /j/, /j/, /j/.
SN: That's J.
T: Ah.
SN: [inaudible]
SN: It's G.
T: Are you sure?
Ss: Yes.
T: Are you ... really sure?
Ss: Yes.
T: So you're telling me that the word giraffe, but I hear a /j/ sound, not a /g/ sound.
SN: Sometimes /g/ makes a /j/ sound.
T: Alright, who can have a go at spelling or sounding out that word giraffe? Do you know? Carl?
SN: G R F.
T: I tell you what; you've done a jolly good job. You've done a very good job. Something’s missing in there.

What each of these transcripts shows is the diverse and skilful ways in which these more effective and effective teachers used language to engage children in learning. Language wasn’t simply used to provide information, or direct attention. Rather, there was an attempt by these teachers to orchestrate behaviour and attention so that children might gain more from their pursuit of the task.

**Stimulation**

Stimulation is the label we have given to the teaching practice used by teachers to motivate interest in literacy tasks, literacy and language concepts and understandings, meaning making and learning in general. Motivation has been seen as important for learning (Hattie, 2003) and Snow, Burns and Griffin (1998) see it as crucial for making adequate progress in learning to read. They point out that most children begin school with positive attitudes towards school learning, but that if children are not stimulated
and their motivation maintained they may become alienated, a risk factor for the
development of learning difficulties.

Stimulation was a common practice within teachers’ repertoires: it was observed
consistently in the classrooms of all but one of the effective and more effective teachers
and in one of the less effective teachers’ classrooms. As can be seen in Table 6.3 the
form of stimulation varied.

Table 6.3 Examples of Teachers’ repertoire: Stimulation

<table>
<thead>
<tr>
<th>Form of stimulation</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>Teacher comments as positive feedback and praise designed to encourage pursuit of the task</td>
<td>‘Good boy. And another one…Excellent.’</td>
</tr>
<tr>
<td>Encouragement to share successes with others</td>
<td>‘Nice, quiet work. Wonderful, people! It’s nice to see you’re thinking. You can share your work later with friends.’</td>
</tr>
<tr>
<td>Encouragement to continue with learning</td>
<td>‘I don’t want you to stop thinking. I want you to think more.’</td>
</tr>
<tr>
<td>Encouragement to strive for high standards</td>
<td>‘Try one more here. See if you can make it just perfect.’</td>
</tr>
</tbody>
</table>

When these teachers were aware that a specific child or a whole group was in need of
stimulation they worked hard to motivate and maintain the children’s interest. Often this
meant that the teacher was moving back and forward from one person to another,
commenting on various things, encouraging the children to pursue the learning task with
enthusiasm, as can be seen in the following transcript from the classroom of Jane, an
effective teacher.

T: Chris, slow down and speak a little quieter. Fullstop after car, please. Yes, motor
/b//ike// /b//ike/ is like, it's like the word like. Bike and like are rhyming words.
It's easy to work out. Sarah, I haven't had a look.
SN: I need help with gypsy.
T: I've done gypsy. Sitting nicely. Pull your chair in, please. I can't get by.
Ss: [inaudible]
T: It is what, Tyler?
SN: Going fast.
T: It is going fast. Could you help him with going?
SN: /g/, G [inaudible].
SN: One //car. 
T: //Car. 
T: Fullstop. Cars.
S: [inaudible]
T: Machines. Fullstop. They… how do you spell they? It has to have the in it.
Ss: [inaudible]
T: That's right. They can carry things like…
SN: [inaudible]
T: Yep and lollies and…
S: Presents…
T: Presents and… good. Sit on your bottom.
SN: [inaudible]
T: You are very loud today!
SN: How do you write bike?
Ss: [Inaudible]
T: [Inaudible] You did well with motor. Bike is part of like. /l//ike/ and /b//ike/.
You can draw your motorbike now, but what belongs at the end of the sentence?
[I14P28_0:44:20]
What is impressive about this extract from Jane’s lesson is the pace with which she moved the task along, monitored the work of many children, and provided carefully chosen comments to stimulate forward momentum for children as they engaged with this writing task.

Stimulation can take many forms. In the episode that follows Jenny stimulated interest by co-constructing meaning with the children. In this example of a pre-writing task, she generated an animated discussion by building suspense through trying to get the children to guess the terminology for the type of shoes she was going to use in her text. The children’s enthusiastic responses showed their willingness to join in with the game, thus becoming fully engaged in the task.

T: Another pair of shoes? I've got a beauty! I'm not going to tell you until the end. What would you put on him, Belinda?
SN: Joggers.
T: Joggers!
Ss: [laughter]
T: Would we make them Nikes or Reeboks?
SN: Reeboks.
T: [laughter]. I'll put joggers, and what could he do, Belinda, with his joggers? Pardon?
T: Romp and stomp.
S: [inaudible]
T: Romp and stomp. One more. Adam.
SN: Sneakers.
T: Sneakers.
SN: Oh, the same as joggers.
T: Another word for joggers. Could I put sneakers here? Could we share those?
SN: [inaudible]
T: May I tell you my idea?
Ss: Ballet shoes!
T: I think I would give the giant...
SN: //Ballet shoes.
SN: Ballet shoes.
T: Stand up, Erin.
SN: That was your one.
Ss: [laughter]
T: Pardon?
SN: Ballet shoes. [laughter]
T: Did you read my mind or something? Well I think you did because that was my suggestion. Ballet shoes. Sit down. Ballet shoes I was thinking.
Ss: [laughter]
[C15P28_0:31:25]

Sue used a different approach again in trying to stimulate interest in a task. She directed the children’s attention to other resources in the room, commended their efforts, and provided positive support for their efforts as she scaffolded their attempts to complete the task. Comments like, ‘It’s nice to see you getting your own thoughts down’ and, ‘See? You’re thinking’ show how the teacher valued individual effort and intellectual engagement. Once again, the aim in making these comments was to motivate interest in learning.

What our study shows is that the effective and more effective teachers were observed using stimulation as an important strategy. In the classrooms where children’s work was
Chapter 6: Participation

not monitored with the same urgent interest and children were not stimulated to maintain motivation, then attention was reduced and engagement with the task was limited.

Pleasure

An important component of effective early literacy instruction within the participation dimension is pleasure. This teaching practice has been termed ‘the classroom fun factor’ (Scheerens & Bosker, 1997, p. 124) which is an off-shoot of good classroom relationships and satisfaction, and is closely related to warmth, empathy and rapport with children. It was evident when a teacher increased child participation in learning by creating an energetic and exciting classroom. Overall, pleasure was less frequently observed than the other teaching practices in the participation dimension: it was observed consistently only in the classrooms of the more effective teachers and one of the less effective teachers. The ways in which pleasure was demonstrated and stimulated varied from episode to episode but a number of common forms were evident. This sometimes took the form of the teacher expressing personal pleasure in the learning task, for example:

T: A couple of tricks. Oh let me see. [laughter] No I don't have, ah! Here they are! A couple of tricks...up my…
E: [laughter]
SN: I saw that [inaudible].
T: A couple of tricks in the cards...a couple of tricks in the cards to make it just a little bit more interesting. And the first thing I'd like is to go through and have a, say the sounds of the letters. Not the names. The sounds. What's the matter, Leo?
SN: [inaudible]
T: Yeah, it'll be right. If not we'll wash it later. Okey dokey. The sounds that these letters make. Be very careful. Remember, a tick for all the groups or twenty-eight servants for me. Oh, that's too hard to start with. [inaudible]
SN: That was alright!
T: Oh I don't want, I don't want to start with the hard stuff yet! Oh, too hard!
SN: I can see it.
T: Oh alright, we'll start with an easy one. You'll probably get this one. The sound everyone. What is it?
E: /u/.
T: Oh that...See? I told you that was an easy one! You got that one. Okay, your knee. [C17P23_0:42:45]

In interactions such as the example from Jenny’s class above, what is obvious is the enjoyment that the teacher generates as she engages with the children and helps them to learn. This was demonstrated in the teacher’s intonation, pacing and warmth of response. Such enthusiasm is usually contagious and in turn leads to children expressing their enjoyment and pleasure in a task.

Another way in which pleasure was used to stimulate participation in learning was anticipation of the pleasure that children were to experience. Some teachers aroused this anticipation by engendering the expectation that each learning task was special and had been created especially for the children in her class. This served as an encouragement for the children to participate enthusiastically in learning. For example, in the following episode Sarah emphasised the appealing nature of the materials for the ‘pop-up’ task that was to be undertaken.
In Teachers’ Hands

T: Red stars today, you get to do a special pop-up card about *Jack and the Beanstalk*, and I'll leave the book up here so that you can have a look if you want to. On the front cover I'd like you to write the title of the story, *Jack and the Beanstalk*, Mrs J did a beautiful job with that, didn't she? When you open it up, you can have a go at drawing any part of the book in there. Mrs J drew the cow, she must have liked the part with the cow, and she had a go at writing a sentence. The cow was sold for magic beans. So I'd like you to choose your favourite part of the story. You can put one of the characters on the pop-up bit, and then you can write me one sentence to go with the picture.

At times the teachers also expressed pleasure in children’s work that in itself encouraged further participation in literacy learning. In the following episode Sue, an effective teacher, is fulsome in her praise of a child’s work, in effect encouraging the child to sustain this level of effort.

T: Yes, dear. Good girl! Yay! Terrific! Okay, what would you like to do now?
S: Drawing.
T: Would you, would you…What are you going to finish it with if you're going to start a drawing?
S: [inaudible]
T: Yes. Have you done some proofreading?
S: [inaudible]
T: I don't, I think it's wonderful! I don't think it needs proofreading. I think it's beautiful! I'm really proud of your work! Right, darling, you can go and get a plain piece of paper. Leave that there so you know what you're drawing, and go and get yourself some paper for drawing. Right. How are we going here?

What each of the above examples illustrates is how the teacher fosters participation in learning by engendering pleasure in a variety of forms in order to encourage children to sustain their efforts and keep on task.

**Consistency**

Consistency involves the setting of specific routines by the teacher that are understood and adhered to by the children. Whilst this may be an important factor in classrooms in general, the establishment of routines is particularly important in the early years of school (Brophy & Good, 1986). Hill *et al.* (1998) have pointed out that in the early school years children are required to learn the routines of the classroom such as managing their own time, space, resources and bodies in terms of school expectations of behaviour.

This practice was evident when teachers invited involvement in lessons, structured tasks, or demonstrated predictability in the way learning was framed and encouraged. It was the only teaching practice in the participation dimension observed in every classroom, but there was some variation in its frequency of use across classrooms. All but one of the more effective and effective teachers were observed building consistency and predictability into their classroom environments in all observed episodes. These teachers’ classrooms ran smoothly and were highly predictable. In contrast, two of the less effective teachers struggled in this area and some of their activities seemed somewhat chaotic and unplanned.

At times this consistency was demonstrated in common procedures and routines that enabled children to confidently embark on learning activities. In the following episode, the child’s response indicated that the class had a well established routine for
proofreading their writing and that this routine had been well practised and reinforced by their teacher, Sue.

T: /i/. We don't ever write just a little /i/ in the middle of nowhere. We always use a capital. What else do we do when we're proofreading? We've gone through fullstops and capitals. Ah, Amber?

SN: Underline the words [inaudible].
T: Underline the words that we, we would like help fixing. Okay. We'll underline those in red and I will help you correct them when we conference, don't we? Joe?

Another common example of routinised strategies for gaining children’s attention and redirecting their activities was the use of clapping or other physical signals. In the following episode Sue began to clap her hands, a routine signal for the children to clap in time and redirect their attention to her.

T: [claps a rhythm]
E: [children copy the clapping rhythm]
T: [claps another rhythm]
E: [children copy the new clapping rhythm]
T: Should have everybody's eyes this way. Now you've had your one minute. Have you finished, the inside people?

Having a predictable environment and stressing the importance of compliance with class routines encouraged appropriate behaviour. For example, in the following episode Sarah involved the children in the routine for taking the class mascot home overnight. This routine was the catalyst for the next morning’s language activities that included the daily newstelling activity. In taking the bear home the children agreed to accept the consistent routine that was always used.

T: Straight away! Didn't even have a practice first so that was fantastic! Good girl! Would you like to see who's going to take him home tonight?
S: Mm.
T: Cross everything. Who's it going to… ooh, Brian's crossing his fingers!
SS: [inaudible]
S: Oops, goodness me! Will!
T: Will's turn! Ah, see that's because he was crossing his legs. You were crossing your legs and arms, good boy! Give him a clap! Well done, Will!
T: I can't wait to see what you do with him tomorrow.

Most of the more effective and effective teachers used structured ways for rewarding appropriate behaviour, good work and participation in class activities. In the following episode Sarah was using a system of ticks on the board to note appropriate behaviour.

T: Oh, I'll tell you what, those gold stars are working so quietly, I'm going let them all have two ticks each, what beautiful concentrating. Thank you for not disturbing your friends. Yes, Carol?
SN: Um, Does this mean I'll get four ticks?
T: You will get four ticks! Good thinking!
SN: We've already got our stars if we get two ticks.
T: Well, we'll have to see, Jack. We'll have to wait and see.
On other occasions the teacher reminded children of common literacy strategies in order to make the task easier for them and to maximise their participation in the task. A common area for application of this form of consistency was in word recognition. In the following episode Jenny demonstrated how consistent routines used for decoding words were helpful, and in the process maintained participation in the reading activity.

T: Still. Eyes this way; not fussing. That's what I call a good learner. Harry could I have my green book there, please? Here he is. This is The Giant of Ginger Hill.

SN: [inaudible]

T: Have a look at that word giant and ginger.

SN: [inaudible]

T: Giant and ginger. What did we have? Erin? I saw the little lights go on! What sound's it making?

SN: /g/.

T: /j/. What sound is there?

S: /j/.

T: The /g/ sound. But it's making that /j/ sound we had. The same as in giraffe. Be very careful about that one.

SN: It's got the /i/ in the word there. The /i/...

T: Ah! Oh! The short vowel?

SN: The short vowel is in both of them.

T: We're going to leave short vowels now. I want you to imagine what sort of giant this could be.

[C24P7_0:13:53]

Summary

Analysis of the participation dimension of CLOS indicates that all teachers used some strategies for gaining child participation in learning. It also shows that some practices such as engagement were observed less often and when they were observed were generally associated with the teachers identified as effective or more effective. The effective and more effective teachers gained strong child participation in learning activities, established significant relationships with their children, and actively sought to use language to encourage participation. Our data suggest that effective teachers use a diverse range of practices that are well orchestrated to engender interest in and commitment to learning, founded on close personal relationships with children and knowledge of their ongoing needs as learners.

In specific terms, the classrooms of the more effective and effective teachers were characterised by the ways in which these teachers used their voices and body language to gain and maintain attention as they controlled behaviour, shaped activities, defined tasks and explained what was important for learning to occur. These teachers used language to ensure that children were not only attentive but also engaged in terms of being deeply absorbed in literacy tasks. They also used a variety of linguistic strategies to stimulate and motivate the children, such as positive feedback, encouragement to share success with others, to continue with learning and to strive for high standards.

The more effective and effective teachers created energetic and exciting classrooms, in which pleasure in literacy learning was evident, as they expressed their own personal pleasure in learning tasks, stimulated suspense and anticipation of joyful learning, and generally communicated their pleasure in children’s work. This creation of pleasure in their classrooms encouraged children to participate, sustain their efforts and remain on task. The more effective and effective teachers were also highly consistent in that they set clear routines that were understood and adhered to by the children and that resulted in appropriate classroom behaviour.
The classrooms of the less effective teachers varied as a group. Two of these teachers’ classrooms showed some similarities to those of the more effective and effective teachers in that one of them showed high levels of attention, engagement and stimulation and the other high levels of attention and consistency, suggesting that the participation of children in literacy activities is not sufficient in of itself for effective learning to occur. The other two less effective teachers’ classrooms contained little or no evidence of attention, engagement, stimulation, consistency or pleasure. Pleasure was not observed at all in three classrooms and in the fourth, was observed in only half of the coded episodes, indicating that these classrooms were not particularly happy places for young children and their teachers.
Chapter 7: Knowledge

The dimension that we have called ‘knowledge’ refers to a group of teaching practices related to deep understandings about the processes of learning literacy and the capacity to use this knowledge to mediate children’s literacy learning skillfully. The six teaching practices in the knowledge dimension are defined in Table 7.1 (below).

Table 7.1: CLOS Teaching Practices: Knowledge

<table>
<thead>
<tr>
<th>Environment</th>
<th>Literate physical environment is used as a teaching resource</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purpose</td>
<td>Children’s responses indicate tacit or explicit understanding of the purpose of the literacy task</td>
</tr>
<tr>
<td>Substance</td>
<td>The lesson/task leads to substantial literacy engagement, not busy-work</td>
</tr>
<tr>
<td>Explanations</td>
<td>Explanations of literacy concepts and skills are clear and at an appropriate level</td>
</tr>
<tr>
<td>Modelling</td>
<td>Demonstrations of literacy tasks include metacognitive explanations</td>
</tr>
<tr>
<td>Metalanguage</td>
<td>Children are provided with language for talking about and exemplifying literacy concepts</td>
</tr>
</tbody>
</table>

The provision of a literate environment that is used as a teaching resource in the classroom has been found to be a characteristic of effective early years teachers as described by Mazzoli and Gambrell (2003); Snow, Burns & Griffin (1998); and Wray, Medwell, Fox & Poulson (2000). While it would be uncommon to find an early years classroom in Australia that did not include some environmental print, it is the usefulness and range of these texts and the manner in which the teacher engages children with the literate environment, that appear to impact upon the effectiveness of early literacy learning. A clear sense of the purpose of the learning task is critical to support deep and effective literacy learning (DfEE, 2000). This is demonstrated through children’s responses that indicate tacit or explicit understanding of the purpose of the task. Children’s understandings of the purpose of literacy learning links closely to the socio-cultural practice discussed by Luke and Freebody as the ‘text user’ in their ‘Four Resources Model’ of literacy practices. In their discussion of this model they emphasise the ‘purposeful social nature’ of literacy learning (1999, p. 7).

‘Substance’ or the provision of lessons or tasks that lead to substantial literacy engagement (not busy work) is seen to be an important aspect of knowledge and a teaching practice used by effective teachers that positively influences student outcomes (Hattie, 2003; Luke, Freebody & Land, 2000). ‘Explanations’ of literacy concepts and skills that are clear and at an appropriate level play a very important role in effective literacy learning, as described by Hill, Comber, Louden, Rivalland and Reid (1998) and Brophy and Good (1986). The teacher effectiveness research suggests that effective teachers provide deep and significant learning with clear explanations of concepts and skills.

‘Modelling’ that provides demonstrations of reading and writing tasks, which include metacognitive explanations, is described in the literature as an important component of effective early literacy instruction (Wray et al., 2002). Although most early years teachers in Australia are likely to include modelling as part of their literacy instruction, the quality of the metacognitive explanations that accompany their modelling of literate practices is a key factor in supporting effective literacy learning. Snow et al. (1998) emphasize the importance of encouraging self-regulation through metacognitive strategies. This includes ‘teaching readers to become aware of when they do understand, to identify when they do not understand, and to use appropriate fix-up strategies’ (p. 322).
‘Metalanguage’ or ‘explicit discussion of talk and writing about how written and spoken texts work, about their features, characteristics, patterns, genres or discourses’ plays a very important role in effective learning (Education Queensland, 2002, p. 7). This teaching practice is evident when teachers provide children with language for talking about and exemplifying literacy concepts.

It can be seen in Figure 7.1 that the more effective and effective teachers on the whole displayed more of the knowledge teaching practices more frequently than the less effective teachers. Hannah, a more effective teacher, demonstrated all six of the knowledge teaching practices in all of the coded episodes. Jane, an effective teacher, showed a similar pattern, apart from one episode that was not characterised by explanation. The other effective teachers demonstrated all of the knowledge teaching practices to a greater or lesser degree, although Jenny, a more effective teacher, was not observed using the literate environment in any coded episode. However, at the time of the study Jenny was in an administrative position in the school and no longer teaching in a classroom, but had agreed to teach in another teacher’s classroom for the purposes of the observational phase of the study. As she had not set up the literacy environment in this classroom and was relatively unfamiliar with it, it is not surprising that she did not make use of it. In the classrooms of two of the less effective teachers no instances of metalanguage were observed. Similarly two of these teachers showed no evidence of use of the environment. One of the less effective teachers was not observed using any of the knowledge teaching practices apart from modelling.

![Figure 7.1 Proportion of teaching practices present in episodes, by teacher, for the knowledge dimension of CLOS](image)

Figure 7.1 Proportion of teaching practices present in episodes, by teacher, for the knowledge dimension of CLOS

13 Figures in parentheses indicate the children’s learning gain adjusted residual in standard deviation units for each teacher’s classroom.
The importance of the teacher’s comprehensive knowledge of literacy for improving the literacy outcomes of children was shown by Hannah, the most effective teacher we observed. Hannah not only demonstrated all six of the knowledge teaching practices in all coded episodes, but she also demonstrated them at a high level of quality. Hannah’s own deep and extensive knowledge of literacy and literacy learning and teaching was evident in the ways in which she presented literacy knowledge to the children and engaged them in significant literacy tasks. Hannah’s knowledge was informed by a variety of experiences and educational initiatives that could well have influenced her teaching and led to the achievement of the high quality outcomes achieved by her children. She had taken advantage of many opportunities to develop her knowledge of literacy teaching through practical experiences, in-service courses and postgraduate teacher education. Initially, she had completed a degree in primary education with a focus on special education and she had taught in both mainstream classes and in a specialist facility for children who had language difficulties. She had completed a Graduate Diploma in Teaching English as a Second Language and worked for some years as a TESOL teacher. In addition, she had taken part in substantial professional development throughout her career. Thus, her extensive knowledge of literacy and literacy teaching had been gained from a combination of a variety of teaching experiences, postgraduate study and professional development.

**Environment**

The effective use of environmental print in early literacy classrooms has been an established practice since the work of Goodman (1986) and Smith (1982) and the ongoing research of Clay (1985; 1998). More recently an International Reading Association commissioned report (Hoffman et al., 2003a) identified the range of environmental print in classrooms as a major factor in early literacy acquisition.

Hannah’s classroom was awash with print of many genres that were used for a range of purposes. She drew attention to the physical environment every morning when she used the weather, days of the week and months of the year charts in a highly sophisticated way to teach the children how to read the days of the week, the months of the year and vocabulary related to describing the weather. Whenever she was discussing new vocabulary, how to spell new words, letter-sound relationships or what to do when reading unknown text, she consistently encouraged the children to refer to the environment to provide them with clues that could help them resolve their problems. In the following episode she encouraged the children to use a chart she had made to help them understand how they were going to observe worms and then to record their answers.

T: And remember we talked about we're going to use our- two of our... senses. I think you can tell by the pictures here on the board what senses we're going to be using today when we observe our worms. What do you think they're going to be? Tell the person next to you.
SN: Looking and feeling.
T: What are they going to be? //Brian?
SN://Looking.
T: Shh. What senses are we going to use today, Craig? What can you see up on the board?
SN: Eyes.
T: Eyes. And what sense is that?
SN: Looking.
T: Looking. What's another one?
S: Feeling.
T: Feeling, that's right. Who can tell me? Steve?
Another example of the teaching practice of using the environment as a teaching resource that was also observed in Hannah’s classroom took place as she prepared the children to write about worms. In the following episode we see how she encouraged them to use a variety of resources in the environment to help them spell the word *worms*. With Hannah’s help they located the written word in several places around the classroom.

T: Now lots of people said, “Up there we have brown, dark pink, red”. All those answers are right because if you look at a worm, it does have all those colours in it. But for today I’m just going to say, “Worms...are...brown”. I learnt that today, “worms are brown”. OK, now *worms*, where would I find the word *worms*, if I want to be able to write it? There's lots of places where you can see the word *worms* in our room. Who can tell me? Brian? Thought you must know because you were touching things then. Where can you find the word *worms*?

SN: Up there.
T: Thank you. Up the back the word *worms* is written. Can you all see it up there?
Ss: Yeah.
T: I can see it. Where else is it written? Robyn?
SN: On the page and on the whiteboard.
T: It's written on our page.

Sue, an effective teacher, also referred children to environmental print in the classroom in order to help them spell words in their writing.

S: /w/
T: You're right. You know *went*. There's your chart if you want to have a look. On - okay, what day?
S: Um, Sunday.
T: Sunday? *Sunday* is over there, but you know what *Sunday* starts with, so let's get started. /Sun/…

The less effective teachers made little or no use of the literacy environment. In the few instances where they were observed to make reference to this teaching resource, the references did not appear to facilitate the children’s learning. For example, in the following episode a less effective teacher was trying to teach letter-sound correspondences by drawing children’s attention to words she had written on the board. The teacher had described the task as to ‘find /p/ words’ and a child had volunteered the word *pig* which she accepted.

T: *Pig*. Is there another word with /p/ sound?
SN: *Elephant*?
SN: No.
T: /p/ an *elephant*?
S: No.
T: Has *elephant* got /p/ sound?
S: No.
Ss: [inaudible]
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T: No.
SN: E.
SN: Sun.
[A2K10_1:17:36]

It can be seen that this less effective teacher may well have confused the children as there was no consistency in the way she referred to letter sounds and letter names. When a child nominated elephant as a /p/ word, she negated his response, but did not point out that elephant contained the letter P, nor did she explain that she was focusing on sounds not letters. In fact after she had told the child that elephant didn’t ‘have a /p/ sound’, she asked another child to point to the letter P on the board, without making clear the relationship between the sound /p/ and the letter P. The confusion of some of the children can be seen in their responses, for example that of the child who volunteered the beginning letter, not sound, of elephant and the child who gave the word sun as a /p/ word after a long pause and at the end of the interchange.

Purpose

The teaching practice identified as purpose refers to the ways in which children’s responses indicate tacit or explicit understanding of the purpose of the task. All but one of the teachers’ classrooms contained some episodes where it appeared that the children understood the purposes of tasks. Nevertheless, this understanding of purpose was, on the whole, more often observed in the classrooms of the more effective and effective teachers, although all observed episodes of one less effective teacher were also characterised by this teaching practice. Most teachers ensured that their children understood the purpose of set tasks for at least part of the time. In the following episode, Jane, an effective teacher, was sharing the Big Book, Big Sea Animals (Smith, Giles & Randell, 2000). She had already made clear that the purpose of this task was to use picture and graphophonic clues to make meaning from the text. As individual children took turns to read she reinforced this purpose by directing the children’s attention to picture and graphophonic cues when they had difficulties in decoding unfamiliar words. As she scaffolded Tyler through his reading he became increasingly able to use these cues to make meaning. By the end of the interaction he appeared to understand how to apply these cues relatively independently, thus demonstrating his implicit knowledge of the teacher’s purpose.

T: Tyler, up here.
SN: Big Sea An - Big Sea ... Come.//
T: // Come...
S: And look at the fish.
T: The...
S: The fish is big.
T: Good boy. Come...
S: Come and look at the crocodile... alligator.
T: No.
S: Crocodile.
T: Yeah, because it starts with a...?
S: /c/
T: /c/ for crocodile. Right. The...
S: The /crocodile is big.
T: //Crocodile. Good. Come...
S:  *Come and look at the turtle.*
T:  How do you know it's a turtle? How did you get the clue? What did you do? You looked at the…?
S:  Word.
T:  And what's that? It's a picture.
S:  [inaudible]
S:  */The turtle is big.*
T:  */c/ *Come…*
S:  */Come and look at the…*
T:  What do you think that is?
S:  Whale.
T:  No, it's not a whale.
S:  */d/ *dolphin.*

Sarah, another effective teacher, provided a very clear example of purpose when she encouraged a child to explain what to do when reading did not make sense. Here she explained that the purpose of learning was for children to be self-monitoring and to notice if meaning became confused. She also encouraged the children to use the strategy of re-reading to see if they could clarify meaning. In this way Sarah made clear that the purpose of reading was the pursuit of meaning and that to achieve this end children needed to self-monitor and self-correct. In this second year of school class the strategies that were introduced for making meaning were more sophisticated than those in Jane’s first year of school class. Sarah explained that it was ‘OK’ to make a ‘mistake’ when ‘it didn’t really make sense’ because ‘that’s how you learn’.

T:  Fantastic Carol! Now let me tell you something. When Carol was doing her work today, we went back to the sentence didn't we Carol when you finished it, and what happened when you started to read it?
S:  Um, I got a bit mucked up on it so I um, started again and I did and then I, um did it properly.
T  Carol was reading it. She decided that it didn't really make sense didn't you, Carol? So then she went all the way back, and she started and she fixed it up. Was that okay that Carol made a mistake?
S:  Yes.
T:  Yes, because?
SS: That's */how you learn.*
T:  */That's how you learn.* Did you learn that today, Carol?
S:  Yep.
T:  Let's give her a clap! Well done! [claps]

In the following episode in Jenny’s second year of school class the purpose of the task was to understand characterisation. Jenny made clear to the children that when they were reading aloud a change of voice signified the change of character from story-teller to giant. She drew Brian’s attention to the fact that he had implicitly understood the purpose of the task when he had changed his voice to suit different characters. In doing this she made the purpose of the task explicit for him and the whole class.

T:  “*No,“ said Sadie the shopkeeper, “I have no more boots”*. Who'd like to be the giant?
Ss:  Me.
T:  I will find a person I think will be a good learner. Brian!
SN: “No boots,” cried the giant, “but these boots have holes.”
T: Excuse me. You changed your voice. You were the giant until you got to this bit, “cried the giant”. Why didn't you use your giant voice here?
S: Because that's not what the giant was saying. That’s what it's telling you.
T: That's sort of the story-teller's part. It's showing us who is doing this talking. Brilliant! I loved it! Everyone: “You have stamped and tramped too much,” said Sadie.

Further examination of these episodes from the classrooms of the more effective and effective teachers shows that the purposes of these tasks was to acquire significant literacy knowledge and skills. There were qualitative differences in the purposes of tasks constructed by these teachers compared to those of the less effective teachers. In the classes taught by the less effective teachers the purposes of the tasks to be undertaken by the children were often of a lower order and not explicitly stated. For example, in the following episode from a less effective teacher’s classroom, the implied purpose of the task was to write in a neat and orderly manner without rushing.

T: Right, Daniel. What are you writing? Beautiful writing there! And Ahdelia's is lovely; lots of ticks, Ahdelia.
SN: My brother taught me how to write like that.
T: Did he? That's interesting. How are you going? Taking your time, that's all right!

A lack of explicitness of purpose was frequently observed in the classrooms of the less effective teachers. Moreover, whilst most of the teachers paid some attention to word and letter formation, the more effective and effective teachers usually made clear to the children that neatness of handwriting was a means to achieving effective writing outcomes rather than being an end in itself.

In essence the more effective teachers gave clear explanations of the purposes of literacy tasks and their purposes were often of a higher order than those of the less effective teachers whose lower level purposes were often implicit. It was not that the more effective and effective teachers did not indicate implied purposes. As can be seen in the above examples, some of these teachers had additional overarching high level purposes embedded within tasks for which the immediate purpose was explicitly stated. In her discussion of characterisation, Jenny, by implication, made the purpose of school clear, as she commented that she was looking for ‘a good learner’. Here she was constructing children who were good learners as the children who were successful at school. However, unlike the less effective teachers, Jenny had made explicit the purpose of the immediate literacy task, that of differentiating between characters. This suggests an inter-relationship between the practice of purpose and substantial literacy learning as observed through the practice of substance.

Substance
The literature (Hattie, 2003; Luke, 2003) suggests that effective teaching is related to the quality and depth of what is learnt in the process of learning literacy. The teaching practice we called substance refers to the ways in which a lesson/task leads to substantial literacy engagement that is not characterised by ‘busy work’, or tasks that do not have the potential to facilitate children’s learning. This teaching practice is closely related to ‘substantive conversation’ as it is described in the Productive Pedagogies Theoretical Framework (Education Queensland, 2002), which involves ‘sustained conversational dialogue between students, and between teacher and students to create or negotiate understanding of subject matter’ (p. 4). Hannah, Jenny and Jane demonstrated this teaching practice in every observed episode. Hannah engaged the children in a
substantial literacy activity through their participation in a joyful reading of the text *Who Sank the Boat?* (Allen, 1982) after which she carried out a drama activity and challenged the children to explain who really did sink the boat.

T: Who sank the boat?
S: All of the animals.
T: You think all of the animals did? Why?
S: Because it get, it got so heavy when the mouse got in, it sank.
T: It got so heavy when the mouse got in that it sank. That's right. So, if the mouse had gone first, would it have sunk then?
E: No.
T: Why not? Steve?
SN: Because the mouse was more lighter.
T: Because the mouse was light. So if the mouse went first and was the only one in there it prob, it probably wouldn't have sunk. It was because all the animals had got in, it got heavier and heavier, and the last one was the mouse and it just made it that much too heavy didn't it? And it, it sank. And they all fell into the water. Well we're all going to get into a boat.

The concepts of weight and displacement of water dealt with in this discussion were complex and Hannah showed careful scaffolding of the dialogue to provide the children with substantial learning about mathematics and science through a focus on literacy.

Jane also provided a substantial learning episode when she was discussing the pictures in a big book about transport that she had made for the class. During this episode she engaged the children in sharing experiences of concepts about history and made links to the way the world is today.

T: This is early Australia. This is a bullock team. This is like the big cows. Bullock teams used to do a lot of hard, the hard work in the timber industry. Today large trucks haul the logs to the mill. We have lots and lots of trucks coming past here. These boys are riding their bicycles to school and they're not wearing helmets. Do you know why?
Ss: Why?
T: Why? Cos it was a long, long time ago.
SN: They didn’t have helmets.
T: That’s right. And when your daddy was a little boy he didn’t have to wear a helmet. And when your mum was a little girl she didn’t have to wear a helmet.

Substantial engagement in a literacy task was clearly demonstrated when Jenny encouraged her class to think of vocabulary associated with giants. When one of the children suggested the word *humungous*,

14 *Humungous* did not appear in any printed dictionary consulted, but did appear in the MS Word dictionary as spelled *humongous* or *humungous* and defined as an ‘informal’ adjective meaning ‘extremely large in size or amount’. she took the opportunity to engage the children in thinking about possible spellings of this word. Throughout this episode the children were clearly learning a great deal about the structure of complex words.

T: *Giant*. Who could give me some words? What popped into your mind immediately that I said that word *giant*? What popped into your mind? Shane?
S: *Humungous*. 
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SN: Uh-oh. Pardon?
T: *Humungous...*[10].
SN: She can't spell it.
T: It's one of those words that's sort of - just sort of grown up, and I need some help. *Humungous.*
T: /hu/
SN: Who can help me out? *Humungous.* What sounds can we hear?
T: Come on, Shane. You said it. Help me out.
SN: [inaudible]
T: Oh thank you. Here's a boy who's helping. He's learning. Brilliant! Carl, have a go.
S: H U M
T: H U M
SN: U G E S
T: Leo, what would you put?
SN: W H O
T: Sorry Leo. *Humungous.*
S: W H O
T: Have a listen. Have a listen. *Humungous* [slowly articulated]. Okay, go for me.
S: H U
T: /oo/, /oo/. We've crossed out the *W*. Now? /m/ /m/.
SN: M U N G E S
T: Mm? Anyone else got any ideas? One more person.
SN: O W
[C9K30_0:06:02]

These examples demonstrate how substantial literacy engagement appears to facilitate children’s literacy processes and systems. An examination of the episodes from the less effective teachers’ classrooms showed that where substantial literacy engagement was observed it was constructed in their classrooms as of a more routine nature and at a different level of complexity from that shown by the more effective and effective teachers.

**Explanations**

Explanations of literacy concepts that are clear and at an appropriate level play an important role in helping children with early literacy acquisition (Hill et al., 1998). This seems to be particularly so for children who begin school without many skills in literacy and who have not been immersed in a range of literacy activities in their homes (Freebody, Ludwig & Gunn, 1995).

Many teachers frequently confuse the concepts of letter sounds and letter names, as was seen in the episode in which a less effective teacher was observed asking the children to find /p/ words. Clear explanations are seen to be of great importance for early literacy learners (Snow et al., 1998). In the episode below Jenny provided a very clear explanation of the difference between letter sounds and letter names.

T: Put your pencils down and your eyes this way. I need someone to give me a word that begins with my /g/ sound. Robby?
SN: *Game.*
T: *Game.* How am I going to write *game.* Robby?
S: *G A M E.*
T: Ah. Wait a moment. Did Robyn sound out that word or was she clever enough just to spell it out? She spelt it out, using the names of the letters. Very good, Robyn. Tell me a game. Tell me a game.
[C11K11_0:21:58]
Similarly, Hannah provided extremely clear explanations about the concepts of letter names, letter sounds and words. She usually accompanied these explanations by signalling the number of sounds with her fingers, using two fingers when a digraph was part of the word. In the following episode Hannah explicitly taught the spelling pattern \textit{QU}, which she taught as representing one sound, and which, whilst it actually represents the two phonemes \( /k/ \) and \( /w/ \), is often taught by early years teachers as a digraph that represents one sound. The clarity of Hannah’s explanation is shown in the transcript below.

T: Now we're going to have a go at writing a word. Are we ready? When I say the sound \( /qu/ \) how many letters are we going to write for that sound? Jack?
SN: Two.
T: Two letters because we write \textit{Q} and \textit{U} to make the sound \( /qu/ \).

The clear explanations given by the more effective and effective teachers were not only at the word level, but they also gave extremely clear explanations of the features of whole texts. In the following episode, Sarah was discussing the structure of narrative. Having asked the children to identify the elements of a narrative and accepted their responses, she then expanded the children’s contributions with clear explanations about the purpose of each of the elements.

T: Who can remember what the parts of the story are, what are the three parts we need to remember? Aidan?
SN: Middle - ah – beginning, middle and end.
T: Yes, good boy. We have to have a beginning, where they tell us who the characters are, and maybe where the story's going to take place. Then we have a middle, and we find out what happens in the story, and then we have and ending to find out how it's going to finish. Miss Jones might help me to hold this one out. I've already made our big chart for us, and I've divided it into the three parts that we will need to be looking at today. We've got the beginning, the middle and the end.

There was overall much less evidence of clear explanations by the less effective teachers and there was a particular lack of evidence of clear whole text explanations. On the few occasions where they did provide clear explanations those of the less effective teachers were usually limited to explanations of sounds or letters.

**Modelling**

Modelling was a well used teaching practice in our sample of teachers in that all were observed to demonstrate modelling at some time and more than half of them were observed demonstrating modelling in more than half of their episodes. Given the long established practice of using modelling in early years classrooms in Australia one might not expect its frequency of use to differentiate markedly between teachers. However, it
is demonstrations of reading and writing which include metacognitive explanations that are significant in providing the most effective knowledge for learners (Wray, et al., 2002). This is evident in the qualitative data in which we see the more effective and effective teachers at work.

Hannah provided very clear metacognitive guidance when she modelled how to participate in a drama based on the text *Who sank the Boat?* (Allen, 1982). She gave linguistic guidance by demonstrating how to use the language of the book the class was exploring. In this episode the modelling provided guidance about how to carry out the activity and how to use language appropriate to the context. Hannah provided metacognitive explanations of how to ask and answer questions in a specific situation, thus giving the children not only the concept but also the specific language to use in questions and answers. She modelled several acceptable alternatives in terms of possible answers.

T: Now when we get into our boat, David, we need to be sensible. Okay? All right. Let me see if I can get down on the floor. I'm just going to take off my shoes to do this because it's easier. And remember if you're asking someone a qu - if someone asks you a question - so Robyn might have said, “Would you like to come into the boat?” What are you going to answer back to them? Cassie?
SN: “Yes I would”.
T: “Yes I would”, or, “Yes I will”, or, “I'd love to come into the boat with you”. Now you have to give an answer. You can't come into the boat unless you give an answer. Okay? I'm going to sit down. Let me see. Um – Brian, I'm going rowing today, “Would you like to come into the boat?”

In the following episode, where Sarah was observed modelling the function of an exclamation mark she accompanied it by a clear metacognitive explanation. Her teaching strategy included ensuring that all children could visually recognize a question mark in the text and giving them positive reinforcement for this recognition, before proceeding to model the change of oral reading expression signified by the exclamation mark.

T: Now, have a look at this one, we haven't talked about this one today, and there's three of them in a row here. It's a line with a dot. What's that one? Madison?
NS: Exclamation mark.
T: What is it?
S: Exclamation mark.
T: She is.../sensational!
E: //Sensational!
T: Exclamation mark, well done! What do you need to do when you see an exclamation mark?
NS: Change your voice.
T: Nina, I love the way your hand's up.
NS: Umm. Change your voice.
T: You need to change your voice, don't you, a little bit differently, and put a little bit of expression into your reading. I could read it like this [reads in a monotone], “No money, no cow only beans”. That's a bit boring isn't it? When I see an exclamation mark we can do what we call *expressing* and change our voice [reads with animated expression], “No money! No cow! Only beans!” That makes it sound a little bit more interesting doesn't it?

[H16K20_0:07:46]
This examination of the qualitative data shows examples of how the more effective and effective teachers used the teaching practice of modelling to make particular metacognitive processes clear to the children. The modelling they provided was both cognitively clearer and more accurate than the modelling provided by the less effective teachers. The less effective teachers tended to use modelling with little metacognitive explanation, such as when they modelled reading by reading aloud a Big Book, giving few or no explanations of the mental processes they were using. In the following example a less effective teacher was reading to the children from the large text narrative *At the Pool* (Depree & Iversen, 1995) and asking them from time to time to re-read what she had read.

T:  *I had to be carried over bridges. I always shut my eyes*. Yes, Josie?
SN: *He held on tight to* [...]
T:  *Do you think he's having a good time or he's scared?*
Ss:  Scared.
T:  *Everybody. // Much later when I was five I learnt to swim. I learnt to jump from the sides of the pool too.*
E:  *//Much later when I was five I learnt to swim. I learnt to jump from the sides of the pool too.*
T:  *Does anyone have a comment? Amanda?*
SN: *It's the deep end.*
T:  *He's down in the deep end so he's getting much more confident. But I really wanted to learn to jump from the diving board. Mum said that I could try.*

Here, whilst it is possible that the teacher could be facilitating some children’s fluency in oral reading with her oral reading demonstrations, there was little evidence in this episode, or other episodes that feature this less effective teacher, of metacognitive explanation. At times she invited comments about what seemed to be happening in the pictures but did not offer any explanation of the strategies she used to ensure fluency of oral reading. She also did not clearly explain how to make meaning from the text, which could well have been her intention in inviting the children’s responses. She expanded on Amanda’s comment about the picture in the text, *It's the deep end*, making the inference that the hero of the story was *down in the deep end so he's getting much more confident*, but she did not really explain the mental processes she used in making this connection.

In summary, all of the teachers in the study did provide some modelling for the children in their classes in terms of providing demonstrations of literacy use. However, in terms of modelling defined as demonstrations of reading and writing tasks [that] include metacognitive explanations, the more effective and effective teachers not only used this teaching practice more often than the less effective teachers but their modelling was accompanied by qualitatively different metacognitive explanations.

**Metalanguage**

The importance of providing children with a language for talking about and exemplifying literacy concepts is an important aspect of the knowledge dimension (Luke, 2003; Snow *et al.*, 1998). The more effective and effective teachers were observed to use the teaching practice of metalanguage more often than the less effective teachers, two of whom were not observed using this teaching practice at all. The more effective teachers were particularly skilled in the use of metalanguage.

Jenny’s metalanguage teaching practices were highly sophisticated. In the following episode she provided the children with the vocabulary with which to describe vowels.
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T: And if I say /a/ /e/ /i/ /o/ /u/, or I can say A E I O U, one I call short, one I call long. Do you know why I call them short?
SN: Ah, because, um, when you say them they sound short.
T: They take a short time to say. And of course I call them long ones because they take a…
Ss: //Long.
T: //Long time to say. Give me the short vowels.

Jenny also gave the children the language with which to describe some literary concepts of the narrative genre, including purposes for writing narratives.

T: What type of book is this book?
SN: A narrative.
T: A narrative. That's right. A made-up story. Why do we say it's narrative?
SN: [inaudible]
T: Why do we have narratives?
SN: To trick people and scare them.
T: Maybe to trick or scare. Erin, why might we have a narrative? Why do people write narratives?
SN: [inaudible]
T: It could be. Yes. Would you say they could entertain us? These books are fun to read. Thank you very much people.

Hannah provided the children with some explicit language structures to help them recognise how different words can be used to ask questions. In the following brief extract from an episode we examined previously in terms of the teaching practice of modelling, she explicitly drew the children’s attention to the way in which the word would might be used to ask a question.

T: Would you like to come into the boat? Was that a question?
S: Yes.
T: Let's just check over here. Do we have would up there?
E: No.
T: I'd better quickly put that up because Robyn has just got another question word for us. Would you like to come into the boat? Thank you, Robyn.

The examples above contrast conceptually with the metalanguage used by the less effective teachers. These teachers did not consistently and clearly draw children’s attention to features of words and texts through the use of specific metalinguistic vocabulary, as has been demonstrated in the episodes from the classrooms of the more effective and effective teachers. Further, when the less effective teachers did use specific metalinguistic terms they were sometimes not contextually appropriate. In the following example a less effective teacher was observed as she asked the children to read flash cards on which were written individual key words from the book The Very Hungry Caterpillar (Carle, 1970).

T: Good girl. Lovely reading. Oh, here's a long word. It's out of the book. We haven't seen it before.
SN: Star.
T: Good girl it does start with /s/. Excellent! Are you looking? It does start with /s/. Very good. Georgia thinks it starts like star, but it has too many letters for star,
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doesn’t it? That was a very good thought. It’s a long one. I know, let’s sound it out together. Go… /s/ /t/ /r/ …

Ss: /s/ /t/ /r/ /a/…
T: What’s A W?
S: [inaudible]

T: No, it’s a *digraph*. Let’s not guess until we’ve sounded it out. It’s a *digraph*. A W says /aw/ in this word. Keep going str /aw/ b /e/ /r/ /ee/. What is it everyone?
Ss: *Strawberry*.

This episode that shows a less effective teacher in action may be contrasted with that in which we saw Jenny, a more effective teacher, use the teaching practice of metalanguage with great clarity to explain to a second year of school class the concepts of long and short vowels. Jenny specifically focused the children’s attention on vowels and, in the brief discussion, retained this focus. This less effective teacher was also focusing on word parts as she tried to help the first year of school children to decode the word *strawberry* on a flash card. She used the metalinguistic terms ‘word’, ‘letter’, ‘sound’ and ‘digraph’ as she did this, but it is likely that the use of all these terms, particularly ‘digraph’ was confusing for the first year of school children, many of whom were observed in other episodes as not being able to differentiate between the concepts of letter and sound. Since the teacher’s aim in the extract appeared to be recognition of a ‘long word’ that was very difficult for these young children to ‘sound out’, it seems that telling them ‘the letters *AW* represented a digraph’ would be confusing for them.

**Summary**

The more effective and effective teachers showed an understanding of the literacy concepts and skills taught in early years classrooms that underpinned their classroom practice. With the exception of one teacher who did not have access to her own classroom, the more effective and effective teachers provided a literate environment for the children in their classes and made substantial use of this environment in their teaching, a practice that has been found to be extremely important in early literacy learning (Snow, Burns & Griffin, 1998). In their classrooms were many information charts such as the weather and days of the week that were used as part of daily routines. There were also dictionaries, word charts and a range of texts and other resources around the room to guide children’s personal writing. These teachers prepared the environment so that everything they needed for a particular session was either at hand or in a well-known place for immediate accessibility.

All but one of the classrooms contained some episodes where it appeared that the children understood the purposes of tasks, although this was more evident in the classrooms of the more effective and effective teachers. These teachers made explicit the purposes of set tasks, which were often of a higher order than those of the less effective teachers, and they sometimes conveyed to the children, often implicitly, purposes beyond the tasks at hand that had to do with overarching purposes such as school learning and future success. Closely related to purpose were the ways in which the more effective and effective teachers created tasks that allowed for substantial learning to take place as teacher and children engaged in dialogue that led to deep understanding of concepts and skills. The more effective and effective teachers also provided their children with clear and appropriate explanations of literacy concepts, both at the word and text levels.

All teachers made some use of modelling in their literacy teaching as they presented shared book experiences and modelled writing. What was noticeable about the more effective and effective teachers was the clarity and level of their metacognitive explanations. These often included the use of metalinguistic terms that provided the
children with the vocabulary and linguistic structures that helped them make connections between what they already knew and the concepts being learnt. The metalanguage taught included literary terms as well as those associated with the features of letters, sounds and words.

In contrast to the classrooms of the more effective and effective teachers, those of the less effective teachers were characterised by little or no use of a literate environment, metalanguage, substantial engagement in literacy learning or clear explanations of literacy concepts. Whilst the children in some of these classes indicated either tacitly or explicitly that they understood the purposes of set tasks, these purposes tended to be of a lower order than those of the more effective and effective teachers and were more likely to be of a routine nature. All of the less effective teachers used modelling to some extent, but they tended to use it with little metacognitive explanation and on the relatively few occasions when they did use such explanations they did not usually show clear connections between the literacy task and the mental processes being used.
The dimension we have called ‘orchestration’ brings together a group of teaching practices concerned with management of the learning environment in early years literacy classrooms. In developing these teaching practices of CLOS, we have drawn on a long-established literature on classroom management and organisation. The common quality of these teaching practices is that they are responses to the complexity of the social context of the classroom. As Doyle’s classic formulation has it, classrooms are characterised by multidimensionality, simultaneity and unpredictability (1986, pp. 394-5). The challenge for people working in classrooms is to manage this complex social environment, ensuring it is sufficiently predictable that twenty or more children have extended opportunities for literacy learning without making management the dominant focus of their interactions with children. Outstanding early years literacy teachers, as Snow, Burns and Griffin have said, are ‘masterful’ in their management of activity, behaviour and resources (2001, p. 196). Brophy and Good make the link between teacher behaviour and student achievement and say that

effective instruction involves selecting (from a larger repertoire) and orchestrating those teaching behaviors that are appropriate to the context and to the teacher’s goals, rather than mastering and consistently applying a few generic teaching skills (Brophy & Good, 1986, p. 360).

Five teaching practices constitute the orchestration dimension: ‘awareness’, ‘structure’, ‘flexibility’, ‘pace’ and ‘transition’ (see Table 8.1). Underpinning these teaching practices is the process-product literature of the 1970s and 1980s. Effective teachers, this literature suggests, have high levels of awareness of classroom activities and of children’s levels of participation. As Kounin (1977, p. 85) said, an effective teacher has ‘eyes in the back of her head’. The quality of structure concerns the maintenance of an orderly and predictable environment. Effective early years classrooms, as Brophy and Good noted, are characterised by ‘a great deal of instruction in desired routines and procedures’ (1986, p. 366). These routines, which become part of the tacit landscape of the classroom, provide taken-for-granted structures for the introduction, monitoring, maintenance, conclusion and follow-up of activities.

### Table 8.1 CLOS Teaching Practices: Orchestration

<table>
<thead>
<tr>
<th>Awareness</th>
<th>The teacher has a high level of awareness of literacy activities and participation by children</th>
</tr>
</thead>
<tbody>
<tr>
<td>Structure</td>
<td>The environment is predictable and orderly</td>
</tr>
<tr>
<td>Pace</td>
<td>The teacher provides strong forward momentum in literacy lessons</td>
</tr>
<tr>
<td>Transition</td>
<td>Minimum time is spent in transitions or there is productive use of transitions</td>
</tr>
<tr>
<td>Flexibility</td>
<td>The teacher responds to learning opportunities that arise in the flow of literacy lessons</td>
</tr>
</tbody>
</table>

Effective teachers structure lessons so that children have many opportunities throughout the day to make connections to prior literacy learning. Rosenshine and Stevens describe effective instruction as, ‘an exciting thing to watch…[as the] class or group move at a rapid pace…giving the correct response rapidly and confidently’ (1986, p. 380). Pace concerns the quality of forward momentum in literacy classrooms. Briskness, smoothness and timing, it has been argued, all underpin effective teaching (Brophy & Good, 1986, p. 346). Similarly, effective teachers are thought to spend little time on transition between activities and make productive educational uses of time spent on transition between activities (Arlin, cited in Doyle, 1986, p. 416). Flexibility, the final teaching practice associated with orchestration, concerns teachers’ capacity to respond to the learning opportunities that arise within the flow of lessons, to ‘adjust to the demands of immediately unfolding events and the multiple vectors of classroom settings’ (Doyle,
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1986, p. 361). The teaching practices in the orchestration dimension focus mostly on the teacher’s behaviour, although structure is most often observed through the children’s behaviour.

A simple descriptive analysis, by frequency, of each of the orchestration dimension teaching practices in the classrooms visited and videotaped provides a summary of the proportion of episodes that the researchers coded for awareness, structure, pace, transition and flexibility and shows the wide variation across the classrooms (see Figure 8.1). The less effective teachers were among those with the lowest number of episodes characterised by the orchestration dimension. In two of these teachers’ classrooms – awareness, structure, flexibility and pace were not observed at all, although a few episodes demonstrated transition. In contrast, in the classrooms of the more effective and the effective teachers, awareness, structure, pace, transition and flexibility were generally observed in most episodes. The levels of orchestration in these and other classes are discussed below, and illustrated with selections from transcripts of the video cases.

Figure 8.1 Proportion of teaching practices present in episodes, by teacher, for the orchestration dimension of CLOS15

<table>
<thead>
<tr>
<th>Teacher</th>
<th>Proportion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hannah</td>
<td>+4.04</td>
</tr>
<tr>
<td>Jenny</td>
<td>+2.54</td>
</tr>
<tr>
<td>Sarah</td>
<td>+1.80</td>
</tr>
<tr>
<td>Jane</td>
<td>+1.58</td>
</tr>
<tr>
<td>Sue</td>
<td>-0.68</td>
</tr>
<tr>
<td>Isobel/Abby</td>
<td>-1.05/-0.19</td>
</tr>
<tr>
<td>Patricia</td>
<td>-3.28</td>
</tr>
<tr>
<td>Gabby</td>
<td>-4.04</td>
</tr>
<tr>
<td>Terry</td>
<td>-4.26</td>
</tr>
<tr>
<td>Ana</td>
<td>-4.42</td>
</tr>
</tbody>
</table>

More effective  Effective  Less effective

Figure 8.1 Proportion of teaching practices present in episodes, by teacher, for the orchestration dimension of CLOS15

**Awareness**

Awareness has long been regarded as essential to effective classroom management. Kounin for example, characterised good classrooms in terms of teachers’ “withitness” and capacity to attend to several issues simultaneously (1977, p. 74). In the present study

15 Figures in parentheses indicate the children’s learning gain adjusted residual in standard deviation units for each teacher’s classroom
the effective and the more effective teachers rarely attended just to one issue at a time. In Jane’s class, for example, even as she was hearing a child read, her eyes scanned the rest of the class to check their progress on the group activities that had been set while she listened to individual reading. Later, as she worked individually with a child on his writing, she directed a second child to a particular word on his spelling card, corrected the posture of a third child, and directed a fourth child to complete a follow-up task.

Similarly, during a spelling lesson, Hannah was able to give individual attention to a child who was having difficulty learning the letter-sound combination /qu/16. At the same time as she gave this individual help, she monitored the progress of the other children in the class and was aware of those who were attending and those who were at risk of getting off-task.

Awareness was not simply about handling misbehaviour and managing classroom routines. As the episode above shows, it’s also about the teacher’s ability to monitor children’s progress during activities so that she can make quick judgements about progressing an activity in response to the children’s understanding. In these examples, the teachers constantly evaluated individual children’s participation in an activity, monitored the pace of the lesson and adjusted it accordingly, ensuring that as many children as possible were engaged in a meaningful learning activity for most of the class time.

In the following episode, Jenny seems to have ‘eyes in the back of her head’ as she simultaneously attends to the behaviour of individuals whilst at the same time monitoring the children’s progress on a handwriting task.

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16 Here Hannah was teaching the spelling pattern /qu/ as representing one sound, which, whilst it represents the two phonemes /k/ and /w/, is often taught as a digraph that represents one sound only.

Except for time when the class was engaged in a silent reading or silent writing activity, the more effective teachers’ voices were a constant presence as they orchestrated the progress of each child for each particular task. In the following examples, Sarah, an effective teacher, showed her awareness of the behaviour and understanding of individual children in a whole group discussion leading to a story writing activity.

T: When we write a story, there are three parts to the story, who can tell me what they are?
SN: End?
T: The end is one of them. Just a minute, Jack's having a turn. The end, the middle. Mary?
SN: Middle?
SN: And the beginning.
T: And the beginning, but we do it the other way around, we don't start with the end first, we start at the beginning. So I'd like to see beginning, the middle and the end. So how many sentences will you need?
SS: Three.
T: Three. Beginning, middle, end, and Mrs F has had a go at doing that, I don't want you to copy Mrs F, I want you to have a go at doing it yourself. The people that are working on the sequencing activity today, you're going to have a go down here in the book corner, and Karen this is you, so you need to be listening. That's why I've put the book there because you're in the book corner today. Okay?

By contrast, in the less effective teachers’ classes, greater proportions of the teachers’ talk time were spent in managing misbehaving children. There were also more incidents of children being off-task, and the teachers’ response time to attending to off-task behaviour was inconsistent. Sometimes there was no attempt made to get the recalcitrant child back on task and this caused further disruption for other children around the recalcitrant. There were occasions when a less effective teacher wasn’t aware that a child or group of children was off task either overtly or covertly. There were also incidents where, although the teacher was aware, she didn’t have the skills to deal with the situation effectively. As a result, long periods of lesson time were unproductive and did not provide significant literacy learning opportunities.

Structure

The teaching practice identified as structure refers to the orchestration of physical movement around the classroom and to the predictable and orderly structuring of learning tasks and activities. In this study, the more effective teachers demonstrated structure in every episode observed. Their expertise was observed in the way they managed the physicality of activities, ensuring that instructions were delivered clearly and children understood exactly what they were required to do. In the following example, for instance, Sarah was setting up the class group literacy rotation activities. There were normally two rotations during this session. Coloured stars designated the groups.

T: Red stars today, you get to do a special pop-up card about Jack and the Beanstalk, and I'll leave the book up here so that you can have a look if you want to. On the front cover I'd like you to write the title of the story, Jack and the Beanstalk, Mrs J did a beautiful job with that, didn't she? When you open it
up, you can have a go at drawing any part of the book in there. Mrs J drew the cow, she must have liked the part with the cow, and she had a go at writing a sentence. The cow was sold for magic beans.

So I'd like you to choose your favourite part of the story. You can put one of the characters on the pop-up bit, and then you can write me one sentence to go with the picture.

Okay, so there's two parts you need to remember for this activity, the first one, make a character, the second one, make a sentence. Can you say that with me? Make a character, make a sentence.

SS: Make a character, make a sentence.
T: Two things you need to remember... Pop your hands down and we'll have questions at the end.

Sarah gave clear and detailed instructions in small steps and checked children’s understanding before allowing them to begin. At this stage, she did not allow questions to break the flow of the instruction-giving phase and efficiently dealt with raised hands, indicating to children when questions would be answered.

These more effective teachers broke down new and complex tasks into parts and gave many opportunities to practise new skills and concepts. In Hannah’s class, for example, Sam and Brian were finding a phonological awareness task difficult, so she broke it down for them even further and provided an opportunity for guided practice to consolidate this concept.

T: You've got to watch my fingers, Sam. This one's really hard because it has more letters this time. It's got...
SS: Five!
T: Five. Do you think we can write a word that's got five letters?
SS: No! Yes!
T: Watch my fingers because here are my clues for you, Brian. Please don't do that. We've talked about that. Here we go. Are we ready? /qu//i//ck/.
SN: Quick.
SN: Quick.
SS: Quick.

The more effective teachers’ expertise was also clearly evident in the careful and thoughtful way that the content of the literacy lessons was structured and delivered. This was a clear point of delineation among the more effective and most of the effective teachers, and the less effective teachers. Although all teachers taught a specified literacy block of activities first thing in the morning when interviewed, the more effective teachers explained that this delineation of specific literacy time was an artifice. For their classes, literacy-related activities continued throughout the day and were embedded in all activities. Even in a maths or science lesson, it was common to see a child make a connection in their learning to a literacy concept, and the child’s excitement at making the connection was evident.

It was hard to find evidence of such excitement for learning in the less effective teachers’ classes. Their episodes were characterised either by passive compliance with the teacher’s directions or covert or overt misbehaviour by individuals or groups of children. Often instructions for tasks and activities in these classes were not given clearly. Either too many instructions were given at once, or the instructions were not
broken down into manageable steps. The sequence of activities did not flow logically
from one to another, and children’s lack of understanding of the purpose of a task led to
off-task behaviour. In addition the purpose of the task was not often explicitly linked to
previous learning or to subsequent activities. There was little time given to practising
new skills and concepts adequately, so that children who were having difficulty
floundered when required to apply the new task or concept to a subsequent activity.

Pace

According to the process-product literature, pace of teaching – and especially the
maintenance of strong forward momentum – has consistently been associated with high
student achievement (Brophy & Good, 1986, p. 360). This quality has frequently been
noted in the classroom management literature. Kounin (1977), for example, measured
‘movement management’ and distinguished between classes in terms of their
‘sMOOTHNESS,’ ‘jERKINESS,’ ‘drAGGINess,’ or whether they were ‘really moving’ (Kounin,
1977, p. 92).

Among the more effective teachers in this study, a common characteristic was the high
energy levels modelled by the teachers and generated among children. The more
effective teachers rarely stood still and were constantly encouraging, motivating,
correcting, directing, monitoring and inspiring their children to master another skill,
finish off something, or begin something new. In the following episode from Jane’s
class, the sense of strong forward momentum was evident in a brief exchange in the
middle of a word study activity. The word for the day was transport and children were
making up other words with these letters.

T: We're doing /p/ /o/ /t/. Who can find some more? We've already got someone
started. Lauren's found rat: /r/ /a/ /t/. How many more can you find? Go! Look
for some words from the rest.

SN: Not.

T: Write it down. /n/ /o/ /t/. And what did you find?

SN: /p/ /a/ /n/.

T: Write some more! Use your brains! Right! Good boy! Tommy, you've got rot
on there. Good boy. /r/ /o/ /t/. That's what happens when things go bad, isn't it?

SN: /s/ /a/ /t/.

T: /s/ /a/ /t/. Did you find sat? /s/ /a/ /t/. Go!

There was a sense of urgency in these classes – as if every minute were a precious
learning opportunity not to be wasted. The children, even those who had some difficulty
with aspects of the literacy lessons, responded positively to this pace and were just as
keen as others to show the teacher what they had accomplished in the time given to a
task. Jenny, for example, constantly urged her children to learn as much as they could.
During a handwriting lesson, she incorporated a vocabulary building activity. She
encouraged children to think of words starting with the /g/ sound at the same time as
they were working on their correct letter formation, holding the pen correctly and sitting
properly.

T: Harry, look at this one. This one's much better. Try one more, here. See if you
can make it just perfect. When you've finished I need some words that start
with a /g/ sound…Oh, just hold them there for a moment. Some people are still

SN: Simone and Harry have to go to see Mrs K.

T: It doesn't matter. Who's that?

S: Mrs K. And Harry have to go to Mrs K.

T: Harry, you go to Mrs K…Wonderful work. Put your pencils down and your
eyes this way. I need someone to give me a word that begins with my /g/ sound. Robby?

SN: Game.
T: Game. How am I going to write game, Robby?

Similarly, in the context of a guided practice session during a spelling and vocabulary activity, Hannah squeezed out every last learning opportunity. Children made rapid responses to questions, they responded enthusiastically to the activity, and the teacher kept urging them to contribute. At times she would nominate a child to respond, ensuring that all children remained attentive just in case they were called upon to answer.

T: Okay, before we go. What letter did I have to change, please...Sandy. What letter did I have to change?

SN: The W.
T: The W. What did I have to change it to? Sally-Ann?
SN: T.
SN: H.
T: Not H. I think you've just guessed, because sometimes you do that. What letter was it? Ah, Jack.
SN: N.
SN: N.
SN: /n/.
T: Did you all have that?
SN: /n/.
SS: Yes.
T: Don't! Stop! One last thing. Now we had wet, net. What do those words - two words do? Natalie?
SN: Rhyme.
T: They rhyme. Do you think you could write a word that rhymes with net and wet?

Typically, children in the more effective teachers’ classes worked to a stricter time frame than children in less effective teachers’ classes. If children finished earlier than expected or required more time to complete an activity the teacher would stop the class briefly and explain the time change. In contrast, the less effective teachers’ lessons sometimes seemed tedious. In news telling, for example, long blocks of time were given to individual children to the exclusion of others. Teachers less often noticed when children’s attention dropped off, especially if children were quietly inattentive. Sometimes, the less effective teachers took children too quickly through new material and appeared unaware that they were not keeping pace with their instruction. Some mat sessions continued for more than an hour and left children fidgeting, unable to sit still or concentrate. On these occasions, it seemed that the teacher was intent on covering the material she had prepared no matter how the children responded. During deskwork or individual activities, there was no sense of urgency to complete set work and children were easily distracted by others’ off-task behaviour.

Transition

The teaching practice called transition concerns both the amount of time spent on movement from one activity to the next and the productive use of this time. In the process-product literature, strong positive correlations have been observed between low levels of time spent on transitions and higher levels of child achievement (Brophy & Good, 1986, p. 341). Skilled managers, it is argued, typically ‘marked the onset of
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transitions clearly, orchestrated transitions actively, and minimized the loss of momentum during these changes in activities’ (Doyle, 1986, p. 416).

In this study, all teachers were scored as managing transitions effectively in at least one of their videotaped teaching episodes. The more effective and effective teachers, however, used more complex transition strategies than did the less effective teachers. Generally, the less effective teachers completed an activity, managed the movement to the next activity with the whole class and then commenced it.

In the more effective and some of the effective teachers’ classrooms, transitions appeared seamless. At the simplest classroom management level, routines were so clearly understood by the children that the teacher’s movement to indicate a change of activity was sometimes almost imperceptible. For example, in Jane’s class, without any explicit instruction from the teacher, at the start of the day the children filed into the classroom, picked up their individual blackboards, sat on the floor, and began to copy the word of the day. In making transitions appear seamless, these teachers had prepared cross-over tasks and staggered the movement from one activity to the next so that individual needs could be met. For example, children completed an activity and knew that they had to proceed to the next sequenced activity. They knew what the activity was and where they had to go, so there was some ownership for children in regulating the use of their time, albeit under the teacher’s omniscient eye.

For the most part the more effective and effective teachers used specific cues or even props to signal the end of activities or to make the transition between parts of an activity. Hannah used a tambourine and several teachers used clapping, isometrics or variations on these strategies, which meant that the teacher’s voice was never raised to gain the children’s attention and minimal time was spent in gaining or regaining order. The language of the more effective teachers was peppered with a sense of urgency indicated by short, sharp directions and constant encouragement for children to ‘hurry up’, ‘quickly’, ‘come along’, or words to that effect.

T: Will, I’d love to see your eyes. Those girls up the back, why are you sitting there? Well then what are you going to do? Hurry up and move forward please. Georgia and Steve could you push the boxes against the wall, please? Hands up in the air, hands on your heads, hands on your shoulders. Hands up in the air, hands on your heads, hands on your shoulders. Could those people at the back move forward please? Hurry up, Skye.

Now, in the Sad Little Monster and the Jelly Bean Queen I actually saw some sentences that were questions. Remember we’ve been talking about questions because that starts with /qu/.

In Sarah’s class, transitions within activities were even more overtly orchestrated as she donned angel wings to indicate that she was not to be interrupted while she worked exclusively with a small group. The rest of the class knew that this meant they were expected to work independently until the wings were removed.

T: Yes, you're allowed to have a look at the book to have a look at the characters. Alright, have a look up there and see what you're working on today. I'm going to put my wings on; you know what that means. Away we go.

Sarah also made time considerations explicit to the children. In this example, after explaining what was required, she simply counted to five whilst at the same time dealing with other children’s enquiries and maintaining the momentum of the transition.
Chapter 8: Orchestration

T: OK, I'm going to count to five, and I will see you standing behind your tables. One. Two. Three…

SN: We don't have a table.

T: Where were you working?

S: I think [inaudible].


SN: I'll just put this in the bin.

T: Quickly then.

SN: Mrs C? Um, can I just finish off?

T: It doesn't matter if you're not finished it. Alright, I'm just about to tell you what we're going to do.

SN: Can I just write it?

T: You've already written it. I saw it. OK, eyes this way and listening. Put your hand up if you haven't had a turn at sharing this week. Put your hand up high. Alright, we're going to have Carol, Will, Jack, Shaun. Have you two had a go this week?

SN: Yes.

T: Have you had a go Karen?

SN: Yep.

T: Yes Karen, so just four for today. Alright, sharers can you bring your work to the floor please? Grab your hats, and we'll see how quickly we can get here.

Sue also made the use of time a conscious consideration for children and this gave them ownership of the way that they managed time as well. She made explicit the time that children had and what was expected of them in this time. She constantly reminded them to be conscious of using valuable time productively.

T: So you've got one minute to do two jobs - tell your news and say how you're going to write it. Alright. Sometimes they're different. Sometimes they're the same. Right. So I'm going to time you. One minute and then we'll stop and we will have a swap over so that both get a turn but I want the inside person to share first. Off you go.

The language of the more effective teachers when giving instructions was characterised by its explicitness and detail. This minimised confusion for children and assisted in providing strong forward momentum during transitions. Hannah’s instructions at the start of an integrated language and science lesson demonstrated this use of explicit language well. She also checked back to ensure that the children had understood the instructions.

T: What do you mean? That they're the same word? Yes, that's right, they are. Let me see. Who's ready to go and do their fantastic piece of writing about what they learnt today? Let me see. We're going to use a lead pencil for this so if there isn't a lead pencil on your table could you get one out of the tin. There's something I haven't reminded you to do. What do I need to remind you to do, David?

SS: Put your name on.

T: Now, this spot here. I don't want you to touch that spot until I've seen your piece of writing. OK? So, David. Do we draw in that spot? No... If you're waiting for me to see your piece of writing and you have finished everything, then you may come and read out of the quiet reading boxes. OK, let's see who's ready to go. No, you're sitting back at your own seats now.
Another point of distinction between the more effective and the less effective teachers was in the way they used small group rotations in their assigned literacy time. The more effective teachers managed complex rotations of several groups several times for up to an hour whereas the less effective teachers typically only rotated children once or twice. Where children were only rotated once or twice, the teacher typically divided them into ability groups and they worked on the one activity for over 20 minutes, regardless of the individual progress of each child. For more effective teachers, the children were rotated through three or four group activities so that all attempted all activities. The movement of the child in these transitions was well executed, very quick, precise and efficient.

**Flexibility**

Flexibility, the last of the teaching practices grouped under orchestration concerns the teachers’ capacity to respond to the improvisational character of classroom lessons (Erickson, cited in Doyle, 1986, p. 361), and deviate quickly and appropriately from their written plans in response to a child’s needs and interests.

In Sarah’s class an opportunity arose when she was reviewing children’s achievements in the day’s literacy session. Jack explained his progress with the writing activity he was completing. The teacher used this child’s summary to do a quick class review ‘in the moment’ on strategies for working out the spelling of unknown words, as well as reviewing the past tense of the verb ‘to sell’ for the whole class.

T: What did you do today?
SN: Today I did a story and I did some colouring in.
T: Good, is that the title page that you've got there? Let's have a look at the title that you wrote. *Jack and the Beanstalk* here.
S: *Jack and the Beanstalk*.
T: *Beanstalk*. And fancy that your name's Jack too, isn't it? And then who did you draw on the inside of the card today? Let’s stand around here so we can see.
S: Cow.
T: The cow. And what did you try and write up here about the cow?
S: Um, selled the cow.
T: *Sold* the cow.
S: *Sold* the…
T: Jack was trying really, really hard today to sound out that word *sold*. He said to me, Mrs F? He said, “Is that word *sold* on one of our cards?” And I said, “No, not on one of our cards. How can we try and make a word if it's not on one of our cards?”
S: Sound it out.
T: Sound it out. And that's exactly what he did, so let's give Jack a big clap for sounding out. That was well done!

[H20O15_1:00:17]

One of Hannah’s episodes provided a good example of flexibility where the teacher’s judgment was demonstrated in knowing when to let a class discussion take a tangent and when to keep it flowing along the planned line. The class was discussing their first impressions of a new text *The Sad Little Monster and the Jellybean Queen* (Lardner, 1996). She took on a child’s comment, but before following his discussion point, she took a moment to use Lourie’s incident of calling out his answer to reinforce classroom behaviour. Having made this point ‘in the moment’, she didn’t dwell on it to the extent of interrupting the lesson flow, and she carried on to explore the child’s idea with the class.

T: It is very sad. Far away, there lived a fair princess with golden hair. She ate jellybeans for breakfast, lunch and tea. On her island, the sky was always
bright and the wind was always warm.

SN: That looks like a…
T: Lourie, what's our rule?
S: Should always put your hand up.
T: Always put your hand up. So what are you going to do?
S: Put my hand up.
T: Well put your hand up. Are you going to put your hand up? Yes, Lourie?
S: It's a happy island there.
T: It's a happy island there. Have a look at the difference. What do you notice about the colours? Have a look at that island ... Have a look at that island. Ellen?
SN: Umm, the other picture's darker; the other picture's light.
T: Yes. That's right it's very dark, very sad colours. These are light and bright colours, aren't they?

Hannah provided another example of flexibility in her integrated literacy/science lesson on worms. In the following episode, she recalled a fact previously contributed by a child and then skillfully integrated it into the lesson. This not only valued children’s individual contributions to the learning process at the appropriate time, but also modelled and reinforced concepts learned previously by making the link from past learning to the current lesson. The children had been sounding out the word, cold.

T: D. Fantastic! Well done, cold. So we've got, “worms feel ticklish, worms feel squishy, worms feel soft, and worms feel cold”. And I know that, Tamsyn - where are you? You had another word that went with cold.

SN: Cold-blooded.
T: Cold-blooded. Remember when we saw the snakes the other day? What were they?
SS: Cold-blooded.
T: They were cold-blooded as well. So will we add that in?
SS: Yes.
T: Cold-blooded. That's very good.

In the course of one of Jenny’s shared book lessons, a child suggested the word humungous to describe a giant. Jenny picked up the child’s suggestion and turned the discussion into an opportunity to model spelling strategies for unfamiliar words.

T: Giant. Who could give me some words? What popped into your mind immediately that I said that word giant? What popped into your mind? Shane?
SN: Humongous.
T: Uh oh. Pardon?
S: Humongous...[10].
SN: She can't spell it.
T: It's one of those words that's sort of, just sort of grown up, and I need some help. Humongous.
SN: /hu/
T: Who can help me out? Humongous. What sounds can we hear?
T: Come on Shane. You said it. Help me out.
SN: [inaudible]
T: Oh thank you. Here's a boy who's helping. He's learning. Brilliant! Carl, have a go.
SN: H U M
T: H U M
What was important to Jenny at this moment was that the children learned some strategies for exploring new words. She suggested that they use a ‘sounding out’ strategy. When this strategy became problematic she suggested the strategy of consulting a dictionary to see if the children could find the word *humungous* which, as she pointed out is ‘one of those words that sort of, just sort of, grown up’. She also suggested the possibility that the word *humungous*, which is current in colloquial speech, might not be found in the dictionary. They also learned some strategies for exploring new words. Interestingly, effective demonstration of flexibility was also characterised in some instances by its absence. It was sometimes not appropriate to spontaneously follow a potential diversion to a lesson plan no matter how tempting. The skill of effective and more effective teachers was demonstrated in making that judgement and not allowing a lesson to be sidetracked.

### Summary

For the most part the more effective and effective teachers in this study, whilst they showed differences in teaching style, had in common highly developed capacities to manage the uncertain social environment of early years literacy classrooms. They were characterised by high levels of awareness, being able to manage interruptions and lapses of child attention without losing focus on their moment-by-moment instructional goals and being able to structure children’s movement around the classroom, learning tasks and activities in predictable and orderly ways. These teachers had the ability to maximise learning opportunities with a sense of urgency as if every minute were a precious learning opportunity not to be missed. Whilst their literacy sessions proceeded at a brisk pace, they managed to retain the attention of all children.

The more effective and effective teachers ensured that transitions between and within activities were seamless, as they established specific routines within their classrooms and made expectations explicit. Despite the establishment of routines these teachers were able to judge when to respond spontaneously to the ‘teachable moment’ and when to resist unnecessary diversions. These teachers were consistently able to manage and adjust complex movements within and around activities and groups of children, to ensure that maximum time was spent engaged in significant learning opportunities.

The classrooms of the less effective teachers, whilst they contained some of the features of the more effective and effective teachers’ classrooms, were characterised by far fewer demonstrations of these features than were evident in the more effective teachers’

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17 *Humungous* did not appear in any printed dictionary consulted, but does appear in the MS Word dictionary, spelled *humongous* or *humungous* and defined as an ‘informal’ adjective meaning ‘extremely large in size or amount’.
classrooms and most of the effective teachers’ classrooms. Instances of the practices of pace, awareness and flexibility were observed in only one of the four less effective teachers’ classrooms, structure was observed to varying degrees in three of them and transition in all four, again to varying degrees. These classrooms were characterised by various instances of off-task behaviour, an absence of the fast-paced sense of urgency found in the classrooms of the more effective and effective teachers and a corresponding climate of tedium. The transitions between and within activities generally took longer in these classrooms, with less detailed and precise teacher instruction as to what routine was to be followed, and the teachers did not take advantage of learning opportunities that arose in the course of a lesson, such as building on children’s contributions to discussion.
Chapter 9: Support

The dimension that we have called ‘support’ refers to the ways in which effective teachers structure children’s literacy learning so that they are expertly assisted in their acquisition of appropriate knowledge and skills. This dimension is therefore closely related to the ‘knowledge’ dimension as the effectiveness of support depends in a large part upon teachers’ knowledge of literacy and literacy learning. The seven specific teaching practices of the support dimension: ‘assessment’, ‘scaffolding’, ‘feedback’, ‘responsiveness’, ‘explicitness word’, ‘explicitness text’, and ‘persistence’ are described in Table 9.1.

Table 9.1 CLOS Teaching Practices: Support

<table>
<thead>
<tr>
<th>Assessment</th>
<th>The teacher uses fine-grained knowledge of children’s literacy performance in planning and teaching</th>
</tr>
</thead>
<tbody>
<tr>
<td>Scaffolding</td>
<td>The teacher extends children’s literacy learning through modelling, modifying, correcting</td>
</tr>
<tr>
<td>Feedback</td>
<td>The teacher gives timely, focused and explicit literacy feedback to children</td>
</tr>
<tr>
<td>Responsiveness</td>
<td>The teacher shares and builds on children’s literacy contributions</td>
</tr>
<tr>
<td>Explicitness</td>
<td>Word level – The teacher directs children’s attention to explicit word and sound strategies</td>
</tr>
<tr>
<td>Explicitness</td>
<td>Text level - The teacher makes explicit specific attributes of a text</td>
</tr>
<tr>
<td>Persistence</td>
<td>The teacher provides many opportunities to practise and master new literacy learning</td>
</tr>
</tbody>
</table>

In the research literature much attention has been paid to support for learning in terms of these identified teaching practices. What effective teachers do in terms of support for literacy is to use detailed knowledge of the children’s learning, gained from formal and informal assessment and monitoring, in order to tailor planning and teaching to class and individual levels (Hill & Crevola, 1999; Wray et al., 2000). Since effective literacy teachers use detailed assessment information in planning and teaching they are able to expertly scaffold and extend children’s literacy learning as they model, modify and correct responses (Bloom, 1982; Brophy & Good, 1986; Strickland, 2002). Intimately related to scaffolding is the timely, focused and explicit feedback provided by effective teachers, that indicates to children exactly where their learning is appropriate and where they need to re-think specific concepts and skills. Feedback has been included in this dimension as a practice in its own right as it has been identified in many studies as a most important teaching practice (Bloom, 1982; Hattie, 2003; Strickland, 2002).

In addition to the explicitness of feedback, effective early years literacy teachers provide highly explicit instruction in word and text level strategies and knowledge (Mazzoli & Gambrell, 2003; National Reading Panel, 2000; Snow, Burns & Griffin, 1998; Taylor et al., 1999). Their instruction takes into account children’s contributions as they share and build on these (Brophy & Good, 1986; Hattie, 2003) and they are persistent in their provision of many opportunities to practise and master new literacy learning (Brophy & Good, 1986; Snow et al., 1998).
Figure 9.1 Proportion of teaching practices present in episodes, by teacher, for the support dimension of CLOS18

Quantitative analyses undertaken on the CLOS data provided further strategies for understanding the support dimension in these classrooms. A simple descriptive analysis, by frequency, of each of the support dimension teaching practices in the classrooms videotaped provides a summary of the proportion of episodes that the researchers coded for assessment, scaffolding, feedback, responsiveness, explicitness word, explicitness text and persistence and shows the variation across the classrooms (see Figure 9.1). The less effective teachers were amongst those with the lowest number of episodes characterised by support. For example, in two of the less effective teachers’ classrooms none of the episodes were characterised by persistence or assessment and in another less effective teacher’s classroom, there were no observations of scaffolding or responsiveness. In contrast to this, in the classrooms of the more effective teachers all episodes were characterised by scaffolding, feedback, responsiveness, explicitness word, explicitness text and persistence.

In broad terms support was more in evidence in the classrooms of the effective and more effective teachers. On the whole the effective and more effective teachers appeared to incorporate all aspects of differentiation into their teaching repertoire, with successful outcomes. It is noted, however, that one less effective teacher demonstrated all the practices contained in the support dimension and one of the more effective teachers did not demonstrate assessment, nor did she demonstrate high levels of explicitness text. Nevertheless, overall the less effective teachers demonstrated fewer support teaching

18Figures in parentheses indicate the children’s learning gain adjusted residual in standard deviation units for each teacher’s classroom.
practices, and were associated with children whose growth in the LLANS literacy test scores was less than expected. The teachers’ use of support for literacy is discussed below and illustrated with selections from transcripts of the video cases.

Assessment
Diagnostic teaching, or teaching based on detailed analysis of what the student knows and needs to learn, is the basis of many intervention and remedial programs (Clay, 1985; Kibby, 1995; Lipson & Wixson 1997). However, teaching based on focused observations of children and systematic record keeping has also been shown to characterise effective classroom literacy teachers and this has been shown to contribute ‘markedly to their abilities to select appropriate literacy content for their children’s needs’ (Wray et al., 2000, p. 62). In explaining the purpose of early literacy assessment Johnston and Rogers (2001) examined the professional standards of various bodies, including the National Association for the Education of Young Children and the International Reading Association, and found that ‘each professional group that offers standards on assessment argues that the primary purpose of early literacy assessment is to optimise student learning’ (p. 381). Assessment for their purposes was used ‘to refer to the broad repertoire of behaviours involved in noticing, documenting, recording, and interpreting children’s behaviours and performances’ (pp. 377-378).

The importance of teaching based on detailed knowledge of children’s literacy needs, that is, practice based on informed decision making, has been seen as a principle of ‘best practice’ for literacy teaching (Mazzoli & Gambrell, 2003). In order for teachers to address effectively the diverse range of literacy needs within a classroom it is most important that they find out what children know and what they need to learn so that instruction can be targeted at individual points of need. In other words, fine-grained knowledge of children’s performance used by the teacher in planning and teaching has the potential to produce effective outcomes for children.

It was not easy to observe all instances when the teachers in our study were in fact using assessment as the basis for their teaching. Since we focused on observed teacher behaviour rather than on what the teachers said they did, it was at times not possible to ascertain if a teacher’s practice was related to her assessment and monitoring of the children in her class. Nevertheless, Hannah, who was identified as the most effective teacher in the study, was observed to use assessment in all episodes and Jane and Sarah, who were identified as effective teachers, were observed to use teaching based on assessment in 83% of episodes. In an apparent anomaly, Jenny a more effective teacher, was not observed to use teaching based on assessment of needs. A likely explanation for this is that, as Jenny had been promoted to the position of Deputy Principal of her school during the course of the study, her teaching was not concentrated within one class, which meant that she taught another teacher’s class for the observational phase of the study. As such she did not have access to the fine-grained knowledge of each child’s needs that is gained through day-to-day interaction with one class.

As expected, none of the less effective teachers appeared to display a high level of teaching based on assessment. It should be noted that most of the teachers we observed were working in States in which there was regular mandated standardised testing of literacy in the early years of school so that they could have, if they wished, based their teaching on analyses of all children’s performance on these tests.

Much of the assessment based teaching observed in the effective and more effective teachers’ classrooms involved teacher observation of groups and individuals and identification of points of error that led to the re-teaching of a concept or skill. In the following transcript Hannah had identified an error in some children’s writing of the letter Z at the end of the word quiz. She wrote the letter backwards on the board and
called on the individual children who had made this error to identify what was wrong. Once Sam had identified that the letter was ‘the wrong way’ she demonstrated the correct way to write the letter Z, verbalising the correct starting point ‘over on the left’ and the correct orientation, ‘we go across to the right, down the hill and back across’.

T: But three sounds, /qu/ /i/ /z/. Now I notice some people, when I was doing, marking your sound assessment, some people are doing this. What's wrong with that? Tamsyn?
SN: Um, it's, it's wrong.
T: Why's it wrong?
S: Um...
T: Who can tell me what's wrong with that /z/? What's wrong with that /z/? David, what's wrong with that /z/?
SN: Because it's too long.
T: No, that's not it.
SN: I know!/Natalie. I haven't written it very well.
S?: [inaudible]
T: Yes. Some people have done this... I'll write a better /z/. What have they done? Steve?
SN: [inaudible] that way.
T: Yes. You're getting there.
SN: It's going [inaudible]
T: Hmmm. //Sam?
SN: //It's, it's the wrong way!
T: It's the wrong way.
Ss: [laughter]
T: So just watch I know most people have done a /z/ but watch. Remember we start over on the left we go across to the right, down the hill and back across. So if your Z isn't correct could you fix it up?

Teaching based on assessment was not confined to correction of errors, although this was where it was more readily identified. Jane used the word transport as the basis of a word study and writing lesson. The task for the children was to write as many smaller words as they could find within the word transport. Jane modelled the writing of the word pot for a small number of children whom she had noticed were experiencing some difficulty with the task. She also challenged Sinead and Tyler to write the more complex words they had suggested earlier in the lesson.

T: We have an N. Down here. Okay. Watch. Watch this. Look /p/ /o/ /t/.
E: /p/ /o/ /t/.
T: Do it again /p/.
E: /p/ /o/ /t/, pot.
T: Write them down and make me a new word. Sinead, you can write that one you found. Tyler, /p/.

In this way Jane was using her on-the-run assessments of children’s performance on a group task to target her teaching to individual children who were in need of some corrective teaching and, at the same time, was able to use her assessment information to build on the contributions of two of the more able children in order to extend their learning.
On the few occasions where the less effective teachers were observed to use assessment in their teaching, it was usually directed more at a group or whole class level than at fine-grained knowledge of individual needs. An exception was the following excerpt from the classroom of one of the less effective teachers. She had noticed over-use of the word *and* in children’s writing and explained that they should limit the use of *and* to once in a sentence and use fullstops instead of a string of *ands*.

T: “On the weekend, I went camping *and* I went swimming *and* I went hiking *and* I had fun”. So, "On the weekend I went camping *and* I went swimming *and* I went hiking *and* I had fun."

E: [children talk in pairs/groups] [20].

T: Can you tell me why there are too many *ands*? Otherwise you can't take a breath, and it just runs all together [5]. One *and* per sentence, "*and* we went swimming", fullstop. That's one... because we've got this fullstop we can cross out the next *and*. "I went hiking and I had fun". [inaudible] One *and* per sentence.

Ss: Yes.

E: [inaudible] [5]

T: After the fullstop we have a look at the first letter of the first word. It always has to be a capital.

SN: [inaudible]

T: OK, that's what I wanted to tell you because when I was looking through your writing you both used lots of *ands* and you need to learn how to use fullstops.

This instance of fairly clear instruction based on clearly identified individual needs was not a common occurrence in the classrooms of the less effective teachers. The only other observation of assessment-based teaching for this teacher involved her giving instructions to children, grouped according to her judgment of their ability, on how to play a word game.

Scaffolding

The practice of scaffolding, in which the teacher extends children’s learning through modelling, modifying and correcting, has received a great deal of prominence in theories of teaching and learning and is usually related to the work of Vygotsky (1978) and Bruner (1996). Vygotsky distinguished between two levels of a child’s development: the ‘actual’ level of development, which is the upper level of unaided performance and the ‘potential’ level of development, which is the upper level of performance with the assistance of a more competent other. The skill of the teacher, as the more competent other, is to present the skills and knowledge to be learnt between the actual and potential levels of development, or to use Vygotsky’s term, ‘within the zone of proximal development’.

In terms of literacy teaching, ‘scaffolding’, the term introduced by Bruner (1996), includes the ways in which teachers assist their students to reach their potential level of development. It involves demonstrations and modelling, such as when teachers say aloud what they are thinking while they are reading and writing in order to make clear the ‘cognitive processes used by skilled readers and writers’ (Strickland, 2002, p. 80). Scaffolding also involves the gradual removal of support as children master the skill or concept being taught (Alverman & Phelps, 2001). As Duffy (2003) has put it:

The purpose of scaffolding is to move from teacher ownership to student ownership. At first students are dependent upon our assistance. As we gradually reduce the amount of assistance, students gain experience in responding and build their own understandings. That is, they personalize the task and make it their own (p. 11).
In Teachers’ Hands

For the scaffolding teaching practice there was a clear division between the more effective and effective teachers and the less effective teachers. All but one of the effective and more effective teachers displayed scaffolding in all episodes. On the other hand, no less effective teacher demonstrated scaffolding in more than 50% of episodes.

The effective and more effective teachers used scaffolding extensively to extend children’s literacy learning in ways that increased their confidence and led to successful experiences with print. It was a regular routine in these classrooms to prepare the children for successful reading of a shared book by predicting or pointing out features such as the storyline, pictures, vocabulary and word decoding strategies to be used. In the following episode Sarah, an effective teacher, prepared her guided reading group of first year children for reading the book *Pass the Pasta, Please* (Avery, 2001).

T: How about that? Can you find the title page for me, please? The title page. We need to turn the front cover Brian. There we go. Let's read the title page //Pass the Pasta, Please.

Ss: //Pass the Pasta, Please.

SN: No, but it says pasta pasta and please.

T: Nina, if you have a look at pass and pasta, they are very similar. They both have a /p/ sound at the start; this one here says pass, and this one here says pasta. Have a look /pa/ /sta/. This one's got a /t/ sound in it, /pa/ /sta/. Okay? Pass the Pasta, Please.

So they're a little bit different.

SN: //Hey, that's the same sort we had!

T: [points to picture of pasta in the book] That's like the bits we had isn't it? The thin spaghetti.

S: //Yeah.

T: Now, let's have a look. Does this look a little bit like the pasta that we saw before?

Ss: Yeah.

T: Does a little bit, doesn't it? Jack, quickly please.

SN: But it's longer.

T: It is longer. Can you have a look? What was...? We saw some of this. This was the coloured pasta, wasn't it? What colours did we see in our pasta?

In this episode Sarah had oriented the class to the front cover and then directed their attention to the title page as she engaged them in reading the title *Pass the Pasta, Please*. When Nina indicated that she was reading the word *pass* as *pasta*, Sarah individualised instruction for her by modelling an exaggerated correct pronunciation of the two words and then, whilst she pointed to the words, broke them into sound segments (/p/ /ass/) and (/pa/ /sta/). Having modified and corrected Nina’s misunderstanding she continued the book orientation for the whole class as she invited discussion of the types of pasta shown in the illustrations, to help both comprehension and decoding of the text to be read.

The next transcript is taken from the final part of the book reading episode when the children were reaching the last page. Sarah had set them up for success by pointing out, before they were asked to read the words on the page, that they were the same as those in the title. She also made sure that Nina had retained her learning of the difference between *pass* and *pasta* by asking her to point to the correct words and to explain in what way the two words differed, thus setting her up for success in further activities based on the book.

T: They put cheese on it ready to eat. Yes now have a look. What she's doing, Brian?

SN: She's eating it?

T: She's eating it. Yes, pasta is fun to eat isn't it? Beautiful!
Chapter 9: Support

SN: Yummy!
T: Yum, yum! Now, if you have a look at the last page, this is the same as the title. We'll read it all together. Let's touch the words as we go. //Pass the Pasta, Please.
Ss: //Pass the Pasta, Please.
T: Nina, find the word that says pasta, pasta. Good girl! How did you know that was pasta and not pass?
SN: Um, because it has an A at the end?
T: Yes, it's got an A at the end, and pass has a /s/ sound at the end.

This ability to scaffold both the whole class and individual learning in order to allow for successful literacy experiences was also shown in the following episode from the second year classroom of Sue, an effective teacher. Sue was preparing the children for a successful writing activity as they orally rehearsed with a partner what they were going to write. They were asked to concentrate on both the content of their news and on the sentence structures they would use in their writing.

T: Now I'm going to give you one minute for the inside person to share with the outside person two things: one their news; and two, what they're going to write. Because it's all very well to tell your news, but how are you going to put it on paper? So you need to not only tell your news, you need to tell the sentence that you're going to write, or the sentences that you're going to write. So you've got one minute to do two jobs - tell your news and say how you're going to write it.

As the children were rehearsing their news Sue circulated around the class, asking individual children about the content and structure of the news and modelling sentences and phrases. She paid particular attention to those children who could have experienced difficulty, indicating that she would help them where necessary. Once the children had begun to write Sue encouraged them all, and as she moved around the class, carefully scaffolded the writing of particular words for individual children.

T: It's nice to see you getting your thoughts on paper because they are your own thoughts. No-one else would have the same news as you... There's went... Yes. On Sunday Where are we going from here? On Sunday?
SN: I...
T: Good boy. Yes. I went.
S: To?
T: To? You know about to... Yes. Where?
S: [inaudible].
T: To where, darling?
S: Disneyland.
T: Disneyland? What does Disneyland start with?
S: /d/.
T: Is it a special place? Do you think it deserves a capital letter to start with?
S: Mm.
T: You do? Okay then. You start with a capital then. On Sunday I went to Disneyland.

Look at me /dis/ /dis/...
Like Sarah, Sue demonstrated her ability to scaffold the learning of both the whole class and individuals throughout an extended lesson by extending, reinforcing and modifying their literacy learning. This was possible only through detailed observation of individual behaviours, the ability to recall these observations, make use of them as the lesson progressed and to provide cues that were distinctly and succinctly targeted at what the children needed to learn. This complex and sustained attention of the teacher and ability to provide appropriate cues as she supported the children was not shown in the classrooms of the less effective teachers. Where instances of scaffolding were observed they were usually isolated incidents directed at the whole group and involving cues that did not always set the children up for success. In the following example towards the end of a long small group word study lesson a less effective teacher tried to correct a child’s misconception of *sun* as a word that’s got a /p/ sound.

```plaintext
T: Um, can you find me another word that's got a /p/ sound? ...[5]
SN: Sun.
T: *Sun*. Does *sun*, does *sun* have /p/ sound? /s/, what letter makes /s/?
SN: [inaudible]
T: Okay. Maybe I can give you, yes, I can give you a clue. The animals that you have at home, the animals that you have at home, what do you call the animal at home? If you have a rabbit or a dog or a cat, what do you call them?
SN: [inaudible]
T: No.
SN: Pet.
T: *Pet*. That's right. Does it start with, does it start with /p/? That's right.
SN: [inaudible]
T: Okay.
[A13S27_1:17:18]
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The cues that the teacher used in her attempt to help scaffold the child’s learning complicated what was already a difficult task for the child, identifying the sound /p/ at the beginning of a word. The task was initially made more difficult by the non-specific instruction to find ‘a word that’s got a /p/ sound’, without prompting that the child should focus on the first sound. The teacher took attention away from the original task and focused on word meaning in terms of an unsuccessful convoluted guessing exercise and then refocused attention on the beginning sound /p/. Whilst the child’s inaudible response to the guessing game could well have been correct in terms of meaning, it was seen by the teacher as incorrect as she negated the response. Thus the child appeared to be confused and had not achieved success even by the end of the lesson.

**Feedback**

Hattie (2003) has found feedback to children that is timely, focused and explicit to be ‘the most powerful single moderator that enhances achievement’. He explains:

> [Expert teachers] are better able to filter relevant from irrelevant information, and are able to monitor, understand, and interpret events in more detail and with more insight than experienced teachers. As a consequence they seek and provide more and better feedback in light of this monitoring (p. 7).

Feedback has a substantial history in the research literature on effective teaching. Bloom (1976) saw feedback (along with correctives) as being an integral element of quality of instruction, in which ‘the tutor quickly adapts the cues, amount of participation or practice and the use of reinforcement to the learner’s characteristics and needs’ (p. 124). He claimed that to be effective in whole class situations feedback needs to be individually adjusted for each child. Similarly Brophy and Good (1986) saw teacher feedback as effective reactions to student responses that included acceptance of correct
responses, follow-up of partially correct responses and use of student responses in making teaching points.

A feature of the early years classrooms in this study was the use of feedback. It was present in all teachers’ classrooms but varied in frequency, type and quality. The classrooms of the more effective and effective teachers were characterised by a positive happy climate in which there was much use of positive reinforcement throughout the literacy sessions. The children in the classroom of Hannah, a more effective teacher, joined in with her catch cry of ‘fantastic’ and those in Sarah’s class joined in with ‘sensational’ as their accomplishments were celebrated. However, Hannah, Sarah and the other more effective and effective teachers also provided children with feedback that explicitly indicated exactly what was being celebrated, modified or corrected. In a discussion of their worm project Hannah made clear to Jack and Lourie that she appreciated their observations and use of vocabulary.

SN: Worms are made of little...
T: You told me...
S: Segments.
T: Segments. Worms are made of little segments! I think Jack and Lourie saw that. They saw little parts that made up the whole worm. Fantastic! Next one.
S: They are tiny and they feel funny.
T: Fantastic! Let's give Lourie a big clap. That was wonderful! I really like that information, “worms are made of little segments”. Jack and Lourie, so you two can stand up first, and go quietly out to morning tea.

Similarly Sarah gave positive feedback to Aidan who correctly identified beginning, middle and end as being three elements of a story. She did this first with her accepting comment of ‘good boy’ and then proceeded to repeat and expand on Aidan’s response in order to reinforce the concept of distinct parts of a story, a concept essential for the activity she was about to present.

T: Who can remember what the parts of the story are? What are the three parts we need to remember? Aidan?
SN: Middle, ah, beginning, middle and end.
T: Yes, good boy. We have to have a beginning, where they tell us who the characters are, and maybe where the story's going to take place. Then we have a middle, and we find out what happens in the story, and then we have and ending to find out how it's going to finish. Miss W might help me to hold this one out. I've already made our big chart for us, and I've divided it into the three parts that we will need to be looking at today. We've got the beginning, the middle and the end.
Ss: Beginning, middle and the end.

Because they had created a positive classroom climate and gained the respect of their class, the effective and more effective teachers were able to provide not only affirming, but also in a positive manner, modifying and corrective feedback that challenged the children to achieve at higher levels. Jane, an effective teacher, gave highly explicit recognition for achievements. In the following episode she firstly praised Tom (who was experiencing some problems in fine motor co-ordination) for his neat writing, she referred to some difficulties he had experienced the previous day and how he had overcome them, and she concluded with reaffirmation of his attention to the task and his excellent letter formation.
Good boy! Beautiful writing! Look at this one! Have a look at how neatly Tom has written his word today. Yesterday Tom decided he wasn't writing words and then when it came time to remember it he did a great job. So today he's written it very quickly. Good boy! Excellent formation of all your letters!

Jane used feedback constantly to challenge the children to achieve. As she moved around the classroom checking on all children’s writing as they made smaller words from the word transport, she affirmed, but also modified and corrected where she saw the need and moved on to give feedback to the next child.

T: Another T? OK, Charlotte?
SN: A B.
T: Is there a B in transport?
S: No.
T: Don't want that one today.

Much of the feedback given by the less effective teachers was not so explicit. Children were praised for their efforts but the teachers did not frequently point out the specific attribute being praised. They used words and phrases such as ‘beautiful’, ‘good’, ‘excellent’, ‘good boy’, but did not specify to the same degree as the effective and more effective teachers exactly what was beautiful, good or excellent. This was in contrast to the explicitness of the feedback in the above episodes where Jane, for example, praised Tom’s writing in terms of neatness, speed and excellent formation of all letters.

Responsiveness

A particular form of feedback is responsiveness where the teacher shares and builds on children’s contributions, thus making the child’s contribution a teaching point (Brophy & Good, 1986). Hattie (2003) has pointed out that expert teachers are more adept than other teachers at anticipating and then improvising. In this study there was a clear difference between the effective and more effective teachers and the less effective teachers for the responsiveness teaching practice. All but one of the more effective and effective teachers demonstrated responsiveness in all episodes, whereas two of the less effective teachers did not demonstrate responsiveness at all, one demonstrated it in 25% of episodes and the other demonstrated it in 50% of episodes.

Many of the teaching points that the effective and more effective teachers made, based on children’s contributions, were highly explicit and concise, as if they did not wish to deviate too far from their planned lessons and teaching points. In the following episode Jane was discussing a book she had made to illustrate old forms of transport.

T: We have lots and lots of trucks coming past here. These boys are riding their bicycles to school and they’re not wearing helmets. Do you know why?
Ss: Why?
T: Why? Cos it was a long, long time ago.
SN: They didn’t have helmets.
T: That's right. And when your daddy was a little boy he didn’t have to wear a helmet. And when your mum was a little girl she didn't have to wear a helmet.

She related the children’s own experiences of trucks to those shown in the book and then pointed to a picture of some children without helmets who were riding old-style bicycles to school. When one child commented, ‘They didn’t have helmets’, Jane briefly related
the concept of time past to when the children’s parents were children, thus building up
their background knowledge of the topic.

Once this brief deviation initiated by the teacher was finished, the teacher refocused
attention on the next picture in the book. In a similar way Hannah set up a shared writing
session around the worms that had formed the basis for a language experience session.
Whilst at first glance it might look as if the children were directing the course of the
writing session, Hannah carefully elicited the adjectives little and long, which the
children had already used to describe the worms.

T: I noticed their size next. Someone had this word. I think it was, Tamsyn.
SN: Cold-blooded?
T: No, about their size and their shape.
S: Long.
T: What did you say about their size, Tamsyn?
SN: Little.
T: Little. So, Maggie, I'm going to write, Worms are little. I'm also going to talk about
their shape and Lourie gave a really good word for their shape. Down there, Lourie.
What's the word you said for their shape?
SN: Um… circle?
T: No, you told me another word, the word I want.
S: Long?
T: Long. So I'm going to write, The worms are little and long.

Having elicited the two words little and long that she wanted for the sentence to make
sense, Hannah then wrote the sentence to include these words, with the children helping
with spelling and Hannah pointing out various writing conventions. Once finished
Hannah asked the children to help her read back the sentence. As in Jane’s previous
episode the teacher elicited the responses that she wanted from the children and, having
accepted them, used them to make a teaching point, before continuing with the planned
lesson. This was the teaching format most common in examples of the responsiveness
practice.

Nevertheless, there were a few instances in which a child’s contribution was allowed to
dominate for at least a short time. In Sarah’s class a different child each day was chosen
to take home Baby Fatso, a soft toy that was the class mascot. Each morning the chosen
child was expected to discuss what Baby Fatso had done and to have completed some
writing about the experience. In this episode the child who had taken home the soft toy
the previous afternoon was explaining what she and Baby Fatso had done at home.

T: Would you like to tell us something else you did with Baby Fatso last night?
S: We went to bed and we...I felled out of bed.
T: You fell out? Did Baby Fatso fall out?
S: [nods]
T: Oh, goodness, let's have a look. Did you have to put a bandage on him?
S: No [laughs].
NS: Did you put a bandage on you?
T: There, does he look hurt?
NS: No
T: No, I think he's OK.
NS: Did you put a Bandaid on you?
T: Did you need a Bandaid?
NS: Nope.
In Teachers’ Hands

T: No. Can we have a look at the beautiful writing that you did, because that is fantastic! Yes, give her a clap, Will. Well done! Good girl!
E: [claps]
T: Did you get mummy to write down with you first, the sentence, or did you do that just straightaway into the book?
S: Straightaway.
T: Straightaway. Didn't even have a practice first so that was fantastic! Good girl!
T: Would you like to see who's going to take him home tonight?

Again the routine experience was carefully structured by the teacher, but this time the child’s responses were totally affirmed as there were no set answers in the teacher’s head. Nevertheless, imminent closure of the discussion session was indicated by the teacher’s instruction, ‘Yes, give her a clap’, and once the child’s writing had been reviewed by the teacher, her contribution was ended as another child was allocated the toy to take home.

A rare instance of the responsiveness practice that developed along a course not pre-planned by the teacher and did not end with closure, occurred in Jenny’s second year of school classroom as she was asking the children for words with which to describe a giant in a pre-reading activity for the book The Giant of Ginger Hill (Eggleton, 2000).

T: Giant. Who could give me some words? What popped into your mind immediately that I said that word giant? What popped into your mind? Shane?
S: Humungous.
SN: Uh-oh. Pardon?
T: Humungous...[10].
SN: She can't spell it.
T: It's one of those words that's sort of - just sort of grown up, and I need some help. Humungous.
T: /hu/
SN: Who can help me out? Humungous. What sounds can we hear?
T: Come on, Shane. You said it. Help me out.
SN: [inaudible]
T: Oh thank you. Here's a boy who's helping. He's learning. Brilliant! Carl, have a go.
S: H U M
T: H U M
SN: U G E S
T: Leo, what would you put?
SN: W H O
T: Sorry Leo. Humungous.
S: W H O
T: Have a listen. Have a listen. Humungous [slowly articulated]. Okay, go for me.
S: H U
T: /oo/, /oo/. We've crossed out the W. Now? /m/ /m/.
SN: M U N G E S
T: Mm? Anyone else got any ideas? One more person.
SN: O W
[C4S26_0:06:02]
Jenny was surprised by the word *humungous* as can be seen by her exclamation of ‘Uh-oh. Pardon?’ Nevertheless, she accepted this word that is common in children’s oral language but not as yet widely accepted in conventional written language. When one of the children challenged her ability to spell *humungous* she suggested that she needed some help as the word had ‘just sort of grown up’ and accordingly engaged the children in the process of sounding it out. After various attempts by the children Jenny signalled the end of the activity by asking for ‘one more person’. Nevertheless, she saw the discussion as an opportunity to make an important teaching point and did not yet close down the discussion.

T: Tell you what. I'm going to have to check that one.
S: G A S.
T: It could be. I don't know. It's one of those words, oh Shane, go on. Last person.
SN: H U M U N G I S.
T: Could very well be. Can I put a question mark there?
Ss: Yes.
T: Can I put a question mark and can we all check that one out?
Ss: Yep.
T: Yep? Can you do that for me? We will check the dictionary. If it's there.
SN: I will get the dictionary.
T: Oh, thank you, Roger. I will choose someone to check the dictionaries for me. We may have to ask someone else. Can I leave that word, Shane?

Here Jenny allowed the discussion to include another ‘last person’ and added further spelling strategies to the strategy of sounding out: consulting dictionaries or asking ‘someone else’. Whilst she had closed down the discussion for the moment, the episode did not end with closure as she asked Shane’s permission to ‘leave that word’, with the implication that once the other strategies had been tried the discussion would continue.

Thus, the teacher’s responsiveness in her acceptance of the contribution of the word *humungous* by one child had led to an unplanned exploration of highly significant literacy concepts, not only in terms of spelling but also in terms of the changing nature of the English language. The relatively extensive nature of this unplanned diversion from the task of providing words associated with *giant* was not typical of other episodes coded as containing the responsiveness teaching practice.

**Explicitness Word**

In order to be able to read efficiently, young children need to develop the knowledge and strategies necessary for breaking the code of texts at the word level. However, the ways in which this code breaking is taught and in particular the explicitness with which it is taught has caused huge divisions in the educational arena (Chall, 1967). The divisions are still persisting amongst some sections of the education community, as can be seen by the headline ‘Phonics at core of new literacy war’ (*The Australian*, April 21, 2004, p. 21). Nevertheless, there is a growing recognition within the education community that the explicit teaching of sound-letter relationships and how to use these in reading and writing are important parts of early literacy teaching. Snow, Burns and Griffin (1998) call for a first grade program that has a strong focus on ‘explicit instruction and practice with sound structures that lead to phonemic awareness…sight recognition of frequent words [and] instruction in spelling-sound correspondences and common spelling conventions, and their use in identifying printed words’ (p. 194).

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19 *Humungous* did not appear in any printed dictionary consulted, but does appear in the MS Word dictionary spelled *humongous* or *humungous* and defined as an ‘informal’ adjective meaning ‘extremely large in size or amount’.
The need for this emphasis on the word level in early years literacy teaching was shown by the National Reading Panel (2000) in results of their meta-analyses of many controlled research studies. These results showed that phonemic awareness (awareness of the sound units of language) and letter knowledge measured at school entry were the two best predictors of reading success in the first two years of school and that systematic phonics instruction, in which the acquisition of sound-letter correspondences and their use in reading and spelling were explicitly taught, was highly effective in promoting early reading. Phonics instruction has been defined by Stahl (2001, p. 335) as ‘any approach in which the teacher does/says something to help children learn how to decode words (after Durkin, 1978-1979)’. Stahl defines the components of phonics as ‘teaching sound-symbol correspondences directly, having children manipulate sounds in written words through spelling tasks, pointing out patterns in similarly spelled words, or anything else which helps children learn about orthographic patterns in written language’ (p. 335).

It was expected in light of the research literature that, since the teachers in this study were working with children in the first two years of school, they would have a focus on the word level aspect of text. And this was the picture for the most part. In all teachers’ classrooms there were occasions where teachers directed children’s attention to explicit word and sound strategies. As expected, explicitness word was observed in all episodes of the two most effective teachers’ classrooms and one of the effective teachers’ classrooms. However, it was also observed in 50% or more of the episodes for all but one of the other teachers’ classrooms. Thus, frequency of use of this teaching practice did not discriminate between the more effective, the effective and the less effective teachers (see Chapter 5 for explanation in terms of Rasch analysis).

All teachers directed the children’s attention to whole words, the sounds and letters within them, the relationships between sounds and letters and how to read and write them through segmenting and blending. This was observed in the classrooms of most, but not all, of the less effective, as well as the effective teachers. In the following episode a less effective teacher, who was using the commercial phonics program THRASS (www.THRASS.com.au), reminded a child to consult the phonics chart in order to spell the /ea/ sound in leaf.

T: That's a terrific sentence! I love your capital and your fullstop at the end. I want you to go to your THRASS chart and see if you can find the phoneme you need in the middle of leaf. What's in the middle of leaf? You've got /l/ and /f/. What's in the middle of /l/ /eaf/? Which box do you need to look on your THRASS chart?

SN: On the E box.
T: Good. Can you go and do that?
S: Yeah I did that.
T: And which...? You made that choice out of the E box on the THRASS chart. OK. That was a good choice. It's actually the same /ea/ as in beach.
[E5S12_1:05:47]

Another less effective teacher directed the children’s attention to rhyming words in a Big Book.

T: OK. Now, everyone to look up here and we read it all again once more and then we're going to find out any rhyming words in the poem. OK?
SN: Mother - brother.
T: One already! Very good. OK. Can you close the book and put it on the floor?
[A2S12_0:33:46]
Whilst all the teachers focused to some extent on word level features and strategies and the explicitness word teaching practice did not differentiate between the groups of teachers in terms of frequency of occurrence of the teaching practice, on the whole the effective and more effective teachers provided extremely clear explanations and directions that were of a higher order than those of the less effective teachers. In terms of writing words, children’s attention was directed towards various aspects of this process, including not only spelling, but also letter formation and other writing conventions such as capitalisation and spaces between words.

In the following episode Jacinta had identified the compound word roller-coaster as the word she wanted to use in her writing. Sue, her teacher, firstly reminded Jacinta of the writing conventions of line and word spacing, then directed her attention to the spoken word roller, thus segmenting at the word level. Sue continued to break it down for Jacinta into the smaller word/syllable roll and then into the segments of onset and rime /r/ /oll/. Once Jacinta had succeeded in writing roller, Sue directed the child’s attention to segmenting coaster, the second part of the compound word, and painstakingly guided Jacinta through its phonemic structure, thus explicitly teaching segmenting at different levels of the word and syllable as a spelling strategy.

T: What did you play on?
S: Roller-coaster.
T: Roller-coaster. Now roller’s a big word. Do you think we need a new line for that? OK. Here you are.
S: [inaudible]
T: All right. Well I'll put the finger space and you can go. What does roller start with?
S: [inaudible]
T: Roll, /r/ /oll/, /r/ /oll/.
S: Roll.
T: Now coaster, roller-coaster. What does it start with?
S: C.
T: Yes. C, /co/, C O/, /coa/, COA, /coa/ C O A... What's next? We've got /coa/...
S: [looks at the floor and mutters]
T: Look at me, Jacinta, /coas/.
S: /xs/.

Sue was teaching a second year of school class. The more effective and effective teachers of the first year of school taught decoding strategies in terms of even more specific relationships between sounds and letters. Hannah explicitly taught the spelling pattern Q, U which she taught as representing one sound, but which, whilst strictly it represents the two phonemes /k/ and /w/, is often taught as a digraph that represents one sound.

T: Now we're going to have a go at writing a word. Are we ready? When I say the sound /qu/, how many letters are we going to write for that one sound? Jack.
SN: Two.
T: Two letters because we write Q and U to make the sound /qu/.
SS: /qu/.
SN: /qu/.
T: Here's the first word, are we ready?
SS: Yes.
T: Lourie, are you listening?... /qu/ /i/ /t/. Quit.
SN: Quit. /qu/ /i/ /t/. 
Some teachers used multisensory clues for demonstrating the one-to-one and one-to-more than-one relationships between phonemes and alphabet letters. In the above episode Hannah used her fingers to illustrate the number of letters in /qu/ and then in the word quit. Some teachers used a sound box technique (Clay, 1993; Elkonin, 1976) as a visual illustration of these relationships, which was sometimes accompanied by movement of discs or letters into the boxes in order to help children learn through multisensory experiences.

Jane used a multi-sensory strategy when she explicitly taught handwriting at the same time as spelling and word study. She had written the word transport on the blackboard at the beginning of the day, read the word clearly and slowly to the children, and drew their attention to the sounds and letters within the word. The children were then required to write the word several times on their own small blackboards, paying attention to letter formation. In this way they were given both a visual and auditory representation of the word before being asked for the kinaesthetic representation as they wrote it on their individual blackboards. They were also supported in their writing of the word transport by reminders of strategies for letter formation, as Jane moved around the classroom.

In the second year of school classrooms the children had acquired more knowledge of sound-letter relationships and there was in most cases a correspondingly more complex teaching of these relationships. In the following episode Jenny was facilitating a discussion of long and short vowels in the spelling lists she had written on the blackboard. The task for the children was to identify long and short vowels in the words.

Following this explicit teaching of relationships Jenny, as an orientation activity to the shared book The Giant of Ginger Hill (Eggleton, 2000), asked the children to read flash cards of some of the key words in the book. In this activity, when the children encountered the words giant and ginger, Jenny helped them recognise that the letter G in these two words made the sound /j/ and she reinforced the connection to previous discussions about short vowels when a child noticed that the letter G was followed by the short vowel /i/.
The emphasis by all teachers on the explicit teaching of strategies to decode or encode words had the potential to improve literacy outcomes for children, and an enormous amount of research has been conducted that shows teaching these skills does in fact lead to improved literacy outcomes. However, in our study frequency of use of this teaching practice was not in itself related to improved outcomes for children in all classrooms.

**Explicitness Text**

In addition to focusing on word level instruction it is also important that teachers make explicit specific attributes of a text, in particular through strategies with which to support text comprehension and creation. The National Reading Panel attributes positive outcomes for students to methods used by teachers in which they ‘demonstrate, explain, model and implement interaction with students in teaching them how to comprehend a text’ (p. 4). This comprehension of text includes strategies with which to bring background knowledge of a topic to the text being read, to comprehend texts literally as well as inferentially, to comprehend words and to use comprehension monitoring and ‘fix-up’ strategies (Snow, Burns & Griffin, 1998). Many methods of comprehension instruction involve careful scaffolding of students until they have learnt a particular strategy (for example, Duffy, 2003; Palincsar & Brown, 1983). Duke and Pearson (2002) describe a model of comprehension strategy instruction that includes explicit description of the strategy, modelling, collaborative use, guided practice and finally, independent use of the strategy.

Making specific attributes of a text explicit does not only mean formal comprehension strategy instruction. It may mean embedding the teaching of reading and writing into a wider context, using whole texts as the basis for instruction (Wray *et al.*, 2002). In the early years classrooms in our study the teachers often used whole texts to make specific attributes explicit when teaching writing as well as reading. The fairly long extract below from Sue’s classroom illustrates clearly some text level strategies shown by the effective and more effective teachers.

Sue re-introduced the children to the previously read Big Book *Franklin in the Dark* (Bourgeois, 1986), by drawing attention to the title of the book and the pictures. She asked the children to discuss the story from these two aspects of the text, directing them to focus on the feelings of Franklin, the main character who is ‘scared’, and to relate their own feelings of fear to those of Franklin.

T: I'm going to read the title and it's *Franklin in the Dark*. And look, Franklin's looking a little sad here. What's unusual about this?
SN: Looks scared because he's scared of the dark.
T: Could be. Could be. Yes.
SN: Out of his shell.
T: He's out of his shell. Do turtles usually do that?
S: No.
T: He's just taken it off and put it down, on the side.
SN: Um, he's scared of the dark and he doesn't want to get in because he's scared.
T: Yes, you've read the story already. Right, well you need to sit down and listen because the focus today is not what's happening. I want you to think about how Franklin would feel. Right? Because sometimes we feel the same. Don't we Andrew?
SN: Yes.

Before re-reading the book Sue explained to the children that they would need to focus on Franklin’s feeling of fear, as the book would be a catalyst for their personal writing about fear.

T: Sometimes we could feel like this, and we need to think about when we feel like Franklin. Because at the end I'm going to ask you to write me a story, a short story, but not the story that we're reading here; I want to know about when you feel like this. And sometimes it's not a nice feeling, but we need to write and talk about these feelings that aren't always happy. OK? Quite often our sad feelings, our frightened feelings and our scared feelings end up OK. But to start with it's not very pleasant, is it?

Now you need to all see. Can you see, Joel? And listen. It's a listening turn. There he is, and there's our author and our illustrator, Paulette and Brenda. Two ladies wrote this and drew. Is there a dedication?

In this episode Sue provided a focus for the reading of the text and by providing this focus directed the children towards a particular response to the text that would inform their own writing. Presentation of a text in terms of prediction from the title and the pictures was a common pre-reading practice in the classrooms of the effective and more effective teachers. The use of a shared text and the guided discussion of particular features as the model for children’s own writing was also a common practice in these teachers’ classrooms, as was discussion of the author, illustrator and other ‘blurb’ on the cover pages. In the classrooms of the less effective teachers detailed discussion was often limited to the book title, author and illustrator.

Some of the effective and more effective teachers focused their discussion and explanations of text on specific discussions of text type, as in Jenny’s guided discussion of the purpose of narrative genre.

T: What type of book is this book?
SN: A narrative.
T: A narrative. That's right. A made up story. Why do we say it's narrative?
SN: [inaudible]
T: Why do we have narratives?
SN: To trick people and scare them.
T: Maybe to trick or scare. Erin why might we have a narrative? Why do people write narratives?
SN: [inaudible]
T: It could be. Yeah. Would you say they could entertain us? These books are fun to read.

[C12S13_0:35:23]
Chapter 9: Support

There was an overall emphasis on the narrative and recount genres in all classrooms. Some exceptions were reading and discussion of factual texts on the theme of transport in Jane’s classroom, experience, discussion and writing about features of worms in Hannah’s classroom and the following shared writing of a letter to a teacher who had been involved in a traffic accident, in the classroom of Isobel, an effective teacher.

T: Yes, we need to start off with who it's to. What are some other things we need to put in our letter? Nick?

SN: To.

T: Yes, we might write to.

SN: Dear//

T: //Or Dear. We know Mrs Howath so we might write Dear. Think about in the middle of the letter. What are some of the things we might put in? Mark?

SN: Questions?

T: Some questions. Why do we need to put in questions?

SN: Write back.

T: That's right. So they can write back to us. If we don't ask any questions in the letter then they won't think of things that they could write back. It makes it easier for them to write back to us. Because we hope that Mrs Howath will write back, hopefully, cross our fingers that she will write back to us.

T: Sh. All right, where should we start our letter? Joel? What should we put at the start of our letter?

SN: Dear Mrs Howath.

T: Good idea. OK [writes on whiteboard], Dear.

[FSI3 0:01:06]

In this extract the teacher made explicit a convention of letter writing, that is the salutation at the beginning, and then asked for ideas for the content. When Mark proposed asking questions in the letter Isobel probed for the purpose of these questions, which another child interpreted as to solicit a reply. Isobel then affirmed the response by stating that asking questions elicits a response and gave the recipient of the letter a purpose for replying. She then returned to the actual writing of the letter, reinforcing the salutation of Dear and continued in the remainder of the episode to explicitly model conventions and the content provided by the children.

Persistence

Persistence involves the teacher providing many opportunities for children to practise and master new learning. Snow, Burns and Griffin (1998) have pointed out that ‘outstanding’ teachers provide many opportunities for sustained reading and writing practice in a range of formats. At the word level this may mean ‘creating multiple opportunities for sustained reading practice in a variety of formats, such as choral, individual and partner reading’ (p. 196). Time spent in practising reading is important for word identification processes and skills to become automatic and free up working memory space for higher order processing (La Berge & Samuels, 1974; Samuels, 2002). At the text level, persistence may involve the teacher allocating a large amount of time to reading in order to provide experience in using comprehension strategies (Duke & Pearson, 2002).

Persistence, as witnessed in the classrooms of the more effective and effective teachers in our study, could be seen as related to their ‘drive for improvement’ for both themselves and their students (DfEE, 2002) and was also a reflection of their ‘passion for teaching’ (Hattie, 2003). These teachers made the most of every window of opportunity to reinforce the knowledge, concepts and skills that were to be learnt. The persistence teaching practice was observed in at least 50% of episodes in the effective
and more effective teachers’ classrooms and in all episodes in two of them. On the other hand it was not observed in any episodes in two of the less effective teachers’ classrooms.

The persistence with which the effective and more effective teachers pursued the learning of their children pervaded the episodes already analysed in this chapter, as these teachers carefully planned what was to be taught and reinforced this at all opportunities. As we have already seen in two episodes, Hannah, who displayed the teaching practice of persistence in every episode, focused the children’s attention on the spelling-sound pattern QU many times during the two-hour coded observations. She began in the first episode by pointing out that in the reading of the book The Sad Little Monster and the Jelly Bean Queen (Lardner, 1996) the last word in the title began with /qu/, a pattern that had previously been taught.

T: Right, everyone turn their eyes and look at me. Let me see if I can see everyone's eyes so I know you're ready to listen. Lourie? That's it. Now, we've started doing the sound... what sound have we started doing?

SN: /qu/.

T: /qu/. Now I went to the library and when I went home I looked through all of Jessie and Allanah's reading shelves, and I could only find one book that had a /qu/ in the name of the title of the book.

As she made the transition from the reading of the shared text to a drama session, which would have a focus on questions, Hannah made the connection between sessions by pointing out that the word questions also started with /qu/.

T: Now, in The Sad Little Monster and the Jelly Bean Queen I actually saw some sentences that were questions. Remember we've been talking about questions because that starts with...?

SS: /qu/

T: /qu/, and I found one on this page. So let's just check if it's got one of those question words that we wrote down on our question word chart. Better find where it is now. Here's the first question.

Hannah returned to the /qu/ concept in a later episode when she modelled the writing of it as an introduction to a handwriting and spelling lesson based specifically on this sound/spelling pattern. She then very carefully supported the children in their writing of the words quit and quiz.


SN: Bravo!

SS: Bravo!

T: All right. Did everyone have Q and U for /qu/?

E: Yes.

T: Then /i/?

E: Yes.

T: Then /t/?

E: Yes. //

T: //Well done! We're going to write another word. Don't rub it out! We'll just write underneath. Are we ready for our next one? Here we go. Watch my fingers! /qu//i//z//z/, quiz.
Similarly Jane, who also displayed the persistence teaching practice in every episode, directed her children’s attention many times to the word transport in handwriting, spelling, word study, reading and creative writing sessions. As transport was her theme for the week it was used not only at the word level, but also at a whole text level. Jane had read the children books about transport, they had discussed various forms of transport and were also asked to make their own book about transport. In these teachers’ classrooms it appeared that no child could escape from learning what was being taught, through the teachers’ drive for improved outcomes and passion for their work. Despite the high level of redundancy inherent in these teachers’ practices, the children in their classrooms were completely engaged. Their teachers provided a variety of motivating activities and regularly announced their intention to ‘trick’ the children, thus turning learning into a game that the children were supported into winning.

In the classrooms of the less effective teachers there was little persistence: activities were introduced and even if they were related, the relationship was not explicitly explained. Where less effective teachers were identified as demonstrating the persistence practice, there was not the high level of specificity, connection and redundancy shown by the effective and more effective teachers.

One less effective teacher, who was coded as showing a high level of persistence with her first year of school class, had a focus on ‘sounding out’ words in reading. She began her literacy session with a discussion of letter names and sounds, which were printed on flash-cards, then extended this to specific discussion of vowel sounds and finally to ‘sounding’ two ‘letters’ put together. The theme of sounding out was then extended to a shared book entitled *I Spy* (Marzollo, 1992) in which the teacher asked the children to guess the last word in the sentence from its first sound. In a writing activity that followed, children were individually directed to ‘think about sounding out’, ‘have a go at sounding out’, and ‘say the word as it sounds’.

Whilst this teacher was persistent in her encouragement of the decoding strategy of ‘sounding out’, her teaching of first year of school children did not have the specificity and scaffolding shown by Hannah and Jane in their teaching of first year children. Where Hannah focused on the specific letter combination *QU* and Jane focused on the specific word *transport*, this teacher focused on a general strategy that was also introduced at a general level. Whilst some of the children in her class were apparently able to respond to this general strategy instruction, many children in their first year of school would most likely have needed the specific support that Hannah and Jane so persistently provided.

In this chapter there has been an emphasis on word level strategies in terms of the practice of persistence and it has been shown that word level instruction was a strong feature in the classrooms of all the teachers. Nevertheless, most of the more effective and effective teachers were also persistent at the text level in providing various opportunities for reading and writing connected text in a variety of formats, including modelled and shared reading and writing, and guided reading and writing.

**Summary**

On the whole the more effective and effective teachers differed in terms of the quantity and quality of their teaching practices in the support dimension. The more effective and effective teachers were better able for the most part to support children through the
literacy teaching practices of assessment-based teaching, scaffolding, feedback, responsiveness, explicitness at word and text levels and persistence in ensuring positive literacy outcomes for all class members.

The teaching practice of assessment-based literacy teaching was observed to a greater or lesser extent in all but one of the effective and more effective teachers’ classrooms. These teachers were able to use on-the-run assessments of children’s performance in a group task to target their teaching to individual children who were in need of either corrective teaching or extension of learning. These teachers were also able to scaffold children’s literacy learning to help them reach their potential level of development, the majority of them using this teaching practice in all episodes. They used scaffolding extensively at group and individual levels to extend children’s literacy learning in ways that increased their confidence and led to successful experiences with print.

Timely and focused feedback to children was observed in all the teachers’ classrooms, although it varied in frequency, type and quality. It was intensively used by the more effective and effective teachers, all of them displaying this teaching practice in most of their episodes. The classrooms of these teachers were characterised by a positive happy climate in which there was much use of positive reinforcement throughout the literacy sessions, that explicitly indicated exactly what was being celebrated. Further, because they had created a positive classroom climate and gained the respect of their class, the effective and more effective teachers were able to provide not only affirming, but also modifying and corrective feedback that challenged the children to achieve at higher levels. These teachers were also able to respond to children through feedback in order to share and build on their contributions, although the teaching points made in this way were often highly explicit and concise.

It was expected that all teachers would have a focus on the word level aspect of text, in light of much previous research showing that the systematic teaching of phonemic awareness and phonics in the early years of school is associated with higher reading outcomes. This was the picture for the most part. In all teachers’ classrooms there were occasions where children displayed or teachers reminded children of explicit word and sound strategies. Explicitness at the word level was observed in all episodes of the two most effective teachers’ classrooms and in one of the effective teachers’ classrooms. It was also observed in at least half of the episodes for all but one of all other teachers’ classrooms. The emphasis by teachers in this study on the explicit teaching of strategies to decode or encode words had the potential to improve literacy outcomes for children. However, in our study frequency of use of this teaching practice was not in itself related to improved outcomes for children in all classrooms. Nevertheless, there were important qualitative differences between the word level teaching strategies of the more effective and effective teachers and those of the less effective teachers. On the whole the effective and more effective teachers provided extremely clear explanations and directions that were of a higher order than those of the less effective teachers.

Explicitness at the text level was not as frequently observed as explicitness at the word level, but was more likely to be observed in the classrooms of the more effective and effective teachers. Whilst three of the four teachers identified as less effective demonstrated the practice of explicitness word in well over half of their episodes, none demonstrated explicitness text in more than half of their episodes, reflecting the fact that their instruction was concentrated at the word level. Our suggested explanation for these findings is that a balanced approach which includes a combination of word and text level explicit instruction has a positive effect on child literacy outcomes.

The teaching practice of persistence, defined in our study as providing ‘many opportunities to practise and master new literacy learning’ is related to teachers’ drive
for improvement for both themselves and their children and was also a reflection of their passion for teaching. The more effective and effective teachers made the most of every window of opportunity to reinforce the knowledge, concepts and skills that were to be learnt. The persistence teaching practice was observed in at least half the episodes of the effective and more effective teachers’ and in all episodes for two of them. Most of the more effective and effective teachers were persistent at word and text levels as they provided many opportunities for reading and writing connected text in a variety of formats.
From a socio-cultural perspective language and literacy are not simply about the understanding of symbolic meaning, but are also about the development of relational identity. Indeed, it has been said that language, as the critical relational skill in young children, forms the core of individual identity (Gee, 2002). Identity is mediated through the ways in which language and literacy are understood, valued and practiced in different social, cultural and linguistic communities. Differentiation, therefore, is about the ways in which teachers tailor the curriculum and pedagogic practices to the unique cognitive and socio-cultural understandings and practices that each child brings to the classroom, while at the same time maintaining group cohesion. Providing a successful differentiated curriculum is a complex and demanding task, through which effective teachers assist children to make connections between the ‘known’ and the ‘new’.

The dimension we have called ‘differentiation’ is characterised by five teaching practices: ‘challenge’, ‘individualisation’, ‘inclusion’, ‘variation’ and ‘connection’ (see Table 10.1).

<table>
<thead>
<tr>
<th>Challenge</th>
<th>The teacher extends and promotes higher levels of thinking in literacy learning</th>
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</thead>
<tbody>
<tr>
<td>Individualisation</td>
<td>Differentiated literacy instruction recognises individual differences</td>
</tr>
<tr>
<td>Inclusion</td>
<td>The teacher facilitates inclusion of all children in literacy lessons</td>
</tr>
<tr>
<td>Variation</td>
<td>Literacy teaching is structured around groups or individuals</td>
</tr>
<tr>
<td>Connection</td>
<td>Connections are made between class and community literacy-related knowledge</td>
</tr>
</tbody>
</table>

Challenge is perhaps one of the most demanding of all teaching practices, as it involves recognising possibilities within literacy tasks for extending and promoting higher order thinking (Taylor et al., 1999). Effective teachers often do this by helping children to move beyond literal interpretation and construction of text to more cognitively demanding interpretations, explanations and justifications. Such higher levels of thinking have been seen as not only interpreting and explaining text but also constructing and problematising knowledge through the deconstruction of text in order ‘to solve problems, gain understanding and discover new meanings.’ (Education Queensland, 2002, p. 1). Challenging children has been identified as one of the most critical factors in determining children’s literacy achievements. In a study of literacy learning in a ‘whole language’ classroom Stahl, Suttles, & Pugnucco (1996) concluded that student achievement at the end of the year was determined by the amount of challenge they were presented with in their reading materials. Mazzoli and Gambrell (2003) argued that one of the most difficult aspects of challenging children is creating a balance between ‘content and emphasis while making adjustments for the changing needs of individual children’ (p. 62). Thus, effective teachers are aware of the diversity of knowledge and understanding within their classrooms, building on and planning for individual knowledge and skills in ways that provide challenge for all children (DfEE, 2000).

The second teaching practice, individualisation, is characterised by the ways in which effective teachers not only provide differentiated instruction and tasks, which take account of individual needs, but also manage to facilitate the inclusion of all children within and across tasks (Snow, et al., 1998; Wray, et al., 2000). Mazzoli and Gambrell (2003) found that effective teachers made adjustments to match the changing needs of individual children as they scaffolded their literacy learning through the use of a variety of strategies and groupings. A study by Hattie (2003), found that ‘expert’ teachers not
only planned for individualisation, but also used an extensive range of teaching practices to respond to unpredictable individual and group needs through improvisation. Teaching plans were seen as a guide, which allowed teachers to be flexible and responsive to unexpected teaching moments.

Inclusion, the third teaching practice within the differentiation dimension is defined as the ways in which teachers provide different tasks within specific literacy areas, while also creating opportunities for children to engage at different levels within the same literacy task. Wittrock (1986) found that more effective teachers ‘involved all of their children rather than concentrating on a sub-group, and they were more likely to ask open-ended questions and wait for them to be answered’ (p. 351). It appears that effective inclusion is not only about planning differentiated tasks, but it is also about creating an inclusive curriculum through deep knowledge of, and rapport with children (DfEE, 2000; Scheerens & Bosker, 1997). Awareness of individual needs and inclusion of children are reflected in the ways in which effective teachers manage and organise this variation within their classroom.

Variation, the fourth teaching practice refers to the ways in which teachers use grouping as a means of responding to children’s needs and abilities. Although group work has been identified as a significant factor in a number of studies of teacher effectiveness (Mazzoli & Gambrell, 2003; Snow et al., 1998), it is the ways in which the task is matched to the group needs, and instruction and support are adjusted according to individual needs, that determine the effectiveness of group work. Taylor, Pearson, Clark and Walpole (1999) found that the time teachers spent in small group instruction had a significant impact on learning outcomes. Research on literature-based instruction has found that small group interaction around texts appears to lead to higher levels of comprehension and critical thinking than whole class and one-to-one readings (McGee, 1992; Morrow & Smith, 1990). Effective small group work enables teachers to interact with children at an appropriate level, and also allows teachers to make connections with children’s own constructions of the world.

The fifth teaching practice of the differentiation dimension is connection. Bruner described the essence of effective teaching and learning as ‘how human beings achieve a meeting of minds’ (Bruner 1996, p. 45). It is this ‘meeting of minds’ that characterises connection. It refers to the ways in which teachers and children make connections between community knowledge and practice and class knowledge and practice, as a means of effective teaching and learning (McNaughton, 2002). The concept of connection has received some support as a characteristic of effective teaching. Mazzoli and Gambrell (2003) identified the process of incorporating class, community and social/cultural knowledge, as one of their ten researched-based best practices for literacy teaching. Hill et al. (1998) found that teacher knowledge and use of children’s prior to school literacy practices, were central to effective literacy teaching. In the Productive Pedagogies framework connectedness is defined as: ‘the extent to which the lesson has value and meaning beyond the instructional context, making a connection to the wider social context within which students live’ (Education Queensland, 2002, p. 23). The significance of connection has been demonstrated in various studies, in which a ‘mismatch’ between school and family/community literacy practices appears to have contributed to low literacy achievement of particular children (Heath, 1983; Hill, et al., 1998; Jacob & Jordan, 1987; McNaughton, 2002).

Quantitative analyses undertaken on the CLOS data provided further strategies for understanding the differentiation dimension in these classrooms. A simple descriptive analysis, by frequency, of each of the differentiation dimension teaching practices in the classrooms videotaped provides a summary of the proportion of episodes that the
researchers coded for challenge, individualisation, inclusion, variation and connection and shows the wide variation across the classrooms (see Figure 10.1).

The less effective teachers were amongst those with the lowest number of episodes characterised by differentiation. For example, in two of the less effective teachers’ classrooms none of the episodes was characterised by variation, inclusion or challenge and only a few demonstrations of individualisation were observed in one of the less effective teacher’s classrooms. In contrast to this, in the classrooms of the more effective and effective teachers many episodes were characterised by challenge, individualisation, inclusion, connection and, to a lesser extent, variation. An exception to this finding was that the classroom of Jenny, a more effective teacher, was not characterised by connection, variation or challenge. A possible explanation is that for the purposes of the observational phase of the study, Jenny taught another teacher’s class as at that time she had an administrative position within the school. Of particular note is that challenge was the least observed teaching practice overall and was not observed in any of the less effective teachers’ classrooms.

In broad terms differentiation was more evident in the classrooms of the effective and more effective teachers. For the most part these teachers appeared to incorporate most aspects of differentiation into their teaching repertoire. Less effective teachers demonstrated fewer differentiation teaching practices. The ways in which the teachers

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20 Figures in parentheses indicate the children’s learning gain adjusted residual in standard deviation units for each teacher’s classroom.
demonstrated differentiation are discussed below and illustrated with selections from transcripts of the video cases.

**Challenge**

Results of quantitative analyses (see Chapter 5) indicated that challenge was the least observed teaching practice, not only within the differentiation dimension, but also in the whole repertoire of CLOS teaching practices. Challenge was identified in under half of the classrooms observed and where it was observed, it was in the classrooms of the more effective or effective teachers. The literature suggests that the concept of challenge is multi-faceted and involves the quality of interaction, the nature and structure of the task and the level of teacher expectation. It is the complex interrelationship between these facets that creates a high level of challenge.

Effective teachers challenge children through the provision of demanding tasks and the interaction which occurs in and around those tasks. They plan demanding tasks based on their knowledge of children’s levels of attainment and they challenge children to use higher levels of thinking through the use of higher order questioning (Taylor et al., 1999; Wray et al., 2000). In a review of several studies Snow et al. (1998) found that cognitively challenging interactions and the use of a wide vocabulary were significant factors in early literacy development. Evidence suggests that effective questioning techniques, such as the use of higher order questioning, build children’s critical and creative thinking skills (Cotton, 1995).

It has been argued that, ‘while competent teachers may challenge some children some of the time, experts find ways to challenge all children to stretch their understanding of ideas’ (Hattie, 2003, p. 7). The more effective teachers seemed to move between different levels of questioning, confirming and extending thinking when appropriate. They appeared to be constantly urging children to move to a higher level of thinking as demonstrated in the following example. Using the text *Who Sank the Boat* (Allen, 1982) Hannah, a more effective teacher, built on the children’s scientific knowledge as she challenged them to think about why the boat was sinking. She extended their thinking by building on their responses, confirming their replies and leading them towards explanation through open-ended questions, some of which were directed to individuals.

T: Look at that boat. What can you notice? Someone who hasn't put their hand up, Marty?
SN: Um. Pig.
T: What do you notice about the pig?
S: It's pink.
T: It's pink, yes, but what do you notice about the boat here? What's started to happen? Jack?
SN: Started to sink.
T: Started to sink. It's going right down into the water, isn't it? Why do you think that is? Brian?
SN: Getting heavier.
T: Because it's getting heavier. Was it the sheep who knew where to sit? To level the boat, so she could knit? Do you think it was her?

Challenge is not just about developing the higher order skills of more competent students, but it also includes providing sufficient challenge for both high and low achieving children (Scheerens & Bosker, 1997). It is the teacher’s judgement of the children’s level of understanding that determines what is challenging. In the following episode Isobel, an effective teacher, was introducing the concept of a written book response through shared writing. As this was a new concept the children were
challenged at a number of different levels. Based on the children’s responses, Isobel sequenced the activity, starting with the identification and justification of their favourite character. This was followed by the production of a jointly constructed text as the children decided what to write. Isobel challenged the children to think about the content, structure and surface features of the text. As the lesson progressed she encouraged them to combine their ideas and finally asked them to consider what they needed to check as they read the text to ensure it made sense. She addressed some questions to individual children and moved between different levels of text construction in response to their comments, adapting the material to meet their needs. The children began by identifying and justifying the choice of their favourite character.

T: Okay. Our favourite character in the book is the mum. Why do we like the mum? What is it about the mum that we like? Ashley?

SN: She's scared of mice.

T: She's scared of mice. What was that what you were going to say? What were you going to say?

SN: [inaudible]

T: Oh, she didn't, did she? Michael?

SN: [inaudible]

T: The mouse. It was only one mouse. Remember, if it’s one, it's mouse. If it's more than one it’s…

Ss: Mice.

T: Mice. Okay, there was a mouse under the bed. So what can we write then? Let's go back and reread from the start. // Our book is called Don't Look Under The Bed. Our favourite character in the book is the mum.

Ss: //Our book is called Don't Look Under The Bed. Our favourite character in the book is the mum.

T: Now instead of putting a fullstop there I might take it away because…because why? Because? Because she?

SN: Was scared of mice.

T: Because she was scared of the mouse. And where was the mouse?

Ss: Under the bed.

T: She was scared of the mouse under the bed.

SN: From under the bed.

T: Do you think we need the word from?

Ss: No.

T: You're not sure? Okay. Well we can pop it there. Perhaps we can put a line under it and once we've finished we'll go back and reread it and see if we want it.

Although questioning was an integral part of all the teaching that was observed in this study, higher order questioning was not frequently observed. Questions from less effective teachers seemed to be directed at the whole class, rather than focusing on challenging individuals or groups when these teachers used modelled or shared reading. These questions tended to be of a lower order, closed in nature and often appeared to follow a pattern of ‘getting the right answer’, rather than encouraging exploration of ideas. It has been found that effective teachers use literature to provide children with opportunities to explore multiple interpretations of text and respond at higher levels of abstract and critical thinking (McGee, 1992). Such questioning emphasises the validity of individual perceptions and encourages deconstruction of text. Having used the Big Book to develop scientific concepts, Hannah was observed challenging children to make meaning by analysing the illustrations from The Sad Little Monster and The JellyBean Queen (Lardner, 1996), as she drew their attention to the changing colours of the illustrations.
In Teachers’ Hands

**T:** The next day the princess went back to her island for she missed the golden sand. But the little monster was not sad anymore, his island was now a bright and happy place. What do you notice now? Robyn.

**S:** It's the same as the, um, place.

**T:** What's the same?

**S:** Um. Wherever the monster lives.

**T:** How is it the same, though? What's changed to make it the same?

**S:** Umm...

**T:** Look at it back there. Look now.

**S:** They're laughing?

**T:** Yes, they're laughing. What do you notice about...the colours on this page now?

**S:** It's happy.

**T:** It's a happy, those are happy colours, aren’t they? It's not like those...dark colours right back at the beginning. It's now a happy place and you can see by the colours on the page.

[B17D4_0:14:36]

In the analysis of episodes that were identified as challenge, literary tasks were not the only source of challenge. Effective teachers challenged children to build on and extend their literacy knowledge, asking children to synthesise, generalise or transfer concepts about the use of written frameworks and sentence and word structures to help them move to new understanding. In the following example Hannah was working on phoneme replacement and rhyming, based on an extension of earlier work. A child was asked to write a word on the board and children were challenged to replace a letter in order to create a new word. Hannah challenged the children to find the replacement letter, asked for the definition of words which ended with the same sound, and concluded by asking children to write their own rhyming word.

**T:** Good boy. Now don't rub that off. This is where I'm going to try and trick you. At the moment, what does this word say everyone?

**E:** Wet.

**T:** I want you to change one letter, you have to change one letter and I want the word to say net. /n/ /et/.

**SN:** /n/.

**T:** You only have to change one letter. Which letter would you have to change to make it say /n/ /et/?

**SN:** W?

**T:** Mhm?... W? Michael, did I trick you? W. Oh! Quick, quick!

**Ss:** [inaudible]

**T:** W. Take away the W...net...W?

**Ss:** [inaudible]

**T:** Okay, before we go. What letter did I have to change, please... Sandy. What letter did I have to change?

**SN:** The W.

**T:** The W. What did I have to change it to?

**T:** Marty?

**SN:** H?

**T:** Not H. I think you've just guessed, because sometimes you do that. What letter was it? Ah, Jack.

**SN:** N?

**T:** N.

**SN:** /n/.

**T:** Did you all have that?

**SN:** /n/.
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E: Yes.
T: Don’t go! Stop! One last thing. Now we had wet, net. What do those words, two words do? Natalie?
SN: Rhyme?
T: They rhyme. Do you think you could write a word that rhymes with net and wet?

As illustrated in the above episode, some of the more effective and effective teachers in this study also used encouraging phrases which hinted at the challenging nature of the task, for example, ‘I’m going to trick you’, and, ‘Quick, quick!’ Other phrases effective teachers used to encourage thinking, such as, ‘Use your brains!’, ‘Go!’ and, ‘I don’t want to stop you thinking; I want you to think more’, were used when teachers encouraged children to concentrate on recording their ideas, rather than being concerned about correct spelling.

Challenge is not just about the level of interaction between teacher and children and the nature of the task. The literature suggests that it also involves structuring activities so that children can achieve the challenges that have been set. That is, ‘even for higher level, complex learning objectives, guidance through planned sequences of experience is likely to be more effective than unsystematic trial and error’ (Brophy & Good, 1986 p. 366). There was evidence that some of the more effective and effective teachers in this study repeatedly scaffolded children’s learning through careful sequencing and analysis of tasks to help them achieve deeper understanding.

A common characteristic of the more effective and effective teachers appeared to be their high expectations of children’s achievement, as evidenced in the way they presented challenge. Levine & Lezotte (1990) identified ‘high expectations as a crucial characteristic of virtually all unusually effective schools’ (p. 39). High expectations were identified as one of the characteristics of ‘social support’ in the Productive Pedagogies framework (Education Queensland, 2002). In addition, Hattie et al. (1995) suggested that effective (expert) teachers inspire children to become excited about their learning, by demonstrating their belief in successful outcomes for all learners and finding ways of helping children to overcome difficulties in learning.

For example, Hannah created a highly motivated classroom atmosphere. She engaged the children in a battle of wits, ‘This is where I’m going to try and trick you’, praising their responses, ‘I just heard some fantastic answers!’ and urging them on, ‘Don’t stop! One last thing! David, are you watching? Are you watching? Good boy. Quick! I’m going to stop in a moment’.

The literature suggests that high expectations are more likely to be realised if teachers encourage children to share construction of, and commitment to, challenging goals and provide timely and appropriate feedback. It is this feedback which leads children to higher levels of comprehension (Hattie et al., 1995). Luke (2003) has suggested that creating challenging tasks which encourage children to construct knowledge, leads to a degree of uncertainty in outcomes. However, effective teachers are able to build on unpredictable responses in ways which further challenge children’s thinking. Providing children with opportunities to take responsibility for their learning reinforces children’s belief in themselves as learners and enhances their sense of confidence. In the following example Isobel pointed to the effort made by individual children through the sharing of their work. However, this was more than just sharing, as Isobel praised, rewarded, checked and extended each child’s understanding through reference to their individual pieces of writing, thus acknowledging the individual challenges that the children had met.
SN: I went to the park and I went on the rides.
T: With Annabel’s writing she was able to write lots of words all by herself today, weren't you, Annabel? *Went.* Did you know how to spell that word or did you look somewhere? You knew how to spell it, fantastic! Now Annabel was sounding the sounds out for the word *ride*, weren't you? *Rides.* And she wrote *R I D* and then she listened to the word again and she heard the letter and popped it in.
T: OK Tina, a big loud voice.
SN: Once upon a time there was a Barbie named [inaudible] and she lived in a town... [10]
T: Peter’s. Tina. Tina, what were you looking at in your writing today?
S: Capital letters.
T: Capital letters. Good girl! Where do they go, Tina?
S: At the start of a sentence...
T: Yes, where else? Someone's name and a name of a...?
S: Place.
T: Good girl! Well done. Sharnie, loud voice.
SN: [inaudible] And I am nearly eight years old.
T: That's lovely. Now Sharnie, are you going to publish that or are you happy to just read it? Give her a clap. Excellent, did everyone hear that? And lucky last, the star of the writing today. Do you want me to hold your book and you point to the words while you...
SN: //Start again.  
T: //Start again.  
S: *I cleaned the house with my mum.*
T: Excellent! Did everyone hear that? *I cleaned the house with my mum.* And you can see here all the sounds he was able to hear and write by himself. Fabulous! And he got a sticker! Show everyone your sticker.

**Individualisation**

In the examples already discussed for the challenge teaching practice, some of the more effective and effective teachers seemed to be aware of differences between children and challenged individuals at an appropriate level. Individualisation is the second teaching practice in the differentiation dimension. In terms of classroom management this involves responding to the children as a fluid series of groups with differing needs and attending to specific individual needs. Snow *et al.* (1998) identified several attributes of effective classroom practice for early literacy learning, which included, ‘adjusting groupings and explicitness of instruction according to individual needs’ (p. 150).

In the following episode Jenny, although working with the whole class, challenged individual children by adjusting her responses to meet their level of understanding. She selected and invited individual children to read two sentences from a narrative text. She began by giving them a strategy to help them read fluently, then she responded to each individual by praising and focusing on a particular aspect of the text. In the following example she praised the child, encouraged him to 'enact' the giant’s actions, responded to his comment about the giant and challenged him to articulate his knowledge of sentence structure.

T: Have a scan down the page yourself before I ask you to read. Is there anyone who would like to read me the first two sentences? Please, Trent?
SN: The giants.
SN: *The giant lived on Ginger Hill. He loved to go stamping and tramping his- in his giant boots.*
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T: Excellent! Can you do some stamping and tramping in your giant boots on your way back to your spot? Watch out for hands.
SN: He's scary!
T: It would be rather scary. I need someone else and I'm glad you knew where the sentences were too. What gave you the clue, Trent?
SN: Um, because there was no fullstop after stamping.
T: I thought, I thought someone might stop there, but you didn't. Clever boy!

Here Jenny was working with the whole class and responding to individual needs, as the children attempted the same task. Effective teachers also presented opportunities for children to pursue tasks at their own level and provided individual feedback during whole class activities.

It appears that the more effective teachers also built on and consolidated individual children’s learning by re-visiting a particular concept as part of follow-up activities. This gave the teacher an opportunity to assess understanding and present information in alternative ways in different contexts. For example, in one observed small group activity in the classroom of Sarah, an effective teacher, Nina had difficulty in differentiating between the words *pass* and *pasta*. Sarah gave an explanation and followed this up later as the child worked independently on the task.

T: Nina, find the word that says *pasta, pasta*. Good girl! How did you know that was *pasta* and not *pass*?
SN: Um, because it has an *A* at the end?
T: Yes, it's got an *A* at the end, and *pass* has a /s/ sound at the end.

There were a number of other strategies the more effective and effective teachers used to meet the needs of individuals within their classrooms. Sarah used a system of ‘buddy’ reading, whereby a more able child supported a less able child. This was structured in such a way that the less able child was individually tutored, and both children received positive reinforcement from their teacher and the class.

T: You can help Evie with the reading this morning. Evie, which is the one that you did?
T: Oh, it's beautiful, isn't it! Ready? /wh/ You whisper in her ear to help her read the, read the sentence. /wh/.

Direct input, scaffolding and explicit instruction were also strategies used by more effective and effective teachers with individuals in small groups, while the rest of the class worked on the same task independently. These teachers were observed directing attention to individual needs by challenging thinking at an appropriate level, helping less able children to consolidate their ideas and structuring their thinking in order to complete a task.
The more effective and effective teachers also monitored the children’s progress and assisted individual children by giving feedback. This often entailed working at text, sentence and word level according to individual needs. Jane, an effective teacher encouraged children to understand the individual literacy needs of their peers when she was discussing classroom rules.

T: Yes, Sarah, what's another rule?
SN: When you're reading them and you don't, um, you don't get the levels that you're, um, that you're not up to.
T: That's right. You need to select the book that suits what you're reading.
S: [inaudible]
SN: [inaudible]
T: It's fine because remember, we have children in here who are just learning to read, who don't read just as well as you do, so they have to have the right to choose a book that will suit them.

However, in addition to paying attention to the needs of weaker children, the effective teachers also addressed the range of ability within the classroom and focused on high achieving children as well as the individual needs of weaker children. Scheerens & Bosker (1997) argued that effective teachers set goals ‘in such a way that pupils are challenged, but not demotivated because the standards are too high or too low – not a preoccupation with achievement, regardless of ability levels but care is taken of individual differences between pupils’ (p. 101). In the following episode Jane made time to hear each child read and she challenged Tyler to articulate the strategies he was using to make meaning from the text. She prompted his understanding of sound-letter knowledge and of the relationship between text and illustrations.

T: Tyler, up here.
SN: Big Sea An - Big Sea ... Come. //
T: // Come...
S: And look at the fish.
T: The...
S: The fish is big.
T: Good boy. Come...
S: Come and look at the crocodile... alligator.
T: No.
S: Crocodile.
T: Yeah, because it starts with a…?
S: /c/
T: /c/ for crocodile. Right. The...
S: The // crocodile is big.
T: // Crocodile. Good. Come...
S: Come and look at the turtle.
T: How do you know it's a turtle? How did you get the clue? What did you do? You looked at the…?
S: Word.
T: And what's that? It's a picture.
S: [inaudible]
T: Right. Okay. The.
S: // The turtle is big.
T: // /c/ Come...
S: // Come and look at the...
Some of the less effective teachers we observed did not appear to take individual differences into account in their planning or practices. Other less effective teachers responded to individual needs, but often as a result of a problem related to the understanding or misunderstanding of task requirements or as a result of children completing a task incorrectly. In addition, in some of these classrooms less able children were given individual ‘busy work’ that was often unrelated to the work required of the rest of the class, or, which may have emphasised their weakness or made them feel marginalised. Snow et al. (1998) argue that, ‘effective teachers are able to craft a special mix of instructional ingredients for every child they work with’ (pp. 2-3), thus ensuring all children are included.

**Inclusion**

Although effective teachers were aware of individual differences and needs they were also committed to inclusion, our third teaching practice in the differentiation dimension. Hattie et al. (1995) found that effective teachers provided challenging tasks, which differentiated between, but did not exclude children at different levels. In this study the effective teachers managed to include children in subtle ways while still providing for individual needs. Strategies included the ways in which teachers organised children and planned many open-ended tasks to ensure that all children could participate at their own level. In the following episode each child was given the opportunity to practise asking and responding to a question based on the book, *Who Sank the Boat* (Allen, 1982). In an attempt to engage the children’s imagination and support their thinking Hannah invited them to join her in an imaginary boat.

T: Hands on your heads. Jack, you've had your turn you need to let Sandy have her turn OK? Hands off heads. Sandy who are you inviting?
SN: Maggie.
T: Pardon? Could you ask her, please?
SN: Maggie, would you like to come in the boat?
SN: Yes.
T: Alright, everyone hold on. OK?
SN: No.

Differentiating within tasks was another means through which all children were included. For example, Jenny a more effective teacher worked simultaneously with three levels of reading. She moved between groups, monitoring progress and either giving feedback or introducing a new concept as appropriate. Sarah used a variety of strategies, which included a ‘sharing’ time, based on a report about what had happened when the class mascot, a soft toy, went home for the night. The more effective and effective teachers appeared to be aware of children’s participation and continually encouraged them to stay on task and to join in through positive comments and feedback rather than by criticism or punishment. By acknowledging the children’s contributions these teachers demonstrated the practice of inclusion.

Inclusion was also about the teacher’s acknowledgment of difference. For example, Jane made reference both directly and indirectly to James, a child who was visually impaired. She referred to his need for large text when she introduced the Big Book she had made, and related James’s visual needs to her own failing eyesight and its effect on her reading of the children’s writing.
More effective and effective teachers managed to include children by differentiating between the needs of the individuals in the class and responding appropriately to each child. Individualised responses were related to task demands, children’s perceptions of themselves as learners and classroom management. For example, Sue often worked with children who needed the most support and then held a conferencing session with other children who had themselves identified the specific areas in which they needed help.

Inclusion was not often observed in the classrooms of the less effective teachers. When it was displayed by these teachers they seemed to focus on checking that all children were making progress, as they corrected mistakes or re-stated instructions. In these environments inclusion appeared to be characterised by passive compliance, rather than by active involvement in learning. At other times it seemed to take the form of reprimands to children who were off-task or who did not appear to be paying attention.

Variation

Individualisation and inclusion were maintained by the more effective and effective teachers through their use of variation, the fourth teaching practice within the differentiation dimension. All teachers in this study used some form of group work as part of their everyday classroom management strategies. Teachers used individual instruction, pair work, and small group work in order to structure literacy teaching for individuals and groups. The group allocations were made by individual choice, pre-designated groups and by seemingly random selection by the teacher. Some groups were static and others dynamic. However, it was not the act of grouping itself that made a difference to outcomes, but rather what actually happened in the groups and the ways in which the teacher responded. The effective and more effective teachers were observed using groups as a means of focusing on individual needs through scaffolding learning and giving effective and timely feedback. Some of the less effective teachers used groups as a static classroom management strategy which did not allow for differentiated learning experiences.

The literature suggests that effective teachers adapt and modify instruction during the flow of a lesson. Effective teachers draw on an extensive repertoire of patterns of action while teaching and incorporate them into instruction that is continually responsive to children. They meet needs as they arise and maintain a balance between content-centered and student-centered instruction (Brophy & Good, 1986; Snow et. al., 1998). The more effective and effective teachers in this study were responsive to particular children and groups in their classrooms. In these teachers’ classrooms grouping was dynamic and responsive, demonstrated by the ways in which children were allocated to different groups for different tasks. Grouping was determined by the nature of the task and individual needs rather than by the need for management or control. In the following episode children were grouped in pairs to discuss worms. As Hannah worked with each pair of children, she reiterated the task and then asked specific questions to help them focus and structure their thinking. Not only did this method of grouping allow all children to articulate their thoughts to another, it also allowed the teacher to target her feedback to the specific needs of individuals and pairs of children.
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T: Stevie. While you're looking at them now I want you to look at their size, their colour, their covering. And the way they move, and talk to your partner about those things.

Ss: [children talk in pairs/groups] [5]

T: Is yours still alive? Is yours alive? Is he alive? He's not going very fast yours is he?

Ss: [inaudible] [3]

T: What do you notice about the covering of it?

SN: Ours is very long.

T: Very long is it?

S: Yeah.

T: What colour is it? What colour do you think it is?

SN: Brown.

S: Black.

T: Blacky brown. OK. And what do you think its covering looks like? What can you see?

SN: [inaudible]

T: Pardon?

S: Segments.

T: Oh, segments! You can see little segments there. Look at that! Can you see how they join together?

In the following example Sue asked the children to choose a partner with whom to share their news and plan how they would write it. She gave them a structure and timeframe and then worked with children who needed extra scaffolding, thus offering individual support. She adapted the lesson to ensure the inclusion of less able children.

T: Now I'm going to give you one minute for the inside person to share with the outside person two things: one their news; and two, what they're going to write. Because it's all very well to tell your news, but how are you going to put it on paper? So you need to not only tell your news, you need to tell the sentence that you're going to write, or the sentences that you're going to write. So you've got one minute to do two jobs. Tell your news and say how you're going to write it. Off you go!

Ss: [children talk in pairs]

T: When did you go to [inaudible], Jacinta?

SN: Monday.

Sarah also used variation effectively through group work. It was highly organised, particularly when she worked with an assistant to produce challenging and engaging materials for all groups. This enabled her to move around to ensure that the groups were working independently, were on task, engaged and challenged. She also had transition activities organised for children who finished early.

Connection

Connection the fifth teaching practice in the differentiation dimension has been identified as important to effective teaching and learning. Research has identified the importance of making connections between the different orientations to communication and literacy that children may practise in their families and communities and in 'school' based discourses (Cairney & Ruge, 1998; Hill et al., 1998; McNaughton, 2002). In order to plan for a differentiated curriculum that challenged children at different levels, the more effective and effective teachers drew on their understanding of children's personal experiences and community knowledge. They recognised the significance of children’s
knowledge as a basis for learning, and in this way they were able to help children make
connections between class and community knowledge. They were able to incorporate
their understanding of the children’s experiences into their curriculum planning and to
use this knowledge to scaffold learning within and across tasks. Although all teachers
used connection to some degree, the more effective and effective teachers used
connection as a ‘means of building on and extending current knowledge and skills and
creating continuity by building on the familiar’ (McNaughton, 2002, p. 18). The more
effective teachers appeared to use connection as a deliberate means of developing
literacy as part of their program, rather than simply as an incidental strategy.

The following episode illustrates how Sue used her knowledge of the wider community
and shared experiences to prompt and extend children’s news telling. Making
connections enabled the learners to take on the role of expert, as they explained
particular events in their family and community. In this episode Sue used the children’s
personal experiences of fear to form the basis for writing a response to a shared book. As
Kathryn recounted the events of a possum hunting expedition with her mother, she told
the story from her perspective and demonstrated ownership of the narrative. Sue acted as
scribe and asked for clarification and identification of the source of fear as the narrative
progressed.

SN: I was scared when I was hunting and it was dark.
T: It was in the night?
S: Yeah.
T: And we were up the…?
S: The mountain.
T: Mountain? I was scared because it was…
S: Dark.
T: Dark. Were you scared because… Who were you with to start with?
S: Um, my mum.
T: Mum and you, possum hunting up the mountain.
S: Yeah because we lost a dog and [inaudible]/.
T: //Oh. So were you scared because of the night, or scared because your dog was
lost?
S: Um…
T: Or scared because it was only mum and you?
S: I was scared of the dark.

The more effective and effective teachers also used their knowledge of children’s
families and communities as a means of helping the children comprehend meaning
within different texts. In the following episode, Hannah encouraged the children to use
their local knowledge to extend the concepts in the shared book, thus helping the
children to make connections between literature in the classroom and their experiences
in the community.

T: Oars, that's exactly right. And they're like a paddle. There'd be probably a
wider part down the bottom to help them get through the water. So there they
are rowing... Whereabouts do you think they might be rowing? Tamsyn?
SN: In a boat.
T: In a boat. But where?
S: In the sea.
T: In the sea. They might be in the sea. Where else could they be?
SN: In a river?
T: They could be in a river? I've seen people rowing in rivers. Where else could
they be? We've got one of these at [place name].
Chapter 10: Differentiation

SN: A lake?
T: Thank you Craig. A lake. It could be a lake. I don't know. Now I heard someone shout out the name of this book, the title of this book. Does anyone want to tell me what it said? Cassie?

[B2D6_0:36:13]

Connection is not only about helping to make links between family and community experiences, but is also about accessing and building on children’s literacy experiences outside the classroom. Although there was little explicit evidence of this type of connection in our study, some of the more effective and effective teachers appeared to have detailed knowledge of children’s family and community practices. For example in Sarah’s class, each night a different child took home a bag with Baby Fatso (the class soft toy mascot) and a note explaining that Baby Fatso would live with them overnight. Their experiences together were used as the basis for shared writing with the family, an activity which connected the classroom to home life. Although this activity was based on a ‘school’ literacy practice, it enabled children to reveal and reflect on some of their routines and practices within their families.

The ways in which the more effective and effective teachers helped children make connections between community and school knowledge reflected the teachers’ views of teaching and learning literacy. They used connections in order to scaffold children’s learning, thus moving them from the ‘known’ to the ‘unknown’.

Summary

Overall, the ways in which the more effective and effective teachers differentiated their teaching practices for all children, whether in whole class, small group or individual settings, distinguished them from the less effective teachers. None of the less effective teachers demonstrated any of the teaching practices within the differentiation dimension for more than half of the observed episodes, although one of the more effective teachers, who was not working in her own classroom during our observations, also shared this profile.

Challenge, which was the least observed teaching practice overall, was observed in the classrooms of less than half of the teachers. This finding is in accord with that of Luke (2003) who talked of the ‘dumbing down’ of Australian classrooms. Where challenge was observed it was in the classrooms of the more effective and effective teachers, who worked at developing higher levels of thinking for all the children in their classes. Those teachers who used this teaching practice challenged children to build on and extend their literacy knowledge as they guided them in synthesising, generalising or transferring concepts about the use of written frameworks and sentence and word structures to help them move to new understandings. They had high expectations for children, they used questioning techniques effectively, although higher order questioning was not frequently observed, and they structured activities so that children were guided through planned sequences that helped them achieve deep understanding.

The more effective and effective teachers managed to individualise instruction for children to differing degrees. Most carefully monitored individual children’s learning in group and individual activities and built on and consolidated this learning through carefully designed follow-up activities. Most also used strategies to include all children, through the use of open-ended tasks and small group activities, such as guided reading, in which children could participate at their own level. By varying instruction in this way these teachers were able to provide a differentiated curriculum to meet the literacy learning needs of individuals. The more effective and effective teachers knew their children well and were able to contextualise children’s learning as they made some connections between class and community knowledge.
The less effective teachers did not, as a group, differentiate instruction. None was observed to use any differentiation teaching practice in more than half of their episodes. Further, none of their episodes was characterised by challenge and in two of the less effective teachers’ classrooms there were no episodes characterised by the teaching practices of variation or inclusion. Only a few demonstrations of individualisation were observed in the less effective teachers’ classrooms. In effect, they taught the whole class as a group, they did not usually cater for individual differences and, in particular, they did not appear to provide effective levels of challenge for the children in their classes.
Chapter 11: Respect

In the Classroom Literacy Observation Schedule (CLOS) the dimension called ‘respect’ encompasses a group of teaching practices concerned with the social context of the classroom. The teaching practices that make up the respect dimension centre on values, motivation, and interactions between teachers and children, and between children and their peers. Effective teachers are successful in managing the social context of the classroom so that a positive learning environment is established and maintained so as to support children’s learning.

Five teaching practices are identified within the respect dimension: ‘warmth’, ‘rapport’, ‘credibility’, ‘citizenship’ and ‘independence’ (see Table 11.1). These teaching practices vary from classroom to classroom. Hill and Rowe (1998) provided evidence that most of the differences in student achievement between schools are made at the class level, and that it is the class teacher who has the most control over classroom variables. However, whilst it is the teacher who sets up the classroom environment, some of the teaching practices in the respect dimension, such as credibility and independence, can be observed through the children’s, rather than the teacher’s behaviour.

Table 11.1 CLOS Teaching Practices: Respect

<table>
<thead>
<tr>
<th>Warmth</th>
<th>Welcoming, positive and inviting classroom is focused on literacy learning</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rapport</td>
<td>Relationships with the children support tactful literacy interventions</td>
</tr>
<tr>
<td>Credibility</td>
<td>Respect for the teacher enables her to overcome any challenges to order and lesson flow</td>
</tr>
<tr>
<td>Citizenship</td>
<td>Equality, tolerance, inclusivity and awareness of the needs of others are promoted</td>
</tr>
<tr>
<td>Independence</td>
<td>Children take some responsibility for their own literacy learning</td>
</tr>
</tbody>
</table>

Effective teaching is evidenced by classrooms that are characterised by the teaching practice called warmth. Effective teachers use a range of teaching practices to establish and maintain social contexts in early years classrooms that are welcoming, positive and inviting, and that focus consistently on literacy learning. Snow et al. (1998) characterised this capacity as ‘artful teaching’, and cited research studies that refer to outstanding teachers’ creation of a ‘literate environment’ in their classrooms. This concern with environment is related to other teaching practices in CLOS, such as ‘environment’ in the knowledge dimension, but in the respect dimension it describes the social context and environment that fosters children’s engagement in literacy learning. Research supports the importance of social relationships in literacy teaching. Mazzoli and Gambrell (2003) articulated eight principles of best practice for literacy teaching, including the principle that social collaboration enhances learning. Hattie (2003) has reported on a large-scale study of the expertise that underpinned effective teachers, and identified five major dimensions of excellent teachers. The review found that one of the dimensions of expert teachers was that they can guide learning through classroom interactions:

They build climates where error is welcomed, where student questioning is high, where engagement is the norm, and where students can gain reputations as effective learners (Hattie, 2003).

Teaching practices that fit the category of warmth support the development of these kinds of classroom climates.

The respect dimension also includes the teaching practice called rapport, which encompasses the development of relationships between the teacher and children that consistently support tactful literacy interventions. Darling-Hammond (2000), in reviewing research that showed a
substantial proportion of school effectiveness data could be attributed to teachers, claimed that effective teachers are those who are able to use a range of teaching and interaction styles. Where teachers know their children, and understand their individual learning needs, they build a rapport that enables them to intervene in ways that develop and sustain children’s confidence and self-esteem.

Credibility, the third teaching practice associated with the respect dimension describes the ways in which the teacher earns the respect from children that enables her to maintain the momentum of the lesson, and to manage behaviours that could interrupt the orderly conduct of the classroom. Scheerens and Bosker (1997) undertook a large analysis of effectiveness, which identified several features relating to classroom climate. Under the classification of orderliness several factors related to teacher credibility in terms of clarity of rules and firm but friendly control.

Citizenship involves the promotion of equality, tolerance, inclusivity and awareness of the needs of others. These characteristics are concerned with values and patterns of behaviour that influence the extent to which children can actively engage in learning. Snow et al. (1998) cited research that identified effective teachers as ‘those who effectively and deliberately plan their instruction to meet the diverse needs of children in a number of ways’ (p. 196). The Productive Pedagogies Theoretical Framework (Education Queensland, 2002) emphasised active citizenship as a dimension of classroom climate that leads to independence in learning.

![Proportion of teaching practices present in episodes, by teacher, for the respect dimension of CLOS](image_url)

Figure 11.1 Proportion of teaching practices present in episodes, by teacher, for the respect dimension of CLOS

[^21]: Figures in parentheses indicate the children’s learning gain adjusted residual in standard deviation units for each teacher’s classroom.
Independence, the fifth teaching practice associated with the respect dimension, fosters children’s motivation to take some responsibility for their own learning.

A simple descriptive analysis, by frequency, of each of the respect dimension teaching practices in the classrooms videotaped provides a summary of the proportion of episodes that the researchers coded for warmth, rapport, credibility, citizenship and independence (see Figure 11.1). The respect dimension distinguished between the teaching practices of the more effective and effective teachers and the less effective teachers. Warmth and rapport were two of the more frequently observed teaching practices and were observed more consistently in the classrooms of the more effective and effective teachers. Credibility was observed in all classrooms apart from those of two less effective teachers, and in almost all episodes for the classrooms of the more effective teachers and all but one of the effective teachers. The least frequently observed teaching practices in the respect dimension, independence and citizenship, were not evident in some classrooms, and in particular, they were rarely observed in the less effective teachers’ classrooms. The levels of respect in these classes are discussed below, and illustrated with selections from transcripts of the video cases.

**Warmth**

The teaching practices that have been described as warmth manifest themselves in different ways in different classrooms. The following extracts illustrate some of the ways in which teachers observed in this study created warm and welcoming contexts in their classrooms.

Jane, an effective teacher, had many years of teaching experience and her positive and inviting classroom was characterised by a strong focus on literacy learning. At the beginning of one school day the word *transport* was written on the board, and as the children arrived in the classroom they immediately settled to the task of writing the word on their own chalkboards. This activity provided extensive practice for the children in writing quickly and neatly. Jane moved around the classroom, observing all children and commenting positively on features of their handwriting. She addressed the children by name, complimented them on specific aspects of their work, and invited one child, Christian, to evaluate the relative quality of each word he had written. As she gave feedback to individual children she created a positive tone in the highly task-oriented classroom.

T: Good. Lovely writing, Tina! How are you going, Christian? What beautiful letters! The first ones are fantastic! Give yourself a tick for the ones that are the best. Which ones have you written really well? Yes!

[S1R33_0:04:54]

Sue, another effective teacher, with many years’ experience demonstrated positive interactions with the children as she modelled editing on the white board. She invited children to contribute to the process, built on their contributions and provided specific, positive feedback on the children’s editing.

T: What do you think needs a capital in that? There's definitely capitals needed but you think what we think we could use. What did we say has capitals?

S: /s/, /s/, Saturday.

T: Saturday. Are there any other days of the week there?

S: Monday and Sunday.

T: Yeah, OK. Off you go. Make it nice and big. That's it... [4]

T: Good boy. And another one...Excellent! So Shaun’s done our days of the week, he’s corrected all of them. They look pretty OK to me. Adam, would you like a turn? What are you going to correct?

[J1R33_0:01:55]
Jenny, highly experienced, successful, and one of the more effective teachers provided positive reinforcement of learning behaviours and achievement throughout the day in her classroom. In this spelling activity, she facilitated discussion about long and short vowels in words. Interspersed with the discussion about vowels, she offered encouraging feedback to the children on their behaviour, thus welcoming them into the discussion. This episode shows an expertly managed blending of teaching about appropriate behaviour (‘listening with his whole body’) and explicit teaching about complex literacy knowledge (‘It must make the … sound to be a short vowel’).

T: I want you to listen to the words and tell me if they have a long vowel or a short vowel. I love those people! John, again, a perfect list…a perfect learner!... I know he's perfect! I can see him listening with his whole body. Beautiful, Danny. [inaudible] some people. /sun/. Does it have a short vowel? Remember the sound. You have to listen. It must make the /a//e//i//o//u/ sound to be a short vowel or A E I O U to be a long vowel. What is it, Erin?

In the following episode, Hannah, a highly effective teacher with twenty years experience in a variety of educational settings had been working with her class on the letter Q. The children had been writing words on the board, and Hannah indicated the letters and sounds in the words. She involved all children in the activity, and her positive feedback about their work contributed to the climate of warmth in the classroom. In this episode she whispered a correction to the child at the white-board, thus providing tactful encouragement and support. The successful outcome of this intervention was drawn to the attention of the whole class, who were invited to acknowledge the achievement of their fellow classmate.

T: Who would like to come and write this word on the board for me?
SN: Me!
T: I can see everyone has their hand up! You've become (fantastic) now! Um. James... Let's see if you've got the same letters as James... Quit... Oh! Look at that beautiful handwriting! [teacher whispers to boy]. What goes with our Q though?
S: U.
T: Perfect! Good boy! Give him a clap!
SN: Bravo!
Ss: Bravo.
T: Alright. Did everyone have Q and U for /qu/?
E: Yes!

Another episode, this time a shared book activity in Hannah’s classroom, illustrates how positive and warm feedback created an inviting atmosphere.

T: Yes, what again. So we've got what again. Harry, you. I don't think there were anymore in that one. Think that was all. Harry, you. Christopher that was fantastic! Now I found another book that has a question for its title. And you may have seen this book before.
SN: I have.
T: That's good. I'm glad you have. Have a look at the title - the front of this book.

Jane’s classroom was characterised by effective management strategies that ensured the children had a clear understanding of what was expected of them. In this episode she firstly responded to the child’s evident interest in motor bikes, and then dealt explicitly with a behaviour problem that had the potential to distract other children.
SN: I know how to ride a motorbike!
T: You do? Well you can do motorbike. Can you put that very loud voice that you have today away and have a quiet voice for now?

Sometimes children needed reassurance and support. In an episode in Hannah’s class that involved handling worms, one child showed signs of distress. The teacher clarified the problem, reassured her, and offered to help. The child was then able to continue her participation in the activity.

T: They're soft, aren't they... What's wrong, Beccy?... What's wrong? I didn't ask you to write anything what's wrong? I can't hear you (...) Oh! You're not scared of it?

Ss: [3]

By contrast, in the less effective teachers’ classrooms teaching practices demonstrating warmth were observed, but to a lesser extent than in the more effective teachers’ classrooms. For example, Gabby showed strategies linked to warmth as she offered targeted support (‘Help Matt because it’s a bit hard’) and encouraged the children to ask her for help with sentence writing. She provided specific advice (‘Say the word as it sounds. Say that aloud’).

T: So you need some paper so you can write. I'm going to help Matt because it's a bit hard. What do you need to write now? Can you write a sentence here? My Animal. Write My up here on this line. Yes, Peter? Are you? Already? You clever person you! Mum loves me. Fullstop. Now put the [inaudible] up there, OK? Off you go. Don't forget to draw [inaudible], OK? Have a go at sounding out. Say the word as it sounds. Say that aloud and then please try and keep [inaudible]. That's fantastic!

Rapport
An important teaching practice within the respect dimension was rapport, seen in the strategies of teachers who established such strong relationships with children that tactful interventions to correct, or redirect an activity in order to support the children’s literacy learning were smoothly managed. Several examples given below illustrate rapport.

In a guided reading lesson, Jane encouraged the children to develop a more fluent pace in their reading. When she anticipated that they were going to read at their own pace she tactfully suggested that they read aloud together (‘Go with my finger’). The intervention was intended to quicken the pace of the reading, but she provided a supportive strategy to bring this about:

T: Now you're going to have to go with my finger because otherwise we won't be reading together. Try and read it all together.

E: I see the door. I see the window.
T: That's excellent reading!

While Sue was working on a modelled editing activity, the need to check for the use of capitals was discussed. The rapport between the teacher and the children was such that she was able to involve them in identifying where capitals were needed, and this led them to recognise where further corrections were required. The rapport was maintained by the praise she offered when the capitalisation of the days of the week was complete.
T: What do you think needs a capital in that? There's definitely capitals needed but you think what we think we could use. What did we say has capitals?
S: /s/, /s/, Saturday.
T: Saturday. Are there any other days of the week there?
S: Monday and Sunday.
T: Good boy. And another one... Excellent. So Shaun’s done our days of the week, he's corrected all of them. They look pretty OK to me. Adam, would you like a turn? What are you going to correct?
SN: Capital
T: You're going to start with a capital? That's a good idea. Did I finish with a fullstop?

Effective teachers provided the kind of interventions that helped children to overcome difficulties and to rectify errors, but they also intervened to ‘stretch’ individual children. Often it was appropriate in a discussion of a shared book for the teacher to press for elaboration and further detail in children’s responses, nudging them on to be better able to read relevant detail in written and visual texts. In the following episode involving whole class reading and discussion of a shared book, Hannah tactfully intervened with a series of prompting questions to encourage a child to provide detail in her answer. While Hannah’s prompts supported this child, they also provided a guiding framework for other children in the class, so that all children’s learning was extended.

T: What can you see?
S: Horse.
T: Mm-hm. What else?
S: Cow.
T: And a cow, what are they //doing?
SN://Cow.
SN: They're sailing in the boat.
T: They're in a boat and they're sailing. Do you think they're sailing ’cause they have a sail?
S: [inaudible]
T: What sort of boat do you think it is?
S: It's just a boat, a rowboat?
T: A rowboat.
T: Harry, you. Right, everyone's eyes this way. Shaun could you stand up and come and sit next to Mark, please? Quickly... Who'd like to tell me something about the front cover of this book. Something you can see in the picture. Frances?

In another episode in an integrated language and science activity, Hannah helped a child by pointing to the words as he read his sentence and she praised his efforts. One purpose of this task was to develop the range of descriptive words the children could use about the worms. She repeated the word, in context, several times, provided a definition (‘little parts’), and gave a special reward to the boys who had used it. This kind of positive intervention showed respect for what the children knew, and was made possible because of the rapport between the teacher and the children.

SN: Worms are made of little...
T: You told me.
S: Segments?
T: Segments. Worms are made of little segments! I think Christopher and Laurie saw
that. They saw little parts that made up the whole worm. Fantastic! Next one.

S:  *They are tiny and they feel funny.*

T:  Fantastic! Let's give Laurie a big clap! That was wonderful! I really like that information that “worms are made of little segments”, Christopher and Laurie, so you two can stand up first, and go quietly out to morning tea.

[B10R25_2:25:32]

The above examples are all taken from the classrooms of the effective and more effective teachers where rapport was observed in every episode. Rapport was observed in all case study classrooms, but to a considerably lesser extent in the less effective teachers’ classrooms.

**Credibility**

Research indicates that teachers make the biggest difference to learning in classrooms (Darling-Hammond, 2000). Effective teachers have the capacity to gain the respect of all children so that they can overcome any challenges to the ongoing work of the classroom. Credibility involves the children’s recognition of and active response to the teacher’s authority. Hattie (2003) found that ‘expert teachers anticipate and prevent disturbance from occurring whereas non-experts tend to correct existing disturbances’ (Hattie, 2003).

In one episode Jane had noticed that Nicholas was not really working, and she skillfully redirected the child in order to engage him in the word study activity. She managed this as she maintained a constant overview of what all the children were doing, offering praise and advice targeted to individual children. The beginning and end of this episode shows how she worked with Nicholas, initially by specifically encouraging him to write and draw words on his board. She very particularly used his name several times to ensure that she had his attention. She clarified the task (‘quickly write the word’) and at the end of the episode, she gave positive feedback to Nicholas on the word he had written. She commented on the improvement in his engagement in the activity as compared with the previous day. She also commented on the literacy learning, ‘excellent formation of all your letters’.

T:  Steve, you're not working. Nick, Nicholas. Would you like to show Mrs P that today you could write some words on your board? *Transport,* and you can draw me a truck when you've done that. So quickly write the word so you can draw. Nicholas.

T:  Nice writing! I’ve got somebody here who's written *transport* four times. Lovely writing, Tony. Do some more, Mandy, please. You've only done it once.

SN: I've written it ten times!

T:  Oh beautiful! Make sure the /p/ hangs down. It's a long one. The word *transport.* I've done that one in capital letters. I need you to write it this way with lower case letters. I don't want upper case. That's on the title of a book. Title, book titles often have upper case letters. Well done, Tina. Good boy! Beautiful writing! Look at this one! Have a look at how neatly Nicholas has written his word today. Yesterday, yesterday Nicholas decided he wasn't writing words and then when it came time to remember it he did a great job. So today he's written it very quickly. Good boy! Excellent formation of all your letters.

[I15R8_0:02:01]

When Sue’s children were writing a response to a shared book, she prepared them for the task by writing instructions on the board and scaffolding ideas with the children before they began to write. She also made sure that the children were located in appropriate seating positions, so that their opportunity to do the task was maximised. This example of credibility shows how effective teachers strategically plan to avoid behavioural difficulties in their classrooms. Here, Sue reminded the children that they were to start with their own ideas, would be able to share later, and that they might need more ‘elbow room’ to be able to work on their writing.
In Teachers’ Hands

T: Do we write a little one like that? We're starting, ah, I would expect that this'll be…You'll get time to share with each other, but to start with they are your private fears. They're your private feelings so you need to get yours on paper so that then you'll be able to share with the others. Adam, you can come round into this seat. Who's away?

Ss: Sarah.

T: Sarah. You can come in Sarah's seat so there's more elbow room for you. Right, /at/, /at/. Yes. Good girl!

SN: I saw some...

T: That gives you more room, Adam. And Susie P, you can come round into the spare seat too, dear. Right, At night. What does night start with?

The effective management of an activity such as a pre-reading activity using flash cards of words in the book to be shared required all children to be attentive. The following episode shows how Jenny settled the children to be ‘ready for learning’, gave positive reinforcement to those who were ready, and briefly but directly managed the behaviour of one child who was not ready. Harry was reprimanded, and asked to move to a position close to her. By noting that Leanne was ‘sitting beautifully’, she was simultaneously providing a model for Harry, and also articulating the rationale for behaviour that enables children to be ‘good learners’.

T: Harry I'd like you to come and sit right here for me, please. Harry, you. Leanne, you're ready for learning. Have a look at her. Sitting beautifully. Still, eyes this way, not fussing. That's what I call a good learner. Harry could I have my green book there please? Here he is.

In Hannah’s class, when the children were taking turns to share the words they had found to describe worms, it was essential that the children listened to each other. Hannah drew the attention of the whole class to the importance of listening, involving everyone by using individual children’s names. She drew on her credibility with these children to refer to the reason why they needed to listen, and to the negative impact of talking over other people. When she had established the class as an attentive listening audience, she invited each child in turn to share their words. The lesson could not have proceeded without this intervention, but once the children were attentive, the learning could proceed.

T: Now you hold it. Alright, everyone's eyes this way. I can see Gemma’s eyes, I can see Alicia’s eyes, I can see Laurie R's eyes, I can see Kathryn’s eyes, I can see Louise’s. I can see Carmen and Susan’s. Now is the time when we close our mouths and be quiet and we... listen.

Ss: Listen.

T: Remember it's not very polite to talk when someone’s talking; when another person is sharing their answer. I know I don't like it when people are talking over me, Mary. Alright, let me see: Alexis Harries and Beccy. What would you like to share with us? Which side? Worms look, or Worms feel?

Hannah’s strategies for fostering the children’s capacity to listen was also evident in another episode. She used the concept of ‘whole body listening’ as a means of vividly reminding the children that good listening was important in her classroom. Her direct instructions to Laurie illustrated the way in which warmth was evident in Hannah’s classroom; they also provided clear evidence of this teacher’s credibility.
Chapter 11: Respect

T: Can I see everyone's eyes? I want to see beautiful whole body listening. I can see nearly everyone's eyes. Nearly everyone's eyes. Remember, when we're full body listening do we need to move our bottoms and our feet?
SN: No.
T: Stand up Laurie, and go to the blue chair. There's one. It's probably gone missing. It's alright. Now I've sent Laurie there because Laurie is doing the opposite to what I'm asking him to do. And it's not because he doesn't know what to do. He does know what to do. He just needs a little bit of time to think about it. Who can tell me what it says up here? Beccy.

Citizenship

The kind of supportive social context that was apparent in the classrooms of the more effective teachers was dependent on qualities that can be described as citizenship. These qualities placed emphasis on a range of values, including awareness of the needs of others, tolerance, equality and inclusiveness. The qualities that are classified under citizenship were observed in all episodes in only three of the classrooms.

Sometimes, the effective teachers demonstrated the place of citizenship in their classrooms by naming appropriate behaviour for particular classroom activities, as in the following episode, where Jenny reminded the children that ‘you have to listen’. This emphasis on appropriate behaviour was essential for the activity. In this case, the task required careful listening to distinguish between long and short vowels:

T: I want you to listen to the words and tell me if they have a long vowel or a short vowel. I love those people! John, again, a perfect list…a perfect learner!...I know he's perfect! I can see him listening with his whole body. Beautiful, Danny! /Sun/. Does it have a short vowel? Remember the sound. You have to listen. It must make the /a/ /e/ /i/ /o/ /u/ sound to be a short vowel or A E I O U to be a long vowel. What is it, Erin?

Another manifestation of citizenship took the form of encouraging the children to be aware of others’ achievements. In this episode, Hannah acknowledged the work of all children, but specifically referred to Laurie and reminded the class to congratulate those who had made a good effort. This episode also shows how the teacher negotiated classroom rules. Although the child who spoke thought that no one could use it, it was explained that the ‘special purple texta’ could be used if the teacher granted permission. Citizenship is modelled in this episode in a number of ways, including the reference to classroom patterns and rules of behaviour, and the acknowledgement of success.

T: Oh well I've got some very clev… I mean, I've got very clever people here, because that's a tricky word. Laurie would you like to come write it on the board for us? Quick. You can use my special purple texta.
SN: I thought you said no-one could use it?
T: If I let them... Let's see how he goes. Could you do it big so people can see? Not too big... That's the boy...
SN: I don’t want to do it too big.
T: Can't trick you guys...
SN: I don’t want to do it too big.
T: David are you watching?... Oh! Let's see if you've got all the right.... Good boy! Did everyone have Q?
E: //Yes.
T: U?
E: Yes.
Where classroom rules had been agreed, these teachers applied them with rigour and consistency. The rule in Hannah’s classroom was that the children had to raise their hands before speaking in whole class activities. In this extract, we see how Laurie was allowed to make comment only after he had put his hand up.

T: It is very sad... Far away, there lived a fair princess with golden hair. She ate jellybeans for breakfast, lunch and tea. On her island, the sky was always bright and the wind was always warm.

SN: That looks like a [inaudible].
T: Laurie, what's our rule?
S: Should always put your hand up.
T: Always put your hand up. So what are you going to do?
S: Put my hand up.
T: Well put your hand up. Are you going to put your hand up? Yes, Laurie.
S: It's a happy island there.
T: It's a happy island there. Have a look at the difference. What do you notice about the colours? Have a look at that island... Have a look at that island.

Situations where children need to interact with each other provide teachers with useful contexts in which to emphasise citizenship. Sarah, an effective teacher, maintained firm control with natural strategies, involving all children and their Grade 5 buddies in making a story to be displayed on the classroom wall. This activity required considerable teamwork. The episode illustrates how Sarah reinforced citizenship in the class by commenting directly on it and praising the children for the way they had managed the teamwork.

T: James, love the way you're sitting, looking at me. That tells me that you're ready. You can have a tick. Well done! Alright, I need a couple of helpers. Would you like to come and hold up that end for me? And Sarah, would you like to hold up this end? Have a look at the beautiful story we've made today. I was very impressed when I went around. Grade Fives, you did a sensational job this morning in helping the Preps with the sounding out. I really like the way you let them do the writing, and you just help them, helped them to sound it out. I saw some great teamwork this morning, so give yourselves a clap. Well done!

E: [claps]

The effective and more effective teachers created contexts in which it was appropriate for the children to practise citizenship. Isobel, a teacher with three years’ teaching experience, worked as a member of a teaching team. She organised a sharing time after the children had completed an individual writing task. Children read their own work aloud, in a context in which the children could become aware of the achievements of their classmates. In this episode, the teacher pointed out the efforts made by each child who shared their work, especially the last child, who had special needs. Firstly, the teacher read the child’s work and provided a commentary for the rest of the class on the strategies Annabel had used.
Chapter 11: Respect

T: Loud voice. Remember that the people at the back need to hear.
SN: I went to the park and I went on the rides.
T: With Annabel’s writing she was able to write lots of words all by herself today, weren't you Annabel? *Went*. Did you know how to spell that word or did you look somewhere? You knew how to spell it, fantastic! Now Annabel was sounding the sounds out for the word *ride*, weren't you? *Rides*. And she wrote *R I D* and then she listened to the word again and she heard the letter and popped it in.

When Annabel had had her turn, the focus of the teacher’s response was on Tina’s knowledge of the use of capital letters.

T: OK Tina, a big loud voice.
SN: *Once upon a time there was a Barbie named [inaudible] and she lived in a town...* [10]
T: Tina. Tina, what were you looking at in your writing today?
S: Capital letters.
T: Capital letters. Good girl! Where do they go, Tina?
S: At the start of a sentence…
T: Yes, where else? Someone's name and a name of a…?
S: Place.
T: Good girl! Well done.

The next child, Sharnie, read her work aloud, and was asked about a possible next step – publication - before being applauded by the whole class.

T: Sharnie, loud voice.
SN: *[inaudible]* *And I am nearly eight years old.*
T: That's lovely. Now Sharnie, are you going to publish that or are you happy to just read it? Give her a clap.

The last child to participate in the activity had special needs and the teacher adopted strategies to enable him to participate.

T: And lucky last, the star of the writing today. Do you want me to hold your book and you point to the words while you…
SN: //Start again.
T: //Start again.
S: *I cleaned the house with my mum.*
T: Excellent! Did everyone hear that? *I cleaned the house with my mum.* And you can see here all the sounds he was able to hear and write by himself. Fabulous! And he got a sticker! Show everyone your sticker.

In these episodes it can be seen how the teacher demonstrated her acceptance of each child’s efforts and encouraged the class to celebrate their classmates’ achievements.

**Independence**

The teaching practice where children are given the opportunity to take responsibility for their own learning was one of the least frequently observed and was more likely to be evident in the classrooms of the more effective teachers. Research studies have identified techniques that effective teachers use, ‘including encouraging self-regulation through cognitive monitoring...
strategies’ (Snow et al., 1998). Independence was observed in the majority of episodes involving the more effective and effective teachers. Independence was not observed at all in two of the less effective teachers’ classrooms. Surprisingly, Jenny a more effective teacher, was not observed using the independence teaching practice in any of her episodes. This is at odds with what would be expected as she had a wide repertoire of teaching practices and was observed using the other four practices from the respect dimension consistently. This apparent anomaly may be because this teacher was not observed in a classroom that she taught on a regular basis, although she knew all the children well.

Examples from the classrooms where independence was observed in all episodes show how independence looks different in different classrooms. An activity in Hannah’s classroom required the children to choose their own partners and share their knowledge independently of the teacher. Hannah focused the activity on a particular question about the text and provided support for the children’s sharing with each other. Practical support for organising the pairs was also provided.

T: And there were no jellybeans. That's right. I want you to again talk to your partner and I want you to tell me why the sad little monster wasn't sad anymore? What made him happy? Can you tell the person next to you?
Ss: [inaudible]
S?: The Jellybean Queen.
T: So we're going to turn, we're going to turn, and we're going to face Steve and Alicia cause they're in your group.

Independence was evident in another episode in Hannah’s classroom, where the children were given some words to describe their worms, and then had to think of other words by themselves. Hannah provided a framework for the child’s observations suggesting that they look at size, colour and covering.

T: Stevie. While you're looking at them now I want you to look at their size, their colour, their covering. And the way they move, and talk to your partner about those things.
Ss: [children talk in pairs/groups] [5]
T: Is yours still alive? Is yours alive? Is he alive? He's not going very fast, yours, is he?
Ss: [inaudible] [3]
T: What do you notice about the covering of it?
SN: Ours is very long.
T: Very long is it?
S?: Yeah.

Sarah followed the shared reading of a Big Book with a writing activity. Working with their Grade 5 buddies the children were asked to write about what happened in one part of the book. These pieces of writing were to be displayed on the classroom wall when finished, meaning that the children were aware of the purpose and audience for their writing. The activity drew on the children’s understanding of the text and their emerging writing skills. The buddies provided support, but the children were primarily responsible for writing their own sentence and drawing their picture. This independent work created an opportunity for the children to use their reading and writing skills in a purposeful context.
Chapter 11: Respect

T: OK. Two things you're going to have to do this morning. You need to write me a sentence about what happened in your part of the story. So if you are working on the beginning, you would write me a sentence about the beginning of the story. And I'd like you to draw a picture of some of the characters in the story. So we have the zoo keeper. Who else did we have? Carol?

SN: Umm, had the animals.

T: The animals were there at the zoo. Yes?

SN: The other zoo keeper.

T: Yes, the other zoo keeper. Neil?

SN: Umm, elephant.

T: There were elephants, lots of different things. I'll leave the book up here so if you have forgotten something you can come back and have another look. You're going to need to make a sentence and you're going to need to make a picture about what happened. OK, two things say it with me: //A sentence and a picture.

SS: //A sentence and a picture.

T: Grade Fives, remember the preps need to have a go at doing the writing. You're going to be the helpers this morning.

When children are given opportunities to write about their own experience, or prior knowledge, they become more independent as writers. In the following episode, Jane encouraged children to draw on prior knowledge in order to make a book with stories about transport. The content of the writing was therefore individual for each child, although they were writing in a common context.

T: We have lots and lots of trucks coming past here. These boys are riding their bicycles to school and they're not wearing helmets. Do you know why?

SS: Why?

T: Why? Cos it was a long long time ago.

SN: They didn’t have helmets!

T: That’s right. And when your daddy was a little boy he didn’t have to wear a helmet. And when your mum was a little girl she didn’t have to wear a helmet.

The whole class activity of writing the word transport as many times as possible also encouraged the children to work independently. In this episode we see how Jane fostered the children’s independent evaluative skills. When Jane asked Christian about the letters he had written ‘really well’ she was nudging him towards developing the capacity of being able to evaluate the quality of his own work.

T: Good. Lovely writing, Tina! How are you going Chris? Christian, what beautiful letters! The first one's are fantastic! Give yourself a tick for the ones that are the best. Which one's have you written really well? Yes.

SN: That one.

T: Yep. What about this one and this one? They look fantastic!

SN: Finished.

T: Now which letters do you think you need to fix up? OK. Let's rub off, no the rest are OK. Try…

SN: And that one.

The whole class activity of writing the word transport as many times as possible also encouraged the children to work independently. In this episode we see how Jane fostered the children’s independent evaluative skills. When Jane asked Christian about the letters he had written ‘really well’ she was nudging him towards developing the capacity of being able to evaluate the quality of his own work.
Summary

In the classrooms of the more effective and effective teachers the teaching practices in the respect dimension contributed to the development of relationships, behavioural patterns and values that supported children’s literacy learning. The more effective and effective teachers created a social context in their classrooms that focused on literacy learning, welcomed children and provided them with positive support. These teachers also built confidence in children that made tactful interventions productive. Their credibility enabled them to deal effectively with possible disruptions to order and to maintain the momentum of the lesson. These teaching practices appeared to encourage strong values that included tolerance and awareness of the needs of others, alongside the development of an ethos that allowed scope for the development of children’s responsibility for their own learning.

These teaching practices provided the context for activities that were common to the literacy classrooms of all the teachers: shared book reading, reading aloud, modelled writing, group work, word study, spelling and matching letters and sounds. The learning opportunities accessible to all children were enriched by the consistency and firmness with which the more effective and effective teachers shaped values and relationships in their literacy classrooms.

Whilst the less effective teachers used similar activities to the effective and more effective teachers the classroom climate in which they were undertaken was not characterised by the same levels of warmth, rapport, credibility, citizenship and independence. In general, the social context of their classrooms was not characterised by an explicitly clear focus on literacy learning, they did not have the strong rapport with children that ensured tactful literacy interventions and, in some cases, the teacher did not command the complete respect of children necessary to overcome challenges to order and lesson flow. Additionally, these teachers did not appear to place a strong emphasis on a range of values that included awareness of the needs of others, tolerance, equality and inclusiveness nor did they encourage children to take responsibility for their own learning.
Chapter 12: Findings

In this study, we set out to identify teaching practices that lead to improved literacy outcomes for children in the early years of school. We used a combination of quantitative and qualitative research methods to build an evidential link between children’s growth in English literacy in the early years of school and their teachers’ classroom practice. Our review of previous research led us to believe that we would find that effective teachers of early literacy would display a wide range of attributes and behaviours which we termed literacy teaching practices. These 33 research-based practices formed the basis of the Classroom Literacy Observation Schedule (CLOS), a tool that we developed in order to observe early literacy teachers at work in their classrooms. We grouped the practices into six dimensions:

- **Participation**: Ways in which the teacher organises for and motivates children’s participation in classroom literacy tasks
- **Knowledge**: Ways in which the teacher uses her knowledge of literacy to effectively teach significant literacy concepts and skills
- **Orchestration**: Ways in which the teacher manages or orchestrates the demands of the literacy classroom
- **Support**: Ways in which the teacher supports children’s literacy learning
- **Differentiation**: Ways in which the teacher differentiates tasks and instruction for individual learners, providing individual levels of challenge
- **Respect**: Ways in which the teacher gains the respect of the children and in which the children demonstrate respect for her.

In addition to these dimensions of literacy teaching practice we added another axis to the observation schedule in order to help us observe the literacy teaching activities used by the teachers. Our reasoning was that, in view of the large amount of literature directed at teachers on how to carry out particular activities, which assumes that these activities are important elements of teachers’ ‘tool boxes,’ we should investigate whether the use of these activities varied according to teacher effectiveness.

The basis of the evidential link between student outcomes and teaching practices was a set of literacy assessments completed by a nationally representative sample of children in their first and second years of formal schooling. An analysis of growth in scores on the literacy assessment tasks from ACER’s Longitudinal Literacy and Numeracy Study (LLANS) from the beginning of each school year to the end of each school year enabled us to make quantitative distinctions between classes where growth was more than statistically expected, as expected, or less than expected. Building on previous research that demonstrated the relative importance of teacher influences on student outcomes (compared with the influence of family home circumstances or school settings), we characterised the teachers of each of these three groups of classes as more effective, effective or less effective.

Once these groups of teachers had been identified, we invited sub-samples of each group to participate in the classroom observation phase of the study. This involved a site visit to each teacher’s classroom by two of the research team to make videotaped records of literacy teaching and to interview the teacher. A representative sample of classroom literacy activities in each observed classroom were coded using the Classroom Literacy Observation Schedule rating protocol. We analysed the coded video records in two ways. Quantitative analysis of the data involved the frequency of each literacy teaching practice in the observed classrooms, confirmatory factor analysis of the dimensions, and Rasch analysis to estimate teacher effectiveness in terms of a teacher’s repertoire of...
literacy teaching practices. Qualitative analysis of the data included cross-case analysis of the video cases in terms of each of the literacy teaching practices by the more effective, effective and less effective teachers in order to find out how teachers from these groups enacted each literacy teaching practice in the classroom.

Summary of the findings

The Classroom Literacy Observation Schedule that we devised for the study was shown empirically to be appropriate for classroom observation of teachers’ pedagogical practices.

Literacy teaching activities varied only slightly according to teacher effectiveness. Generally, the same few activities were widely used by all teachers regardless of the teachers’ effectiveness. The more effective, effective and less effective teachers all extensively used familiar early years literacy activities such as shared book reading, modelled writing and phonics teaching. There were, however, distinct qualitative differences in the ways in which these activities were carried out by the more effective, effective and less effective teachers. Some literacy teaching activities that we had expected to find, such as the use of phonics-based commercial literacy programs and computer-based literacy activities were seldom seen in the classrooms of teachers in our observation sample.

The type of literacy teaching practice varied according to teacher effectiveness. The more effective and effective teachers demonstrated a wide variety of literacy teaching practices from all six dimensions of the observation schedule. The less effective teachers demonstrated a limited number of literacy teaching practices that were also spread across the six dimensions of the observation schedule. In addition to these quantitative differences, there were also distinct qualitative differences between the more effective and effective teachers and the less effective teachers.

The literacy teaching repertoires of the more effective and effective teachers included teaching practices that were most frequently observed such as attention or engagement, those that were frequently observed such as pace and metalanguage, and those such as challenge that were rarely incorporated into a repertoire of teaching practice. On the other hand, the literacy teaching repertoires of the less effective teachers tended to be dominated by those teaching practices that were most frequently observed.

There was no quantitative difference between teacher groups for the teaching practice we called ‘explicitness-word’, which concerned whether or not the teachers directed children’s attention to explicit word and sound strategies. The more effective, effective, and less effective teachers all paid explicit attention to phonics. There were, however, distinct qualitative differences between the ways in which these groups of teachers taught phonics. Whilst the more effective and effective teachers generally used a highly structured approach to phonics teaching, they were usually observed teaching word level skills and knowledge within a wider context, such as a theme or topic being studied, a shared book, a writing lesson or a spelling lesson, so that the purpose of learning phonics was made clear and relevant. These teachers provided extremely clear explanations of word level structures through the use of appropriate metalanguage, and their explanations in general were of a higher order than those of the less effective teachers. They also provided careful scaffolding, including guided practice in a variety of contexts, to ensure that important phonic concepts were learnt. The more effective and effective teachers also kept a focus on broader text level features, with a particular emphasis on comprehension of texts.
Chapter 12: Findings

How do effective teachers implement a literacy teaching activity?

Although the literacy teaching activities used by the teachers did not differentiate between the more effective, effective and less effective practitioners in terms of the quantitative data, there were distinct qualitative differences in the ways in which they were implemented by teachers of differing levels of effectiveness. In order to illustrate these qualitative differences we provide examples of a more effective and a less effective teacher using the strategy of shared book as the catalyst for a writing activity. All teachers observed in our study made extensive use of the shared book teaching activity and generally adhered to the following routine.

<table>
<thead>
<tr>
<th>Choice of book to share</th>
<th>The teacher chose the big book, usually a narrative or recount genre that was related in some way to a class theme or topic.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Physical arrangements</td>
<td>Children sat in a group on the floor (‘the mat area’) near the teacher who sat in a chair close to an easel on which the book was displayed. She used a pointer to indicate text features, that included both text and pictures.</td>
</tr>
<tr>
<td>Book introduction</td>
<td>The book was usually introduced through a discussion of the cover, pictures, text, author, illustrator and other features that often included some prediction of what the text would be about.</td>
</tr>
<tr>
<td>Reading the book</td>
<td>The first reading of the text was usually by the teacher, with children often joining in at some stage and discussing selected pages of the text.</td>
</tr>
<tr>
<td>Discussion of the whole text</td>
<td>After reading the teacher introduced some discussion of the book content.</td>
</tr>
<tr>
<td>Related activities</td>
<td>The teacher often based further literacy activities on the book, frequently using the text as a catalyst for children’s writing.</td>
</tr>
</tbody>
</table>

In the vignettes that follow both teachers have chosen a big book that provides a recount of how the author overcame a fear of diving into deep water at the swimming pool and both use the book as a precursor to the children’s own writing.

Jenny, a more effective teacher, has chosen the shared text primarily to provide a model of the recount genre, which is the literacy focus for the week. She has also chosen it because the theme is topical as the class has just begun daily swimming lessons, and it is related to the ongoing class theme of challenging children to take risks in their learning. However, her main purpose is to make explicit to the children text features of a recount that they will need in the later writing activity.

Jenny’s introduction to the book begins with the children sitting in the ‘mat area’. She captures their attention and ensures their engagement as she motivates their interest by turning the discussion about the text features and cover into a game. She challenges them to find key features of the text which include not only the author and illustrator, but also more sophisticated features such as copyright, reference to the publisher’s website and the recount genre. Jenny structures the activity so that all children make some contribution to the introductory discussion and receive individual feedback that is targeted to their individual needs.

As she reads the text aloud Jenny models fluent reading using an animated voice, making eye contact with the children as she is extremely familiar with the text and rarely needs to look at the words. As she turns every page there is discussion of the development of the plot and characterisation, she encourages the children to reflect on their own experiences of fear and, on the basis of these reflections, predict what might
happen next. After Jenny has read each page, she invites the children to join in a re-reading. She draws particular attention to compound words that they have been studying and words she knows will be important in the writing of their own recounts. These discussions are extended but move at a brisk pace, as does the reading of the text. Jenny builds up suspense artfully and the children hang on to her every word as she reaches the climax when the author jumps from the diving board into the water below.

Once the reading of the whole text is complete Jenny discusses the main points in terms of the story theme (that of overcoming fear of diving), and allows the children to reflect on their own past experiences. She then makes the connection to the writing activity by re-introducing the children explicitly to the recount genre: ‘I was telling you about a type of writing that authors use, called a recount.’ She gives a recount of her own experiences that she links to ‘the little boy on the diving board’ in the big book. Her recount is of a time when she was ‘scared’ to dive from a diving board. This story mirrors the plot of the text and includes some of the linguistic structures and features of the recount, such as past tense, that she wants the children to use in their own writing. She then explicitly models the writing of her recount, with help from the children, making explicit that the genre requires the first person voice and the past tense. It is only after this large amount of scaffolding by the teacher, that the children begin the independent writing of their own recounts. During this writing Jenny works individually with children, challenging them at their own levels of literacy competence.

Patricia, a less effective teacher follows a similar routine to that of Jenny, and a casual observer walking down the corridor and looking through window would see few differences between their shared book activities. Certainly, the children are sitting in the mat area around the easel that holds the big book and have their attention focused on the text. However, careful analysis of the activity in each classroom shows distinct qualitative differences between the ways in which these teachers implement these seemingly similar activities.

Whilst Patricia has chosen the big book on the basis of the class involvement in daily swimming lessons and whilst it is possible that there is a connection to the subsequent diary writing activity, she does not articulate this connection either to the researchers or to the children. Her introduction to the text is cursory and limited to a very brief discussion of the book cover, author and illustrator. She launches into her reading of the text, occasionally asking the children to predict ‘what might happen next’. When the text has been read there is a brief discussion of the text that involves a few children. Whilst the children are attentive they do not appear to be particularly engaged in the text as is evident from their lack of contribution to the discussion. Patricia instructs the class to write their own experiences of the swimming lessons in their ‘diaries’ and provides a limited amount of feedback to groups and individuals.

In these two examples of superficially similar teaching activities it can be seen that the more effective teacher employs a much more sophisticated, thoughtful and purposeful approach. She ensures children’s participation in the activity, uses her literacy knowledge to teach significant literacy concepts and skills, manages the classroom, supports literacy learning, differentiates instruction including providing various levels of challenge, and gains the respect of the children. In other words it is the teaching practices employed in the implementation of the activity, rather than the activity itself, that distinguishes between the more effective and the less effective teacher.
What are the literacy teaching practices of effective teachers?

**Participation**
The more effective and effective teachers gained strong child participation in learning activities, established significant relationships with their children, and actively sought to use language to encourage participation. They used a diverse range of practices that were well orchestrated to engender interest in and commitment to learning, founded on close personal relationships with children and knowledge of their ongoing needs as learners.

In specific terms, the classrooms of the more effective and effective teachers were characterised by the ways in which these teachers used their voices and body language to gain and maintain attention as they controlled behaviour, shaped activities, defined tasks and explained what was important for learning to occur. These teachers used language to ensure that children were not only attentive but also deeply absorbed in literacy tasks. They also used a variety of linguistic strategies to stimulate and motivate the children, such as positive feedback and encouragement to share success with others, to continue with learning and to strive for high standards.

The more effective and effective teachers created energetic and exciting classrooms, in which pleasure in literacy learning was evident, as they expressed their own personal pleasure in learning tasks, stimulated suspense and anticipation of joyful learning, and generally communicated their pleasure in children’s work. This creation of pleasure in their classrooms encouraged children to participate, sustain their efforts and remain on task. The more effective and effective teachers were also highly consistent in that they set clear routines that were understood and adhered to by the children and that resulted in appropriate classroom behaviour.

**Knowledge**
The more effective and effective teachers showed an understanding of the literacy concepts and skills taught in early years classrooms that underpinned their classroom practice. They provided a literate environment for the children in their classes and made substantial use of this environment in their teaching. Their classrooms contained many information charts such as the weather and days of the week that were used as part of daily routines. There were also dictionaries, word charts and a range of texts and other resources around the room to guide children’s personal writing. These teachers prepared the environment so that everything they needed for a particular session was either at hand or in a well-known place for immediate accessibility.

These teachers made explicit the purposes of set tasks, which were often of a higher order than those of the less effective teachers, and they sometimes conveyed to the children, often implicitly, purposes beyond the tasks at hand that had to do with overarching purposes such as school learning and future success. Closely related to purpose were the ways in which the more effective and effective teachers created tasks that allowed for substantial learning to take place as teachers and children engaged in dialogue that led to deep understanding of concepts and skills. The more effective and effective teachers also provided their children with clear and appropriate explanations of literacy concepts, both at the word and text levels.

All teachers made some use of modelling in their literacy teaching as they presented shared book experiences and modelled writing. What was noticeable about the more effective and effective teachers was the clarity and level of their metacognitive explanations. These often included the use of metalinguistic terms that provided the children with the vocabulary and linguistic structures that helped them make connections between what they already knew and the concepts being learnt. The metalanguage taught
included literary terms as well as those associated with the features of letters, sounds and words.

**Orchestration**
Although there were differences in teaching style, the more effective and effective teachers in this study had highly developed capacities to manage the uncertain social environment of early years literacy classrooms. They were characterised by high levels of awareness, being able to manage interruptions and lapses of child attention without losing focus on their moment-by-moment instructional goals and being able to structure children’s movement around the classroom, learning tasks and activities in predictable and orderly ways. These teachers had the ability to maximise learning opportunities with a sense of urgency, as if every minute were a precious learning opportunity not to be missed. Whilst their literacy sessions proceeded at a brisk pace, they managed to retain the attention of all children.

The more effective and effective teachers ensured that transitions between and within activities were seamlessly smooth, as they established specific routines within their classrooms and made expectations explicit. Despite the establishment of routines, these teachers were able to judge when to respond spontaneously to the ‘teachable moment’ and when to resist unnecessary diversions. These teachers were consistently able to manage and adjust complex movements within and around activities and groups of children thereby ensuring that maximum time was spent engaged in significant learning.

**Support**
The more effective and effective teachers differed in terms of the quantity and quality of their teaching practices in the support dimension. The more effective and effective teachers were better able, for the most part, to support children through the literacy teaching practices of assessment-based teaching, scaffolding, feedback, responsiveness, explicitness at word and text levels and persistence in ensuring positive literacy outcomes for all class members.

These teachers were able to use on-the-run assessments of children’s performance on a group task to target their teaching to individual children who were in need of either corrective teaching or extension of learning. They were also able to scaffold children’s literacy learning to help them reach their potential level of development with increased confidence as they provided for successful experiences with print. They gave timely and focused feedback to children with much positive reinforcement that explicitly indicated exactly what was being celebrated. Further, because they had created a positive classroom climate and gained the respect of their class, the effective and more effective teachers were able to provide not only affirming, but also modifying and corrective feedback that challenged the children to achieve at higher levels.

The effective and more effective teachers provided clear explanations of word level concepts and skills, as well as working explicitly at the text level. They made the most of every window of opportunity to reinforce the knowledge, concepts and skills that were to be learnt at both word and text levels, and they provided many opportunities for reading and writing connected text in a variety of formats.

**Differentiation**
The more effective and effective teachers generally managed to individualise instruction for children. Most carefully monitored individual children’s learning in group and individual activities and built on and consolidated this learning through carefully designed follow-up activities. Most also used strategies to include all children, through the use of open-ended tasks and small group activities, such as guided oral reading, in which children could participate at their own level. By varying instruction in this way these teachers were able to provide a differentiated curriculum to meet the literacy
learning needs of individuals. The more effective and effective teachers knew their children well and were able to contextualise children’s learning as they made some connections between class and community knowledge.

Challenge was observed in most of these teachers’ classrooms as they worked at developing higher levels of thinking for all the children in their classes, building on and extending their literacy knowledge. They had high expectations for children, they used questioning techniques effectively, and they structured activities so that children were guided through planned sequences that helped them achieve deep understanding.

Respect
The more effective and effective teachers created a social context in their classrooms that focused on literacy learning, welcomed children and provided them with positive support. These teachers also built confidence in children that made tactful interventions productive. Their credibility enabled them to deal effectively with possible disruptions to order and to maintain the momentum of the literacy lesson. These teaching practices appeared to encourage strong values that included tolerance and awareness of the needs of others, alongside the development of an ethos that allowed scope for the development of children’s responsibility for their own learning. The learning opportunities accessible to all children were enriched by the consistency and firmness with which the more effective and effective teachers shaped values and relationships in their literacy classrooms.

Conclusions
Considered together the findings of this study have led us to conclude that contemporary Australian early years literacy teachers draw on a similar set of literacy teaching activities, but do so in quantitatively and qualitatively different ways. Differences in student learning outcomes can more reasonably be attributed to the ways teachers manage the literacy teaching dimensions identified in the study – participation, knowledge, orchestration, support, differentiation and respect – than to teaching activities such as shared book reading, modelled writing or stand-alone phonics lessons. Growth in children’s literacy scores was associated with teachers who demonstrated more of the literacy teaching practices in these dimensions, and demonstrated them more often. There were also qualitative differences in the skill and subtlety with which the literacy teaching practices were implemented. In the case of word level decoding skills, all of the teachers we observed paid some explicit attention to phonics, but the more effective teachers provided clearer explanations of letter-sound correspondences and more careful scaffolding of learning, particularly in terms of guided practice of skills. These teachers also kept a focus on broader text level features, with a particular focus on comprehension of texts. Effective early literacy teaching, we believe, requires teachers who can ensure high levels of children’s participation, are deeply knowledgeable about literacy learning, can simultaneously orchestrate a variety of classroom activities, can support and scaffold learners at word and text levels, can target and differentiate their instruction, and can do all of this in classrooms characterised by mutual respect.


References


Education Department of Western Australia (EDWA), (1994). *First steps*. Melbourne: Longman.


Farstrup, A. E. (2002). There is more to effective reading instruction than research. In A. E. Farstrup & S. J. Samuels (Eds.), *What research has to say about reading instruction* (pp. 1-7). Newark: International Reading Association.


References


References


In Teachers’ Hands


References


### Appendix 1: Estimated Tetrachoric Correlation Matrices

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Appendix 2: Explanation of model-fit

An extended explanation of each of the six separate models generated to represent the dimensions is presented below. To convey the reliability of each dimension, both composite scale reliability measures ($r$) and traditional reliability estimates ($\alpha$) were reported. Squared multiple correlations were investigated to explain the reliability of each item in regards to its relative dimension. The fit indices applied were the root mean square residual (RMR, $p<0.05$), the adjusted goodness of fit index (AGFI $>0.95$) and the chi-square statistic ($X^2$, $p>0.05$).

**Participation**

The participation dimension was estimated to capture all the variance in the five observed variables: attention, engagement, stimulation, pleasure and consistency. Despite the high correlation between all five of the variables (see Appendix 1), the items were considered to be discrete behaviours. All the AGFI indicated a good fit between the model and the data. The difference between the proportionally weighted scaled scores for the five items was negligible hence all items were considered to contribute relatively equally to participation (see Table 5.2). All five items have acceptable reliability with $R^2$ values around 0.5. The composite scale reliability was 0.820, which is highly satisfactory.

**Knowledge**

The knowledge dimension was estimated to capture all the variance in the six observed variables: environment, purpose, substance, explanations, modelling and metalanguage. Despite high correlations between some of the items (see Appendix 1) they were all considered to be discrete behaviours. All the AGFI indicated a good fit between the model and the data (see Table 5.2). The environment item had a noticeably lower item weight (0.076) and a marginal reliability value of 0.159. Environment was thus seen to be influenced the least by the dimension. The remaining items contributed evenly to the model and had acceptable reliability with $R^2$ values around 0.3 - 0.5. The composite scale reliability was 0.800, which is highly satisfactory.

**Orchestration**

The Orchestration dimension was estimated to capture all the variance in the five observed variables: awareness, structure, flexibility, pace and transition. Despite high correlations between some of the items (see Appendix 1) they were all considered to be discrete behaviours. All the AGFI indicated a good fit between the model and the data (see Table 5.2). The transition item had a slightly item weight (0.109) and a marginal reliability value of 0.251. Transition was thus seen to be influenced the least by the dimension. The remaining items contributed evenly to the model and had acceptable reliability with $R^2$ values around 0.5. The composite scale reliability was 0.804 which is highly satisfactory.

**Support**

The support dimension was estimated to capture all the variance in the seven observed variables: responsiveness, explicitness word, explicitness text, persistence, assessment, feedback and scaffolding. The RMR indicated marginal fit ($p=0.084$). The remaining AGFI indicated a good fit between the model and the data (see Table 5.2). The explicitness word and explicitness text items contributed least to the model and had marginal reliability values of 0.056 and 0.153 respectively. The remaining items contributed evenly to the model and had acceptable reliability with $R^2$ values around 0.4. The composite scale reliability was 0.787 which is satisfactory. An alternative model for support was tested after the removal of explicitness word. The model reported good fit, however, the content of this item was considered necessary to the dimension and it did not make theoretical sense to remove it.
Appendices

Differentiation
The differentiation dimension was estimated to capture all the variance in the five observed variables: connection, groupings, inclusion, individualisation and challenge. The RMR indicated acceptable fit (p=0.072). The remaining AGFI indicated a good fit between the model and the data (see Table 5.2). The connection and grouping items had slightly lower item weights and were thus seen to contribute least to the model. These items also had poor reliability with R² values of 0.248 and 0.239 respectively. The remaining items had acceptable reliability with R² values around 0.4. The composite scale reliability was 0.736 which is satisfactory.

Respect
The respect dimension was estimated to capture all the variance in the five observed variables: warmth, rapport, credibility, citizenship and independence. The RMR indicated acceptable fit (p=0.069). The remaining goodness-of-fit tests indicated a good fit between the model and the data (see Table 5.2). The independence item had a slightly lower item weight and was thus seen to be influenced the least by respect. This item also had poor reliability with a R² value of 0.282. The remaining items had acceptable reliability with R² values around 0.5. The composite scale reliability was 0.767, which is satisfactory.
### Appendix 3: Item fit, 33 items chi square probability order

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### Appendix 4: Item fit, 32 items chi square probability order

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Appendix 5: Item characteristic curves for misfitting items
22 'Groupings' was renamed as 'variation'